Opportunities for Researchers from the Socio-economic Sciences and Humanities (SSH) in Horizon 2020

Analysis of SSH-relevant Topics
Work Programme 2016/17
Update 2017
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Introduction

This document is designed to help potential proposers find SSH-related topics across the different parts of Horizon 2020 in Work Programmes 2016-17.

**SSH in H2020**
 Horizon 2020 aims at fully integrating Socio-economic Sciences and Humanities (SSH) in each of its pillars and specific objectives. SSH is therefore a cross-cutting issue and embedded in the whole framework programme. While SSH research aspects are particularly present in the societal challenge ‘Europe in a changing world: Inclusive, innovative and reflective societies’, they are also present in all other challenges and in other parts of Horizon 2020.

H2020 requires applicants to submit proposals and build consortia that transcend disciplinary and sectorial boundaries, bringing together scholars from SSH and from life and physical sciences, technology, engineering and mathematics (STEM) as well as researchers and practitioners across these fields.

The SSH encompass a wide range of disciplines such as sociology and economics, psychology and political science, history and cultural sciences, law and ethics. Contributions from these research and activity fields are needed under Horizon 2020 to generate new knowledge, support evidence-based policymaking, develop key competences and produce interdisciplinary solutions to both societal and technological issues.

**SSH-flagged topics across H2020**
 To assist SSH researchers in identifying funding opportunities, the European Commission (EC) has established a search engine within its online Participant Portal. Certain topics with substantial SSH aspects have been “flagged” by the EC as SSH-relevant topics and the search engine offers the possibility to directly search for these SSH “flagged” topics. It also allows for keyword and full-text searches.

This document compiles the “SSH-flagged topics” and is based on an analysis of SSH relevant topics carried out in the unit of the EC Directorate-General for Research and Innovation that is responsible for Socio-economic Sciences and Humanities.

This document serves as a guideline and is meant to demonstrate the wealth of possibilities for scientists in Socio-economic Sciences and Humanities within Horizon 2020 and includes:

- **SSH-DEDICATED TOPICS**: topics where SSH aspects dominate the text,
- **SSH-RELEVANT TOPICS**: topics with substantial relevance to the SSH community. In this topics, SSH aspects are indicated in **bold text**,
- **TOPICS WITH MINOR SSH RELEVANCE**: short information is provided (title and link to the Participant Portal)

Researchers are strongly encouraged to screen the Work Programmes themselves, in order not to lose out on research opportunities offered to their specific interest. In any case, the Work Programmes need to be read in more detail to be aware about the overall approach of the Theme, the context of the topics, rules for participation and other specific requirements. At the same time, the topic texts may include footnotes with more information, which could not be included in the compiled topic texts within this document.

Of special importance are the “type of action” and the eligibility criteria connected to it. These and any other relevant information can be found in the specific “Work Programme” chapter and the specific call.
All the relevant documents can be downloaded from the Participant Portal. The specific links are provided for topic in the respective chapters.

The structure of the document is determined by the degree of SSH integration in the different Horizon 2020 programme parts. Instead of following the numerical order of the different parts in Horizon 2020 (I. Excellent science, II. Industrial leadership, III. Societal challenges, IV. Non-nuclear direct actions of the Joint Research Centre), this report starts with the part that includes “top down” topics and the highest amount of SSH research dimensions, the societal challenges. It continues with the “Leadership in enabling and industrial technologies” of the Industrial leadership part. In the following chapter, SSH aspects in Excellent science are presented (mostly “bottom up” opportunities). Last but not least, the SSH-relevant topics in “Science with and for society” and in the new Work Programme “Cross-cutting activities (Focus Areas)” are included.

**SSH Opportunities in ERA-Initiatives**
Topics that clearly address research funding agencies and not researchers, such as ERA-Net topics, are not included.
To support researchers in finding European funding opportunities in ERA-calls, Net4Society performs a regular monitoring and publishes up-to-date information on SSH-relevant calls of ERA-Nets, Joint Programming Initiatives, Joint Technology Initiatives or Article 185 Initiatives.
Open calls are available online on the Net4Society website under [www.net4society.eu/public/408.php](http://www.net4society.eu/public/408.php)

*This document presents an update of the “Opportunities” document for the Work Programmes 2016/17, published in December 2015.*

**DISCLAIMER**

Information on calls might be subject to change. Researchers need to consult the Participant Portal for receiving the latest information on calls.
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Net4Society ([www.net4society.eu](http://www.net4society.eu)) is the international network of National Contact Points for the Societal Challenge 6 "Europe in a changing world: inclusive, innovative and reflective societies" in Horizon 2020.
Societal Challenge 1
Health, Demographic Change and Wellbeing
Call - Personalised Medicine

SC1-PM-07–2017
Promoting mental health and well-being in the young

Specific challenge
Mental well-being is integral to population health and well-being and contributes to the functioning of individuals, families, communities and the social and economic prosperity of society. Mental and behavioural disorders including addictive behaviour place immense burdens on individuals, families and society; they also increase the risk of co-morbidities and social exclusion. Childhood and adolescence are crucial periods for laying the foundations for healthy development and mental well-being. There is compelling evidence that promotion of mental well-being and prevention interventions, when implemented effectively, can reduce risk factors for mental disorders, enhance protective factors for good mental and physical health and lead to lasting positive effects on a range of educational, social and economic outcomes for young people. Medical and psychological factors, family and social factors (including working conditions) as well as digital environments are some of the different determinants impacting the health and well-being of the young. Resilience to adversity will enhance their ability to cope. There is a need for more robust evidence on resilience factors and on effective interventions promoting mental well-being. Developing these in the young offers the possibility of a positive influence on child development in critical/sensitive periods (childhood, adolescence, transition to young adulthood), thanks to early neuroplasticity.

Scope
Proposals should develop population-oriented primary prevention interventions to promote mental well-being of young people and assess them for their effectiveness. The interventions should build on but may go beyond existing state-of-the art knowledge on biological, psychological and social determinants of mental well-being such as societal, cultural, work life, lifestyle, epidemiological, economic and environmental perspectives. The proposals should aim at increasing resilience and mitigating the impact of biological, psychosocial and environmental risk factors. The target group should include young up to 25 years (or a subgroup there of), which is an age limit often used as many severe disorders start in this period. Differentials related to migration should be addressed when appropriate.

The research design should be developed by means of a multidisciplinary approach and involve the young themselves and other relevant stakeholders. Innovative approaches in involving the young and gathering their inputs for the design of the intervention should be considered. The interventions should use a holistic approach, taking gender and health inequality aspects into account, in increasing resilience and empowering the young. The interventions to be developed should reflect the diversity of the different countries and regions in Europe and beyond. The research should pay particular attention to ethical issues. The interventions should be assessed for mental well-being outcomes as well as the economic and social benefits and impact on reducing inequalities. These analyses of impact and effectiveness should be presented in quantitative as well as qualitative terms, in a gender disaggregated way where relevant. The results should be disseminated throughout Europe and beyond in order that the evidence generated is fully exploited.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 and 4 million would allow this.

Expected impact
Short or medium term impact, likely during the lifetime of the project:
- Improved mental well-being in the targeted group of young people.
- The innovative interventions will create a strong evidence base for mental well-being promotion programmes in Europe, contributing to greater health equity and improved societal benefits.

Longer term impact, likely beyond the lifetime of the project:
- Improved mental well-being in youth should contribute to reducing school and college/university dropout in the short term, strengthening personal confidence and cognitive function, improving educational efforts and enhancing employability.
- Preventative strategies are established which have a real effect of reducing the occurrence of mental disorders and co-morbidities associated with mental disorders later in life.

Type of action | Research and Innovation action
--- | ---
Deadline | 1st stage - 4 October 2016 | 2nd stage - 11 April 2017
Call identifier | H2020-SC1-2016-2017
Comparing the effectiveness of existing healthcare interventions in the adult population

Specific challenge

Effective health care and prevention may be improved by additional evidence as to the most effective health interventions. Growing numbers of patients affected by chronic diseases also call for efficiently managing co-morbidities.

Scope

Proposals should compare the use of currently available preventative or therapeutic (pharmacological as well as non-pharmacological) healthcare interventions in adults. While there is no restriction on the diseases or interventions to be the focus of proposals, preference will be given to proposals focusing on interventions with high public health relevance and socio-economic impact, i.e. interventions addressing conditions that are particularly frequent, may lead to co-morbidities, have a high negative impact on the quality of life of the individual and/or are associated with significant costs or where savings can be achieved. A cost effectiveness analysis must be included. Given the focus on existing interventions, proposals will aim to contribute to improve interventions, take decisions about the discontinuation of interventions that are less effective or less cost-effective than others, and make recommendations on the most effective and cost-effective approaches. A comprehensive array of clinical and safety parameters, as well as health and socio-economic outcomes (e.g. quality of life, patient mortality, morbidity, costs, and performance of the health systems) for chosen populations should be assessed. Agreed core outcome sets (COS) should be used as endpoints in conditions where they already exist, in other cases efforts should be made to agree on such COS. Randomised controlled trials, pragmatic trials, observational studies, large scale databases and meta-analyses may be considered for this topic. Where relevant the study population should address gender as well as socio-economic differentials in health and/or any other factors that affect health equity.

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 and 6 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

This topic is to provide the required evidence base for:
- more effective and safer interventions at individual and population level;
- enhanced compliance with healthcare interventions in the adult population;
- the use of health technology assessment methodology in this target group.

In particular:
- Improvement of individual patient outcomes and health outcome predictability through tailoring of interventions.
- Improvement of guideline development for prevention or treatment of diseases and the management of comorbidities.
- Provision of more accurate information to patients, caregivers and prescribers.

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<td>Call identifier</td>
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SC1-PM-15-2017
Personalised coaching for well-being and care of people as they age

Specific challenge
The activity aims at developing and validating radically new ICT based concepts and approaches for empowering and motivating people in need of guidance and care due to age related conditions, in cooperation with their carers where relevant, and to help them improve and maintain their independence, functional capacity, health status as well as preserving their physical, cognitive, mental and social well-being.

Scope
Proposals should develop a proof of concept of radically new solutions for a personalised "virtual coach", building upon intelligent ICT environments, access to relevant physiological and behavioural data, new forms of accessible interaction based on tangible user interaction concepts, open platforms and emotional computing. Usability and ease of user interaction should be essential design elements of the "coach".

The "coach" should provide personalised advice, guidance and follow-up for key age related issues in daily life which impact the person's ability to remain active and independent, for example diet, physical activity, risk avoidance, preventive measures, lifestyle and activity management, leisure, social participation and overall wellness. The goal should be to preserve physical, cognitive, mental and social well-being for as long as possible and to facilitate interaction with carers (where relevant).

Solutions should build on and apply multi-disciplinary research and include intelligent algorithms beyond state-of-the-art capable of reasoning, autonomous learning and adaptation to personal needs, emotional and behavioural patterns, conditions and preferences as well as the users' living environment and their social connections. Solutions should be integrated seamlessly in existing every-day activities and provide desired information in fast and efficient manner. Attention theft by ICT (consuming too much of the user's time) should be avoided.

Proposals should address relevant ethics and gender aspects and should also assess related legal and regulatory questions such as ownership of data, data protection/privacy, liability and consumer protection. It is crucial that users are involved and drive the innovation at all stages of design and development, including user acceptability, satisfaction and impact in realistic settings.

The Commission considers that proposals requesting a contribution from the EU of between EUR 3 and 4 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact
The proposal should present methodologies and metrics as appropriate for measuring its progress towards the expected impact in:

- Usefulness and effectiveness of personalized recommendations and follow-up in terms of the goals of preserving physical, cognitive, mental and social well-being for as long as possible;
- Validation of non-obtrusive technology for physical, cognitive, social and mental well-being;
- Evidence of user-centred design and innovation, new intuitive ways of human-computer interaction, and user acceptance;
- Potential cost-effectiveness due to enhanced self-care, life-style and care management.

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<td>H2020-SC1-2016-2017</td>
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Call - Personalised Medicine

SC1-PM-17–2017
Personalised computer models and in-silico systems for well-being

Specific challenge

There is continuous progress in systems medicine, multi-scale modelling and patient-specific modelling, but these research opportunities have only been used sporadically in exploring well-being, disease prevention or rehabilitation. These areas of intervention are crucial for reducing healthcare demand, building sustainable healthcare systems and for assuring a healthy and motivated workforce. More, innovative methods are needed for better understanding the influence of biological (including microbiome), social, environmental, lifestyle, occupational, economic etc. factors on human physiology and thereby on well-being and health. This could help in identification of interventions for improving well-being and health: by increasing resilience to challenges and illness, by better prevention adapted to predispositions and behaviours (including gender), by better consideration given to functional troubles, by better strategies of recovery and rehabilitation after illness.

Scope

Proposals should aim at the development of new integrative computer-models and simulation systems of acceptable validity, with the potential to being reused, built on open service platforms and with application in well-being, health and disease. The projects must include computer modelling and simulations able to aggregate various information sets e.g. molecular, biochemical, medical imaging, social, lifestyle, economic, occupational, microbiome, environmental, developmental, psychological, gender etc. to generate robust predictors for resilience to challenges and recovery from stresses and illness. The models will process and apply individual/patient-specific information in a multi-scale approach for integrating information from at least one biological level from molecule to entire body within a wider context.

Proposals will focus on multi-disciplinary research in medicine, Social Sciences and Humanities (SSH) and ICT and should take advantage, when relevant, of existing large databases in clinical medicine, biomedical or occupational research, environmental sciences, SSH, so enabling and facilitating the accumulation and combining of complex and heterogeneous data collections. The models integrated in these multi-scale and multi-disciplinary approaches are to have their predictive capability validated by state-of-the-art clinical and/or laboratorial studies and/or against large health registries. Whenever relevant, proposals will integrate data collected over time in order to inform on individual trajectories with periods of well-being and periods of illness and on the heterogeneity of resilience and recovery that can be different during the individual lifetime.

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 and 6 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

- Benefit for health and well-being: new personalised interventions for increasing resilience and recovery.
- Advancements in medical computer-modelling and simulation that takes into account time scale.
- Supporting predictive and preventive approaches in medicine, neurosciences and life sciences.
- Improving knowledge about well-being and association with life circumstances.

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<td>14 March 2017</td>
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<td>Call identifier</td>
<td>H2020-SC1-2016-2017</td>
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Call - Personalised Medicine

SC1-PM-20-2017

Methods research for improved health economic evaluation

Specific challenge

Health systems need to be resilient. They must be able to adapt effectively to changing environments, and tackle significant challenges with limited resources. Many changes are taking place including demographics and burdens of disease, advances in biomedical research, health technologies and personalised medicine, and the availability of large, population-based data sets. These changes highlight the need and potential to develop new or improved methods for economic evaluation, including in the context of Health Technology Assessment and Health Systems Performance Assessment, to support decision-making.

While health economics research has generated evidence of differences between costs and health outcomes within and across countries, our understanding of major drivers of these differences is limited. Data on costs and health outcomes are available from an increasing range of sources, underlining the need for better data integration and synthesis of evidence. The challenge is to strengthen data generation for health economic evaluation, the methodological quality of the evaluations and their use in decision-making.

Scope

Proposals should provide new or improved methods for one or both of the following areas:

- Understanding variations in costs and health outcomes within and across countries
- Integrating data on costs and health outcomes from different sources

In terms of understanding variations in costs and health outcomes within and across countries, proposals should address which factors on the supply and demand side of a health system have major effects on the costs and outcomes of health-related interventions. This includes methods for more robust measures of wellbeing and quality of life, patient preferences, and experience, patient-reported outcomes, as well as methods for measuring broader economic and societal impacts such as on productivity. In the development of these methods, the perspectives of different important stakeholder groups in the health system and the broader economy should be taken into account.

With respect to data integration, proposals should develop improved or new methods to integrate data on direct and indirect costs of illness and on health outcomes from various sources, such as randomised controlled trials, observational studies, registries, surveys, routine administrative databases including social service records and eHealth. New methods should make use of the strengths of real-world data, while addressing their limitations. The development of new frameworks and models for integrating data sets from different sources should facilitate a continuous and informative assessment of health technologies, services and systems over time.

The research design should be developed by means of a multidisciplinary approach, integrating quantitative and qualitative methods and taking advantage of recent methodological developments in economics. Rigorous standards of health economic research should be applied, including the analysis of underlying assumptions. Research should consider aspects related to gender, socioeconomic status and other health determinants as well as issues related to data protection and relevant regulatory developments, as relevant.

The methods to be developed should be applicable to a wide range of health-related interventions spanning prevention and treatment. Furthermore, methods to be developed should take into account the diversity of health systems within and across countries. Research proposals should establish synergies with other relevant research projects and initiatives. Finally, methods and approaches should be validated, with a view to ensuring their applicability, including for routine use in health systems, and their suitability for addressing the above-mentioned changes and challenges faced by health systems.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 and 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

- Validated improved or new approaches for the collection and analysis of data for health economic evaluation, resulting in high-quality and comparable information within and across countries
- Validated improved or new approaches for integration of data from all relevant sources, to facilitate an informative and continuous assessment of health interventions and systems
- Validated improved or new indicators, measures and tools, to be used by decision-makers for resource allocation in health systems that are patient-centred, efficient and sustainable.

Type of action | Research and Innovation action
Deadline | 11 April 2017
Call identifier | H2020-SC1-2016-2017
## Topics with minor SSH relevance

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<tr>
<th>SC1-PM-08–2017</th>
<th>New therapies for rare diseases</th>
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<td>SC1-HCO-08–2017</td>
<td>Actions to bridge the divide in European health research and innovation</td>
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Societal Challenge 2

Food security, sustainable agriculture and forestry, marine and maritime and inland water research and the bioeconomy
Call - Sustainable Food Security
Resilient and resource-efficient value chains

SFS-20-2017
Towards a science-based regionalisation of the Common Fisheries Policy

Specific challenge
The new Common Fisheries Policy (CFP) envisages a regionalised ecosystem-based approach relying on detailed measures proposed jointly by Member States under the umbrella of common principles and benchmarks set up in EU legislation. This will require choosing appropriate management units (fisheries, fishing gears, sea basins, fish stocks, stock assemblages, target fleets, geographical units, etc.) and combining in an innovative manner management instruments and new governance mechanisms adapted to specific regional needs. Implementing this new approach to fisheries management is already a serious challenge for fisheries in European Atlantic waters. For Mediterranean fisheries, the challenge of regionalisation is exacerbated by the legal situation (narrow bands of EU waters with larger areas outside national jurisdictions), generally poor state of fish stocks (or lack of knowledge thereof), narrow continental shelves and the high number of small fishing vessels.

Scope
Future approaches to fisheries management must take much closer account of regional fisheries practices, the specificities of regional ecosystems, and of the diverse “multi-actor” interests as a basis for implementing an ecosystem-based approach, without disregarding the likely interconnections with large marine ecosystems. On a regional basis, projects should identify potential biological, technical, economic, administrative, social and societal barriers to achieving the CFP’s fisheries management objectives, through regionalisation instituted by Article 18 of the new Regulation (EU) No 1380/2013. Projects should identify potential social and economic imbalances arising from changes allowing the fishing industry and fisheries managers to adapt to new knowledge and new governance arrangements. Highlighting strengths and weaknesses of the emerging regionalisation process and structures, research projects should also develop and propose ways of resolving or circumventing barriers that have been identified and the means to evaluate how effective these ways are, especially in the Mediterranean Sea. Projects should consider work being carried-out in regional seas conventions (RSCs) and explore how RSCs and regional fisheries management structures can work better together.

In line with the objective of the EU Strategy for international cooperation in research and innovation (COM (2012) 497), proposals addressing the Mediterranean should contribute to implement the Research and Innovation Initiative for Blue Jobs and Growth in the Mediterranean Area (The BLUEMED Initiative).

The Commission considers that proposals requesting a contribution from the EU of up to EUR 6 million would allow this challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Projects funded under this topic will by default participate in the Pilot on Open Research Data in Horizon 2020, with the option to opt-out, as described in the introduction.

Expected impact
To improve regional implementation of the CFP and make progress on meeting the objective of maximum sustainable yield, proposals should:

- Improve the biological, economic, technical, social and environmental knowledge base for regionalised management decisions taking into account the relevant specific issues when dealing with Mediterranean fisheries.
- Share the project’s results with relevant stakeholders and promote uptake by relevant end-users to improve social and societal acceptance of fisheries management measures.
- Ensure that conservation measures are agreed at the regional level.
- Improve the professional skills and competences of those working and being trained to work within the blue economy.

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<td>1st stage - 14 February 2017</td>
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<td>2nd stage - 13 September 2017</td>
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<td>Call identifier</td>
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Specific challenge

Ecological or ecosystem-based approaches have emerged as an alternative to farming based on chemical inputs. Farming systems implementing such approaches (eco-functional intensification) are often defined as "low-input", but they generally require more knowledge and labour per hectare than those based on chemical inputs. To deliver agricultural products for the market and public goods for the society, there is a need for a better understanding of the socio-economic and policy factors that hinder or enhance the development of such systems by identifying the trends and drivers encouraging the involvement of farmers, actors in the value chain, consumers, educators and policy makers.

Scope

Based on case studies and representative farm typologies, proposals will involve drawing up an economic, environmental and social comparison of identified production systems implementing ecological approaches and conventional farms in the same sectors of production. A wide range of systems will be considered, e.g. organic and other low chemical input systems, systems implementing biological control, and diversified versus specialised systems. Various sectors will be covered, e.g. arable crops, livestock, vegetables and fruits, vineyards, agro-forestry, mixed farming integrating crop and livestock systems and/or multipurpose breeds. Different strategies will be compared, e.g. pursuing economies of scale in the conventional systems versus the economies of scope proposed for some ecological approaches. Economic performance and delivery of public goods will be evaluated on the basis of different indicators at farm, farm-group and territorial levels especially regarding biodiversity preservation, water related issues and climate mitigation. Specific emphasis will be placed on analysis of the labour productivity in terms of the amount and value of private and public goods produced. Incomes in the different systems will be analysed on the basis of market and public payments. Issues related to gender differences and demographic characteristics and patterns in farming communities should be investigated if relevant.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact

- improved integrated capacity and method to assess the sustainability of different agro-ecological approaches;
- increases in productivity, delivery of public goods and job creation through improved agro-ecological approaches and market and policy incentives; and
- strengthened transdisciplinary research and integrated scientific support for relevant EU policies and priorities (Common Agricultural Policy, Water Framework Directive, climate change objectives, jobs, etc.).

Type of action | Research and Innovation action
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Deadline | 1st stage - 14 February 2017 2nd stage - 13 September 2017
Call identifier | H2020-SFS-2016-2017
**Call - Sustainable Food Security**

Resilient and resource-efficient value chains

**SFS-34-2017**

Innovative agri-food chains: unlocking the potential for competitiveness and sustainability

Specific challenge

The sustainability of food systems is challenged by various interrelated factors, such as the changing socio-economic and political context, the scarcity of natural resources, environmental degradation and climate change. These challenges cannot be met by individual action, but require multi-stakeholder action and coordinated initiatives along the value chain. A new holistic, systemic approach to the design of processes within agro-food chains is needed to unlock their full potential and deliver economic, social and environmental benefits.

Scope

The research will provide in-depth insight into linkages and interactions between agri-food chain stakeholders, including understanding of their perception and behaviour with respect to sustainability objectives and cooperation, potentially resulting in the design of new processes within the agro-food chains and thus leading to new business models and better performing value chains. A holistic approach to improving mutual understanding and cooperation between value chain stakeholders (identifying incentives and barriers, and strategies and tools, e.g. technologies to overcome them) is to be explored, helping to create favourable conditions for cooperation, co-creation and innovation within value chains. The concept of social innovation and ways of measuring it throughout the value chain should be explored, taking into account the engagement of society and consumer acceptance. A plethora of policies and regulatory requirements influencing food production and consumption should be explored, and their implications as regards creating favourable overall conditions for cooperation, co-creation and innovation along the food chain. Proposals should fall under the concept of the multi-actor approach.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 6 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact

- enhance the capacity of actors within agri-food chains to design new processes leading to new business models and more efficient, equitable, sustainable and better performing value chains;
- enhance the innovation potential of the European agri-food chains in terms of adapting to change and increase their competitiveness, sustainability and resilience on European and international markets;
- strengthen farmers’ position in value chains through innovative approaches that enhance transparency, information flow and management capacity; and
- limit the negative impacts of agri-food chains on the environment, climate and health.

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SFS-35-2017
Innovative solutions for sustainable food packaging

Specific challenge
In recent decades, there has been much research into innovative food packaging technologies and solutions (e.g. active, intelligent, recyclable, easy-to-use, organic, antibacterial). This includes research aimed at reducing the environmental footprint of packaging material, increasing the shelf-life of food and developing food spoilage indicators, improving product design, optimising process efficiency, and reducing the need for chemical preservatives while maintaining the nutritional and sensorial properties of food. In spite of the progress made, much remains to be done to overcome the barriers to market uptake of many promising technologies.

Scope
Proposals should clearly address the problems associated with the scaling-up and commercialisation of eco-innovative solutions to packaging in a developing framework of social, economic and environmental conditions. Activities should aim to produce plans and arrangements or designs for new, modified or improved products, processes or services. For this purpose they may include prototyping, testing, demonstrating, pilot projects, large-scale product validation and market replication. Proposals may, if necessary, include limited research and development activities. If there are clear market failures or cultural or behavioural barriers to overcome, proposals may comprise activities such as validating the benefits for users/buyers, validating technical and economic performance at system level, validating standards, and activities to prepare market uptake, ensure consumer acceptance and optimise access to and the dissemination of results. Work is expected to benefit from contribution of social sciences and a gender approach. Participation of all relevant stakeholders in the food production and supply chains is encouraged. Demonstration activities will require the involvement of packaging and food processing companies, retailers and civil society organisations to bridge the gap between ideas that have been developed and their practical implementation.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 6 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact

With a view to supporting the transition from a linear to a circular economy, proposals should show how some, or all, of the following impacts will be achieved:

- wider and faster deployment of innovative, user-driven, packaging solutions resulting from greater industry and consumer acceptance, and higher visibility of innovative solutions, overcoming the barriers to market uptake.
- reduced waste in both food and packaging materials, and its negative impacts on the environment (e.g. resource utilisation, greenhouse gas emissions, pollution).
- strengthening of the EU’s position in manufacturing, improving competitiveness as well as opportunities for growth, diversification and job creation for the EU food and packaging sector in general, and SMEs in particular.
- strengthening the European food value chain through continued support to product quality, contributing to consumer trust and increased consumption.
- support for the transition from a linear to a circular economy.

Type of action  Innovation action
Deadline  14 February 2017
Call identifier  H2020-SFS-2016-2017
How to tackle the childhood obesity epidemic?

Specific challenge

Childhood obesity is one of the most serious public health challenges of the 21st century and its prevalence has increased at an alarming rate in the last decades. The main problem is that overweight and obese children are likely to remain obese in adulthood and more likely to develop noncommunicable diseases like diabetes and cardiovascular diseases at a younger age. An integrated EU approach to help reduce the impact on health of poor nutrition, excess weight and obesity is a political objective. A wide range of factors interacting at various levels are known to be associated with obesity. Overweight and obesity, as well as their related diseases, are largely preventable. Starting from an early age, diet and lifestyle have a strong impact on health throughout life. Therefore, the prevention of childhood obesity needs to be given a high priority.

Scope

Within the context of improving the health of citizens and promoting sustainable economic growth, the main objective is to reduce childhood obesity and its comorbidities effectively. Proposals should focus primarily on specific target groups in the young (e.g., during pregnancy and foetal development, in infants, toddlers, most vulnerable groups in children, adolescents). To better understand the complex interactions between the factors influencing obesity in individuals and populations, it is necessary to combine the approaches and expertise from different disciplines (e.g., (epi)genetics, molecular biology, microbiome, gut-brain signalling, physiology, nutrition, physical activity sciences, information and communication technology, social sciences and humanities, education, environment, architectural and urban design, psychology). Proposals should consider a range of geographic, socio-economic, behavioural and cultural factors. Proposals should aim at innovative and efficient strategies, tools and/or programmes for promoting sustainable and healthy dietary behaviours and lifestyles. Proposals should reflect and build on existing initiatives and platforms and should provide a robust science-based impact assessment of the tools, strategies and/or programmes delivered for further consideration by policy makers.

Tackling this societal challenge requires both interdisciplinary and multi-actor approaches engaging academics, policy makers, civil society and relevant industry and market actors. The gender dimension in the research content shall also be taken in account. In line with the strategy for EU international cooperation in research and innovation, international cooperation is encouraged, in particular with the US, Australia, New Zealand and Canada. Proposals should fall under the concept of the 'multi-actor approach'.

Expected impact

In the effort to tackle the childhood obesity epidemic, proposals should show how some, or all, of the following impacts will be achieved:

- Provide an understanding of which factors are involved and how they influence the childhood obesity epidemic.
- Provide innovative, efficient, effective, scientific evidence-based and ready-to-use tools, strategies and/or programmes to improve sustainable and healthy dietary behaviour and lifestyles in children.
- Transfer the generated knowledge and innovation to relevant stakeholders.
- Strengthen interdisciplinary research approaches and foster participatory and inclusive multi-actor approaches for long-lasting implementation of the results obtained.

Type of action | Research and Innovation action
---|---
Deadline | 1st stage - 14 February 2017  
| 2nd stage - 13 September 2017
Call identifier | H2020-SFS-2016-2017
SFS-40-2017
Sweeteners and sweetness enhancers

Specific challenge
In recent decades, sweeteners and sweetness (flavour) enhancers (S&SEs) have become key ingredients in food produced and consumed in the EU, and exported to and from it. Because of their diversity (natural/artificial, geographical origin, processing, caloric content, etc.), S&SEs are used in different foodstuffs and food processes and in different dosages. However, information is lacking about new and emerging S&SEs throughout the agri-food chain, (e.g. their potential use in single or multiple food (ingredient) production chains, traceability, production and/or processing (cost) efficiency, safety and quality risks/benefits (for single or combined use), allergenicity and sustainability. The interaction of all these factors influences the role of S&SEs in a healthy diet and the fight against obesity. In addition, the toxicological impact of relevant doses, combined effects and the prolonged use of S&SEs are still unknown and the health-related aspects need further investigation.

Scope
Proposals should focus on health, obesity and safety aspects (including combined/prolonged use, metabolic effects and gut brain signalling, neuro-behaviour, and effects on the microbiota) associated with S&SEs, including technological aspects (e.g. functionality and stability) of including S&SEs in food matrices. Activities indicated in the proposals should explore the sustainability of the whole value chain (ingredient sourcing, production/processing, market opportunities for new and emerging S&SEs). They should investigate consumer perceptions and preferences giving proper consideration to the underlying physiological, psychological and socio-economic drivers. The approach should be interdisciplinary and should give careful and detailed consideration to the regulatory framework. Proposals should also include dissemination to all stakeholders as well as the food industry, including small and medium-sized enterprises (SMEs). Where relevant, proposals should address gender-specific aspects and the gender dimension in the research content shall be taken into account.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 9 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact
With the objective of combating obesity, while improving sustainable food security in the EU, proposals should show how some, or all, of the following impacts will be achieved:

- Promote healthy diets and contribute to combating obesity while improving sustainable food security in the EU.
- Stimulate market uptake (with a specific focus on small and medium-sized enterprises) of new, healthy and sustainable S&SEs.
- Strengthen the EU economy with a move towards more sustainable and future-oriented business practices.
- Dissemination to EU food, health and food ingredient stakeholders, especially to food-related SMEs.
- Evidence-based policy inputs on health, environmental and food safety issues.

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Call - Sustainable Food Security
Resilient and resource-efficient value chains

SFS-48-2017
Resource-efficient urban agriculture for multiple benefits – contribution to the EU-China Urbanisation Partnership

Specific challenge
With increasing urbanisation, massive daily flows of agricultural products, water and energy coming from rural/remote areas to cities generate high amounts of heat, CO2, waste water and other waste. In certain contexts, urban agriculture has been shown to improve food security and to bring economic, environmental and social benefits to cities. Given the diversity of urban agricultural systems emerging worldwide, there is a need to demonstrate and assess how technological and social innovation in urban agriculture can help overcome the shortcomings of urban food systems while providing cities with other ecosystem services (e.g. mitigating climate change, closing nutrient cycles) and improving the resilience of urban areas.

Scope
The proposals should develop innovative integrated urban farming systems that use resources (e.g. space, energy, water, nutrients) more efficiently and re-use or recycle heat, water, CO2, waste or by-products from urban sources (e.g. industry, households) for horticultural production (e.g. fruits, vegetables, herbs, sprouts, mushrooms, algae, ornamental trees and plants). The production and use of renewable energies (e.g. solar/wind energy, biogas) in these farming systems will also be investigated. Activities should showcase several resource-efficient production systems in open or controlled environments, thereby providing a demonstration (at TRL 6-8) for the production of safe and high-quality products in different urban spaces (e.g. rooftop/vertical farming, individual/collective gardens, other unused spaces). The work should be carried out at least in one European city and in one Chinese city. Breeding activities are not in the scope.

The work will support the development of innovative production systems both conventional and organic and their associated value chains in cooperation with relevant local actors and stakeholders, and according to business models that target economic and social benefits. Attention will be paid to land use issues in particular in relation to urban-rural interactions (e.g. urban sprawl dynamics). Evaluation methods of multi-functional urban agriculture should be used to assess the contribution of these systems and value chains to cities' food security, and their economic, environmental and social impacts on the urban communities. A cost-benefit analysis of urban farming production systems and associated value chains should compare these to other options (including peri-urban and rural agriculture). Policy recommendations and best-practices guides for sustainable urban farming systems should be produced and knowledge platforms promoted.

Proposals should fall under the concept of the 'multi-actor approach' targeting all relevant actors such as researchers/technology providers, public authorities, and private actors (e.g. restaurants, retailers, urban farmers, real estate businesses) and promote the engagement of urban communities. SME participation is encouraged.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 7 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts. Contributions for Chinese participants will come in addition and will be made available by China.

Expected impact
Applicants will gauge the expected impact of the project as regards:

- the creation of shorter supply chains for safe, high-quality food and other horticultural products that reduce European and Chinese cities' ecological footprint by limiting losses and energy in transport and contribute to their food security;
- resource-efficient low-carbon urban farming systems that:
  - consume low amounts of water, energy, fertilizers, pesticides and space;
  - use waste heat, CO2, waste and rain water and other waste or by-products from urban source, contributing to the development of the circular economy;
  - minimize environmental impacts;
- improved knowledge of various business models for urban farming, including a thorough understanding of their potential for development, performance and impact on urban food systems in economic, environmental and social terms, and success factors or reasons for failures; and
- increased cooperation at international level, in particular involving exchanges of knowledge and best practices between the EU and China.

In the longer term, the results should contribute to a more sustainable and resilient urban development, in particular via the provision of ecosystem services (e.g. reduced air pollution, better water retention thus limiting floods, biodiversity, carbon sinks, recreation, greener urban landscapes), social cohesion and jobs creation.
Call - **Sustainable Food Security**  
Resilient and resource-efficient value chains

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**Topics with minor SSH relevance**

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<th>SFS-04-2017: New partnerships and tools to enhance European capacities for in-situ conservation</th>
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<th>SFS-30-2017: Closing loops at farm and regional levels to mitigate GHG emissions and environmental contamination - focus on carbon, nitrogen and phosphorus cycling in agro-ecosystems</th>
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<th>SFS-46-2017: Alternative production system to address anti-microbial drug usage, animal welfare and the impact on health</th>
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**BG-06-2017**  
**Interaction between people, oceans and seas: a strategic approach towards healthcare and well-being**

**Specific challenge**

The interaction between people, oceans, seas and coasts is a broad domain with significant impacts on human health and well-being. However, it remains fragmented, poorly understood and underexploited. As coastal populations grow worldwide, not only due to permanent dwellers but also due to increasingly larger number of tourists, the determinants and impacts of this link between oceans and people become more relevant. On the one hand, the seas provide benefits namely through food, feed and positive impacts on overall wellness. On the other hand, the risks associated with the marine environment include chemical and physical pollutants of anthropogenic origin, harmful algal blooms, and countless marine microorganisms that lead to a still poorly assessed proportion of human morbidity and mortality. Therefore, the challenge is to coordinate the existing multidisciplinary research knowledge and resources, including distributed infrastructures, across Europe. This would make it easier to take advantage of the benefits and to better manage the risks of the interaction between oceans and people using an ecosystem-based approach and to formulate evidence-based policies that can benefit citizens as well as achieving good environmental status.

**Scope**

Proposals should include a plan for the creation of a multi-stakeholder forum that would make it possible to better understand the potential health benefits of marine and coastal ecosystems including in economic terms, anticipate new threats to public health more effectively, identify ways of improving ecosystem services that the marine environment can provide and contribute to reducing the burden of diseases caused by the interplay between marine-degraded environments and human behaviour. This forum is expected to issue a strategic research agenda based on data covering the biological, cultural and socio-economic dimensions of the interaction between oceans and human health that can ultimately impact morbidity and mortality in the general population. Data should encompass sex and gender differences in the populations studied. Data should be assessed through an active involvement of diverse stakeholders across Europe, including local marine communities, civil society, industry, and public authorities.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 2 million would allow this challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

**Expected impact**

In order to support key EU policies, in particular those directly related to the marine and maritime sectors, such as the EU Blue Growth Agenda, the Blue Tourism Communication and the Marine Strategy Framework Directive, proposals are expected to:

- Create a multi-stakeholder forum that issues a strategic research agenda for oceans and human health, based on new scientific and/or technological evidence and best practices across different geographical locations and climates.
- Highlight novel, cost-effective solutions or interventions that enable effective policy making that aims to maximise health benefits and minimising risks derived from exposure to marine and coastal ecosystems.
- Actively involve local communities across different European maritime regions, comprising civil society, industry, public authorities in data supply, knowledge generation and solution implementation processes.
- Improve global cooperation around oceans and human health.
- Improve the professional skills and competences for those working and being trained to work within the blue economy.

**Type of action**  
Coordination and support action

**Deadline**  
14 February 2017

**Call identifier**  
H2020-BG-2016-2017

**Topic information**  
BG-08-2017
Innovative sustainable solutions for improving the safety and dietary properties of seafood

Specific challenge

The seafood production and processing industry contributes substantially to food security, employment and trade in regions where the activity takes place. To safeguard and strengthen this and make the activity more sustainable, seafood production should be market-driven and consumer-responsive, addressing challenges such as increasing consumer awareness of food quality and safety traceability and animal welfare. Ensuring the sustainability of the seafood processing industry involves not only innovative technologies that could mitigate production hazards and environmental damage but also securing its economic viability and taking account of the consumer imperatives behind them. One way of ensuring the sustainable production and processing of nutritious and safe seafood products is through the demonstration and first application in the market of eco-innovative, sustainable processing solutions of marine and aquaculture-derived food products and nutrients.

Scope

Proposals should build on state-of-the-art research insights from EU and other funded projects in this field, with a specific focus on nutrition, quality and food safety (from harvesting to the final products). They should aim to generate new knowledge to develop commercial solutions for improving the socio-economic and environmental sustainability of the seafood production and processing industry, while also contributing to product quality and safety. Activities should directly aim to produce plans and arrangements or designs for new, altered or improved products, processes or services. For this purpose they may include prototyping, testing, demonstrating, piloting, and large-scale product validation, all with a view to paving the way for subsequent market replication and uptake by consumers. Proposals may take into account impacts across different locations and population segments, as well as the specificities of different types of seafood, also in terms of nutrition. Work is expected to benefit from the contribution of social sciences wherever applicable. Where relevant, proposals should address gender-specific aspects, and the gender dimension in the research content shall be taken into account. Aspects of traceability, authentication and certification of EU seafood products and labels of quality should be conveniently addressed. The participation of SMEs that will benefit from the intellectual property and/or from the commercial use of the project outcomes is encouraged.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 7 million would allow this challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Projects funded under this topic will by default participate in the Pilot on Open Research Data in Horizon 2020, with the option to opt-out, as described in the introduction.

Expected impact

To contribute to EU food safety common standards and legislation for seafood products and nutrients, proposals are expected to:

- Ensure that eco-innovative solutions for the sustainable production and processing of marine and aquaculture-derived food products and nutrients are used more widely, as a result of greater user acceptance, higher visibility of innovative solutions and the creation of scalable markets.
- Improve the competitiveness of the EU seafood sector, and increase opportunities for growth, diversification and job creation for the sector in general and SMEs in particular.
- Benefit consumers by allowing them to make better-informed seafood choices.
- Increase the availability of healthier, safer and high quality seafood, which will improve consumers’ diet and health.
- Improve the professional skills and competences of those working and being trained to work within the blue economy.
## Call - Blue Growth
Demonstrating an ocean of opportunities

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BG-11-2017
The effect of climate change on Arctic permafrost and its socio-economic impact, with a focus on coastal areas

Specific challenge
Arctic permafrost contains twice as much carbon as the atmosphere, stored in the upper metres of the ground. Thawing of permafrost may trigger the release of this carbon and its transformation to greenhouse gases, reinforcing global warming (permafrost carbon feedback). Moreover, permafrost coasts make up 34% of the world's coasts. Increasing sea-level in combination with changing sea-ice cover and permafrost thawing expose these coastal areas to higher risks. Knowledge gaps exist in relation to the transfer of material - including organic matter - from land to sea and its fate, with the consequence that processes of accumulation and/or subsea permafrost degradation are not accounted for in global climate and Earth system models. The pressing challenge is to understand the impact of permafrost thawing on climate change and its implications for the environment, for the indigenous populations and the local communities. Finally, permafrost thawing affects the stability of built infrastructure.

Scope
Actions should assess the impact of permafrost thawing on Arctic (natural and human) coastal systems and its effect on the availability/accessibility of resources, the stability of infrastructure, the growth of potential new economic activities, as well as on pollution and health. The research should employ a holistic and trans-disciplinary approach and in co-operation with stakeholders. It should consider the needs of and the impacts on indigenous populations, local communities and economic actors operating in this vulnerable region in the sustainable development context. Actions should address key processes of environmental change and develop appropriate adaptation and mitigation responses with an emphasis on permafrost at the interface between land and water.

Proposals should develop relevant forms of communication for EU (and possible national) services to adequately disseminate results that could be used for policy action. Trans-disciplinary and participatory approaches, including social sciences and humanities, in the process are considered necessary. In line with the strategy for EU international cooperation in research and innovation, actions will contribute to implementing the Transatlantic Ocean Research Alliance. Due to the specific challenge of this topic, in addition to the minimum number of participants set out in the General Annexes, proposals should benefit from the inclusion of partners from the USA and from Canada. International cooperation with partners from other Arctic and non-Arctic third countries is also strongly encouraged.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 10 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Projects funded under this topic will by default participate in the Pilot on Open Research Data in Horizon 2020, with the option to opt-out, as described in the introduction.

Expected impact

- Improve the capacity to predict the impacts of permafrost thawing, both sub-sea and on land, identify and reduce uncertainties, and quantify key processes not currently or poorly represented in predictive models;
- Develop capacity to manage risks and to take advantage of opportunities emerging from Arctic changes;
- Promote the engagement of and interaction with residents of Arctic coastal communities and indigenous societies and develop a legacy of collaborative community involvement with scientific, economic, and societal actors and stakeholders on the development of Responsible Research and Innovation agendas that meet their concerns and expectations;
- Contribute to the ongoing and possible future OSPAR actions in Arctic water;
- Improve the professional skills and competences for those working and being trained to work within this subject area;
- Contribute to implementing the Sustainable Development Goals (SDGs), in particular SDG 13 'Take urgent action to combat climate change and its impacts', as well as the conclusions of the COP21 Paris Agreement.
## Call - Blue Growth
Demonstrating an ocean of opportunities

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### Topics with minor SSH relevance

**BG-07-2017: Blue green innovation for clean coasts and seas**

Call - **Rural Renaissance**
Fostering innovation and business opportunities

**RUR-02-2017**

**Coastal-rural interactions: Enhancing synergies between land and sea-based activities**

**Specific challenge**

At the interface of land and sea, coastal areas are environmentally fragile but also attractive areas with unexploited business opportunities. Land-based activities in coastal regions and even beyond, in upstream river-basins, influence the availability and quality of fresh water reaching the sea and, as a consequence, coastal and sea-based economic activities (including tourism) and the exploitation of marine resources. Equally, coastal development can have positive or negative effects on hinterland development, e.g. tourism-related pressure on land availability. Mainstream agro-environmental policies tend to fail when it comes to lowering nutrient load on the shorelines while rural economies do not always benefit from the economic development on the coast. There is a need to **explore how territorial governance approaches and cross-sectoral economic development approaches could deliver mutually beneficial impacts** for rural territories and coastal areas and seas which cannot be achieved in other ways, in particular as regards mitigating the impact of land-based activities on coastal water quality.

**Scope**

**Combining environmental, agricultural and socio-economic research**, proposals will identify and analyse interactions between land (coast and hinterland) and sea, identify the various components of local economies at the interface of land and sea and analyse their respective importance and short, medium and long-term development trends taking into account market, demographic, environmental and climate forecasts. The analysis should provide an inventory of the positive and negative externalities of different activities, including the effect they have on each other, and consider whether solutions exist to mitigate negative externalities and enhance positive externalities, listing motivations and barriers to change for the types of player involved. The analysis should highlight potential cross-sectoral interactions and innovation that could emerge from greater cooperation between sea-based and land-based businesses or organisations.

The analysis should cover a representative set of coastal areas or regions across Europe varying according to size and geographical, environmental, socio-economic, institutional and administrative conditions (regional, inter-regional, macro-region, cross-border). Interactive research approaches should be used to engage with local businesses and citizens and elaborate options for cooperation, networking and integrated governance seeking to enhance partnership. Activities could usefully build on a review of positive (and perhaps negative) examples from different areas, including **innovative business models** integrating land-based and sea-based production with simultaneous benefit for the local economy, local jobs and the environment both on the coast and in the hinterland. Proposals could seek to create long-lasting relationships within and between the case study areas benchmarked by the project in order to generate knowledge exchange. Concrete outputs would include a set of tools which could be used to foster synergistic relationships in different coastal areas of Europe, and concrete and operational governance models to be applied. The potential use of instruments provided by the European Structural and Investment funds for the period 2014-2020 should be explored. Communication and dissemination activities should be carefully targeted and planned to reach out to all potentially interested areas beyond those participating in the consortium. Training material and coaching activities may be envisaged. Some cooperation activities with projects financed under topic RUR-1-2016 could be included.

**Proposals should fall under the concept of the ‘multi-actor approach’ and involve farmers groups and other land and sea-based businesses, and economic and local development bodies.** Engaging with managing authorities of European structural and investment funds during the project would help increase implementation of the project outcomes. The Commission considers that proposals requesting a contribution from the EU of up to EUR 5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

**Expected impact**

Results are expected to contribute to the long-term improvement of sea water quality combined with the creation of added value and jobs in coastal areas and hinterland through:

- development of a transferable set of tools and indicators allowing the quantitative and qualitative description of a wide variety of economic, environmental and social land-sea interactions, thus improving understanding of economic and social interactions in coastal areas, serving a more evidence-based policy-making at local and regional
level;

- a thorough understanding of the factors (barriers and motivators) influencing behaviour and solutions to enable joint actions;
- increased potential for job and added-value creation in coastal areas thanks to the identification of new business opportunities stemming from closer cooperation between land- and sea-based economic operators; and
- reduced negative externalities from land-based activities in the regional hinterland on sea-based activities thanks to better economic cooperation and integrated governance.

The project may lead to the creation of longer-term relationships between coastal areas serving as European flagships for rural-coastal synergies and ensuring longer and wider dissemination.

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Call - Rural Renaissance
Fostering innovation and business opportunities

RUR-03-2017
Towards 2030 - policies and decision tools for an integrated management of natural resources

Specific challenge
The land used by agriculture and forestry is subject to an increasing range of potential uses from food or biomass production, to recreation including soil sealing. Policies influencing the management and use of land resources at regional, national and EU levels have evolved considerably in the past decades as underpinning objectives have widened to meet societal needs (food security, environment, energy, climate change, etc.). However, this process has been fragmented and incomplete. In addition the technology and information available to decision-makers have advanced significantly in this time. To ensure sustainable management of land resources in the long term there is a need for an integrated framework that addresses all society’s objectives appropriately by understanding trade-offs between uses and by incentivising actions / behaviours / investments contributing to desirable targets. Appropriate decision-support tools are needed to help implement such an integrated and systemic approach.

Scope
Activities will take place on various geographic scales reflecting levels of policy / use relevance, from regional to EU levels. Investigations relating to both policy and decision tools will be fully participatory so as to ensure the involvement of the society at large. Investigations in policies will take account of all current and expected major societal needs as regards land resources and their use in terms of products, ecosystem services and other types of goods, services and functions. Decision-support tools and models will help prioritise multiple land uses at various geographic scales (meso level and related regional strategies + policies at regional/national/EU level), taking advantage of existing databases and tools and what is possible on the basis of modern capabilities. Activities will mainly include agriculture and forest land use/cover and will extend to interactions of the former with other main land uses/cover. This should ensure usability of the results in larger contexts. While focusing on Europe, proposals are encouraged to draw on good examples from elsewhere.
Proposals should ensure that the integrated framework and systemic approach proposed will be compatible with and/or improve existing databases and tools used at the European Commission, with the aim to ensure synergies and targeted contributions to the complex policy analysis.
The Commission considers that proposals requesting a contribution from the EU of up to EUR 7 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact
The project results are expected to:
- improve knowledge of land resource availability and use at various geographic scales;
- improve decision support tools for the management of land as a resource;
- improve climate resilience of agriculture and forestry, and
- provide the basis for a coherent and integrated policy framework for the management of land resources at regional / national / EU levels.

Type of action
Research and Innovation action

Deadline
1st stage - 14 February 2017
2nd stage - 13 September 2017

Call identifier
H2020-RUR-2016-2017

Topic information
RUR-05-2017
Novel public policies, business models and mechanisms for the sustainable supply of and payment for forest ecosystem services

Specific challenge

Regional differences with respect to the forest management systems implemented and long production cycles characterise the forestry sector in the EU. Forests generally provide for a range of goods and services, some valued by existing markets (i.e. wood and non-wood products), others not. Of the latter, some are “public goods” (i.e. they are non-excludable (everyone benefits from them) and are not subject to consumption rivalry), such as carbon sequestration and landscape, while others are "common-pool resources" (i.e. they are non-excludable goods but subject to competition in use), such as recreation or water supply. The regulatory framework is divided into forest polices and forest-related policies (e.g. rural development, climate, biodiversity, and energy) which are not necessarily mutually reinforcing. The responsibility for forest policies ranges from EU level (monitoring, protection, land use, land use change and forestry (LULUCF) reporting, etc.) to Member State or federal state level (inventory, planning, management, etc.). If the policy or market fails – a recognised threat – the undesired outcome is suboptimal provision of ecosystem services. The sustainable provision of ecosystem services therefore requires policy coordination, and the use of novel policies, business models and mechanisms, while taking into account the production of wood and non-wood forest products. Several EU Member States, with the help of the European Commission, are currently mapping and assessing the state of forest (and other) ecosystems and their services in their respective national territories as part of the 'Mapping and Assessment of Ecosystems and their Services (MAES) exercise. There is now significant scope to capitalise on these efforts and for greater implementation of the knowledge they have generated in practice.

Scope

Proposals should aim to develop novel public policies, business models and mechanisms to "internalise" the proven socio-economic value of forest ecosystem services ("externalities") and contribute to their sustainable supply, with proper consideration given to the multifunctional role of EU forests. Proposals should consider the holistic basket of economic, socio-cultural, recreational and environmental services, from both the supply and demand side, and the trade-offs between them. They should aim to close the gap between academic work, associated policy recommendations, and practice on the ground, and help achieve public acceptability. The role of active forest management, which incurs reduced income and/or higher investment, needs to be emphasised. Specifically, there is a need to develop mechanisms for the payment of ecosystem services at the appropriate level of forest management and administration. The pilot testing of the proposed mechanisms, which may combine public policy tools with business models, is encouraged. Proposals should include contributions from the social sciences and humanities, fall under the concept of the "multi-actor approach" and seek public engagement with regard to the groups of stakeholders included in the consortia and the proposed business models/mechanisms.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 4 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission or selection of proposals requesting other amounts.

Expected impact

Proposals should show how some, or all, of the following impacts will be achieved:

- Enhanced coordination in policy making together with the development of novel policies and business processes, translated into increased incentives for forest owners/administrators to sustainably supply essential ecosystem services, such as carbon sequestration, biodiversity conservation, water regulation, soil and nutrient regulation, landscape and recreation, while maintaining production of wood and non-wood forest products.

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Call - Rural Renaissance
Fostering innovation and business opportunities

Dedicated Topic

RUR-09-2017
Business models for modern rural economies

Specific challenge

The modernisation of rural economies depends on the capacity of rural businesses to cooperate successfully to form efficient value chains which will deliver competitive products and services, high-quality and diverse jobs, and resilience to global economic and climate changes. The greater interest being shown in regional and local economies, resource-efficient and low carbon value chains or short supply chains provides opportunities to rethink and improve value chain organisation so as to turn specific assets into economic, environmental and social benefits, including through enhanced valorisation and optimisation of ecosystem services. There is a need to identify business models that have the most potential to empower rural communities to take advantage of these opportunities.

Scope

Building on the outcomes of past European projects on rural economic development and rural jobs, proposals will identify innovative business models that are developing in rural areas, have significant potential to create added value, social cohesion and jobs, and are likely to be upscaled to or replicated in other areas, taking into account the diversity of conditions in different areas. Proposals should undertake socio-economic analyses to identify, describe and benchmark different business models in terms of starting conditions, obstacles faced, enabling factors, financing mechanisms, generation of added value, jobs and other potential environmental and social benefits, gender issues, attractiveness to young workers, and the distribution of the value generated, exploring the concept of shared value. Particular attention should be paid to models that foster a more sustainable mobilisation of resources, improved cooperation between operators along the value chain and/or across traditional and developing sectors (e.g. via clusters/platforms), and lead to new products or services, and the recycling or up-cycling of materials. Proposals should consider food, bio-based value chains and other forms of rural business or service, in particular those based on digital technologies or valorisation and optimisation of ecosystem services. Proposals should produce practical and business-oriented tools, e.g. a collection of business cases, targeting new entrepreneurs who would like to set up businesses in rural areas and seek guidance and benchmarks on similar businesses to draw up their business plans. Proposals should fall under the concept of the multi-actor approach, engaging relevant actors such as businesses/entrepreneurs, business or economic development organisations and innovation support services, involved in development of these new business models. Communication and dissemination activities should be carefully planned and targeted to reach audiences likely to take up, replicate and adapt the business models identified. Selected projects should cooperate closely to maximise impact across Europe (e.g. production of common tools for entrepreneurs and stakeholders, joint analysis and recommendations, joint dissemination plans). The Commission considers that proposals requesting a contribution from the EU of up to EUR 4.5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact

This action contributes to the modernisation and sustainable growth of rural economies. Applicants will measure the expected short-term impact of the project on the basis of:

- improved tools for entrepreneurship in rural areas, in particular with a database of business cases and supportive environment (e.g. clusters/platforms, technical/scientific services and infrastructure, advisory services, funding opportunities); and
- improved knowledge of business models emerging in rural areas, including a thorough understanding of their potential for development, performance and interest in economic, environmental and social terms and success factors or reasons for failures.

In the longer term, the results will:

- increase the potential for rural economic diversification, added value and job creation in a variety of rural areas thanks to the dissemination of promising business cases;
- make rural economies and societies more resilient to global changes; and
Call - Rural Renaissance
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- improve the delivery of ecosystem services resulting from innovative forms of valorisation.

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Call - Rural Renaissance
Fostering innovation and business opportunities

RUR-13-2017
Building a future science and education system fit to deliver to practice

Specific challenge
Transition towards more sustainable agriculture, forestry, food and bio-based value chains, equipped to face the challenges ahead, requires a renewal and strengthening of the technical and soft skills of all concerned. Along with ensuring delivery of peer-reviewed output from practice-oriented research, this will contribute to an efficient and interactive agricultural knowledge and innovation system (AKIS).

In 2010, 71% of European farm managers were operating on the basis of practical experience only. Education levels vary greatly depending on country, farm manager’s age and gender, or farm structures, and this can hamper innovation. As the proportion of farmers with secondary and tertiary education rises, education will play an increasing role in farmers’ capacity to co-create and implement new techniques and practices, anticipate and adapt to legislative, policy, market and environmental changes, design innovative ways of marketing their products and take part in interactive innovation systems and networks. New production processes and new types of supply chain in the wood, food and bio-based industry sectors also create a business demand for new skills. On the science side, there may be a shortage of researchers and capacities in fields of science of crucial importance for sustainable agriculture which are under-developed or unattractive in Europe.

While basic research remains necessary, a crucial challenge is also to remove bottlenecks to the delivery of practice-oriented research to end-users. Current research evaluation systems are based mainly on scientific publications and give little incentive, appreciation or reward to scientists willing to invest in practice-oriented research. Some front-runners are engaging in new ways of rating such research activities that deserve to be assessed, applied to agriculture and may be upscaled to a wider range of research providers and funding bodies.

Scope
Proposals will involve the production of a challenge- and foresight-based inventory of skills that will be needed in agriculture, forestry and related value chains, covering primary producers, advisors, industry, businesses and scientists. Proposals will review how current science, education and training systems in a wide and varied range of EU Member States (and possibly third countries) cater for these needs, seeking to draft roadmaps for the improvement of curricula, learning methods and long-term interaction between education, science and economic players. Particular attention should be paid to soft (e.g. entrepreneurial, intermediation and communication) skills in particular for farmers, advisors and researchers, and technical skills related to new practices or processes and sustainability requirements in scientific fields of importance for the future. Needs should be differentiated in the light of the variety of farming systems, current trends in structural change, emerging business models in farming and subsequent value chains and geographical conditions. Proposals should analyse how education and training systems could improve, in particular by attracting more farmers and other players to engage in sufficient education and lifelong learning and by ensuring that these systems are fit for purpose and permanently updated. Piloting of new curricula and training methods in some of the participating institutions could be considered. The effectiveness of existing EU policy instruments on education and training in this area should also be assessed and improvements proposed. Proposals will take into account relevant EU initiatives to ensure potential synergies (e.g. Erasmus+, Marie Skłodowska-Curie actions, Knowledge and Innovation Community Food for Future, etc.).

Furthermore, proposals should develop an operational system for encouraging and measuring performance and reviewing outputs of interactive innovation and practice-oriented research, with a view to improving their effective delivery and the uptake of best practices from the field. They should build on front-running initiatives and assess different options currently being tested in the EU or elsewhere (e.g. the EIP-AGRI common format). Activities should deliver practical methodologies and criteria for i) measuring performance of research providers and projects with regard to their outputs for practice; and ii) translating academic knowledge into practical knowledge easily understandable by end-users. To this end, proposals should develop a peer-review system for research outputs ready-made for delivery to farmers and foresters, exploring all components required to operate such a system. Proposals should build on the analysis to make further policy recommendations on how to develop education, training and science in the future. Proposals should fall under the concept of the ‘multi-actor approach’ and be highly participatory, involving specialised education bodies, farming/forestry sector representatives and advisors from the outset of project development to maximise bottom-up elaboration and final uptake of project results. It may be useful to involve authorities in charge of curriculum development and measuring research impact. Communication and dissemination activities should reach out far beyond the consortium to improve the uptake of research results.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 7 million allow this specific challenge
to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact

This action should improve the performance of science and education systems and their benefits for agricultural and forestry sectors and related industries. The following impacts are expected:

- a shared inventory of the skills needed for a transition to more competitive and sustainable agriculture and related value chains, serving as a basis for continuous and longer-term cooperation between education bodies across Europe, leading to intensified exchanges and regular updates of the inventory;
- improved technical and soft skills for farmers, foresters, advisors, industry employees and scientists, translating into better farm management, increased competitiveness, sustainability and resilience to environmental, climate and market changes;
- greater awareness of gaps in research capacities and specific fields of science of crucial importance for sustainable agriculture;
- increased efficiency of agricultural knowledge and innovation systems in the EU thanks to i) improved linkages between education, science and economic players, ii) enhanced capacity of players to interact with one another, and iii) contribution to an institutional shift towards better recognition and rewarding of practice-oriented research;
- improved quality and usefulness of research outputs for the immediate use by farmers, foresters or value-chain businesses, thanks to a peer-review system leading to an improved implementation of research results by end-users and an innovative agricultural sector; and
- recommendations for improved policies for education, agriculture, research and innovation at European, national and regional levels.

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Call - Rural Renaissance
Fostering innovation and business opportunities

RUR-15-2017
The benefits of working with others – fostering social capital in the farming sector

Specific challenge

The environmental and economic sustainability of the farming sector depends to a great extent on farmers’ and land managers’ capacity to develop activities and participate in networks with fellow farmers, groups and other entities or individuals. Despite the benefits of such approaches, farmers’ involvement in them is low in a number of European countries, for various reasons. To address this, we need to investigate and find ways of overcoming the constraints and disincentives that impede the development of such approaches in different areas of collective action (productivity, information sharing, sustainability).

Scope

Proposals will primarily cover EU Member States where the level of organisation of farmers and land managers is considered low. Activities will address constraints on the development of cooperatives/networking activities in particular areas (economic activity, environmental sustainability etc.) and draw up solutions based on case studies, identified best practices, participatory workshops, etc. Proposals should fall under the concept of the ‘multi-actor approach’.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact

The project results are expected to:

- improve understanding of farmers’ attitudes to cooperation and networking;
- provide recommendations for policy-makers to foster social capital in the farming sector; and
- lead to higher levels of farmer organisation in the medium to long term.

Type of action
Coordination and support action

Deadline
14 February 2017

Call identifier
H2020-RUR-2016-2017

Topic information
Call - Rural Renaissance
Fostering innovation and business opportunities

RUR-16-2017
Optimising interactive innovation project approaches and the delivery of EU policies to speed up innovation in rural areas

Specific challenge
A number of recent initiatives and instruments for speeding up innovation deserve in-depth exploration. Horizon 2020 and the European Commission’s Communication on the CAP towards 2020 have focused attention on innovation in agriculture and related sectors. The European Innovation Partnership (EIP) "Agricultural Productivity and Sustainability", a new approach under the Europe 2020 strategy, aims to speed up EU research and innovation by linking existing policies, instruments and actors. The agricultural EIP in particular implements the interactive innovation approach which relies on knowledge exchange and the empowerment of all actors concerned, and focuses on getting results implemented in practice. An EU wide EIP network is connecting the EIP Operational Groups funded under rural development programmes and provides interaction with Horizon 2020 projects. Apart from Horizon 2020 multi-actor research projects and thematic networks compiling practice-ready knowledge, other EU and national policies may also contribute to innovation, e.g. the Farm Advisory System, Rural Development funding supporting farm advisory services, knowledge and information actions, LEADER, specific national/regional or particular H2020 instruments etc. All of these contribute to innovation in agriculture and forestry. The challenge is to improve their targeting and interlinking - if and where needed - , and possibly learn from relevant insights from outside Europe.

Scope
Proposals should explore how instruments and approaches under the various policies could be further adjusted and how they contribute to innovation in the agricultural and forestry sector. Learning also from experience at international level, proposals should investigate the design and implementation of interactive innovation projects, on the basis of a substantial number of case studies of interactive projects in a broad range of agriculture and forestry sectors.

An essential part of this topic would develop detailed best practices/approaches for H2020 multi-actor projects and thematic networks at project level. On the basis of a series of cases of existing multi-actor projects and thematic networks, proposals should develop best practices for consortia to combine as much as possible both scientific and practical knowledge in their projects and exploit them to the full. Special attention needs to be given to the role of facilitators that mediate between different types of actor and to the particular management/coordination needs of this type of project, with a view to intensifying knowledge exchange between actors. Examples of unsuccessful approaches where project implementation is not delivering as expected are also relevant: ‘facts’, ‘feelings’ and group dynamics should be taken into account. Activities should investigate how co-creation and co-ownership of project results can be improved and quantified/qualified in order to speed up the use of project results in practice. Activities will examine how practically/legally to construct consortia with different types of actor, taking into account the different status of the various types of organisations involved (partner, subcontractor, etc.). Projects should also explore pathways for involvement of secondary and higher education as actors in interactive innovation projects, including H2020 multi-actor projects, thematic networks and EIP Operational Groups. Furthermore, activities should examine how multi-actor projects and thematic networks can seek synergies and intensify effective linkages with Operational Groups and other interactive innovation projects under national/regional/European policies.

Proposals should fall under the concept of the 'multi-actor approach' involving key actors in the AKIS (farmers, advisors, researchers, research bodies, social scientists, managing authorities, network agents, enterprises, etc.) and using the work of the SCAR-AKIS Strategic Working Group, as appropriate. They may include insights from outside Europe. The Commission considers that proposals requesting a contribution from the EU of up to EUR 5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

Expected impact

- a description of supporting mechanisms and governance for a more efficient management of interactive innovation projects, including pathways for improved interaction with existing sectoral, rural and innovation actors and networks at local, regional, national and EU level and to the Farm Advisory System under the Common Agricultural Policy;
- development of best practices for building and implementing multi-actor project proposals and consortia under H2020,
Call - **Rural Renaissance**

Fostering innovation and business opportunities

- including thematic networks compiling knowledge for practice;
- delivery of a set of good examples of various types of multi-actor research projects and thematic networks which compile practice-ready knowledge and connect successfully with Operational Groups;
- better quantitative and qualitative measurement of scientific efforts impacting agricultural practices and systems, including the impact of the facilitating actors and the involvement of education; and
- suggestions for public policy governance mechanisms, contractual arrangements and appropriate funding instruments providing for effective interactive projects, enhancing innovation-driven research and advisory services leading to more competitive, sustainable and climate-smart agriculture.

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## Call - Rural Renaissance
Fostering innovation and business opportunities

### Topics with minor SSH relevance

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**BB-05-2017: Bio-based products: Mobilisation and mutual learning action plan**

**Specific challenge**

Ensuring that research and innovation in bio-based products and processes is not only excellent, but also relevant and responsive to the needs of all actors is important, not least in ensuring the uptake of results. Surveys show that consumers and citizens in general have little awareness and knowledge of bio-based products (BBP). To improve market uptake of bio-based products, shape future research in BBP science, technology and innovation and meet the views and expectations of society, there is a need for a broad, inclusive assessment of the challenges and opportunities at hand. **Multi-actor approaches are needed to identify and address both the risks and different stakeholders’ interests and aspirations, in order to maximise the benefits of new bio-based business models within society.** Mobilisation of all actors along the value chain is crucial to mitigate the probability of "technology mismatches" (i.e. development of technologies without a corresponding reliable and cost-efficient feedstock supply, or which face insufficient market demand).

**Scope**

The Mobilisation and Mutual Learning Action Plan (MML) should **ensure the engagement of all relevant groups and tackle innovation related challenges by establishing a multi-stakeholder platform**, gathering a plurality of actors with different perspectives, knowledge and experiences, and maintaining open dialogue between the different stakeholders.

The objective of the platform should be the development and implementation of an Action Plan that would address the challenges of raising awareness of and engaging with the citizens on the bio-based products. Proposals have to be based on and develop the concept of Mobilisation & Mutual Learning Platforms (MML). The design of this platform and its activities should take into account and build on methods developed previously in European projects and initiatives (including consultation processes in the field of bio-based products). The Commission considers that proposals requesting a contribution from the EU of up to EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude the submission and selection of proposals requesting other amounts.

**Expected impact**

The direct and sustainable impact of proposals will be:

- to create networks of specific target groups in order to raise citizens' awareness and understanding of bio-based products;
- to create a better framework for new bio-based market opportunities, through broad stakeholder engagement leading to responsible, reliable, and societally acceptable solutions;
- to contribute to responsible policy-making, helping to shape further research on bio-based products and improving acceptability of existing bio-based products.

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Societal Challenge 3

Secure, Clean and Efficient Energy
EE-07-2016-2017

Behavioural change toward energy efficiency through ICT

Specific Challenge:
The objective is to demonstrate that ICT-based solutions can contribute to saving energy by motivating and supporting behavioural change of energy end-users.

The main challenges are (i) establishing cost-effectiveness, i.e. demonstrating that solutions allow a good return on investment through energy savings (ii) making energy usage data accessible to the consumer and to designated third parties (for application development or designing new business models around them) and (iii) demonstrating that energy savings can be achieved without compromising comfort levels.

Scope:
Activities are focused on the development of innovative user-friendly digital tools and applications or services making use of energy end-user generated information or captured from in-home equipment/sensors (like smart meters, communication-enabled heat metering tools, smart plugs, smart appliances and/or energy-aware products), in possible combination with intelligent controls and automation, with the purpose to significantly enhance energy efficiency by behavioural change of end-users taking informed decisions. The solutions will focus on empowering consumers (buildings managers, buildings owners as well final users including residents, housing associations, visitors, public actors, etc.) to engage and collaborate in achieving energy savings and allowing them to explore different means and measures to manage their energy needs over the longer term.

Proposers should integrate and validate different technological elements, each element with at least TRL 6 (please see part 6 of the General Annexes), combined with appropriate business models and social acceptance parameters.

Insights from social and behavioural sciences should be used to understand: (i) factors influencing consumer choices and (ii) the impact of consumer behaviour on the energy system. Where relevant, gender, socio-economic, demographic and cultural differences should be identified and taken into account as a means of segmentation and tailoring actions to target groups.

The proposals should respond to the following:

- **The need for efficient and compact consortia**, involving, as appropriate, ICT developers and providers, manufacturers of home appliances, energy experts, social sciences and humanities experts, citizens representatives, as well as utilities (DSOs or retailers), energy service companies (ESCOs) and building managers.
- **The impact of indoor climatic conditions on personal health, productivity and comfort.**
- **The developed solutions should be deployed in a variety of building types located in at least two different climatic regions. Access to the buildings should be guaranteed, together with all relevant building information, including smart metering infrastructure.**
- **The proposed solutions shall be deployed and validated in real environments, clearly defined and monitored, for a period of at least 1 year, ensuring credibility and consistency of conclusions. Validation should cover business models and RoI, and should include detailed plans for sustainability and large-scale uptake beyond the project lifetime.**

ICT solutions should primarily address energy efficiency, but may integrate other solutions including also indoor climate, building/home security or health monitoring. This “packaging” approach would need to demonstrate the added benefits for consumers, as well as the market potential.

Proposals should take into consideration the projects supported under the topic EE 11 of the Work Programme 2014-2015 of the Horizon 2020 Energy Challenge[1].

The topic EUB-02-2017 ("Utilities: energy management at home and in buildings") in Part 5.i. Information and Communication Technologies of the Work Programme/ LEIT is also relevant and addresses similar challenges.
The proposers should explain in detail how they will address possible ethical issues like research with human participants and personal data protection.

The proposers should also explain what will happen after the end of the action of any project-related equipment deployed in buildings for the purpose of the project. Costs for the purchase of mobile devices like mobile phones, tablets as well as cost for services of internet connections are not eligible under this topic.

Proposals requesting a contribution from the EU of between EUR 1 and 2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Proposed actions are expected to demonstrate the impacts listed below (wherever possible, use quantified indicators and targets):

- Significant reduction of final energy consumption prompted by innovative ICT solutions clearly quantified and substantiated, and subsequent reduction of CO2 emissions.
- Accelerated wider deployment and adoption of user-friendly ICT solutions prompting behavioural change and energy efficiency, including plans for its sustainability after the project’s life and potential/readiness for replication.
- **Number of energy end-users changing their behaviour documenting why and how changes are an effect of particular measures taken**, as well in terms of the sustainability of the behavioural change.

The proposals should quantify foreseen impacts, using preliminary but credible baselines and benchmarks to substantiate calculations and clearly demonstrate how the energy savings will be measured and reached.

Proposals are encouraged to take advantage of using the already developed common methodologies for calculating energy savings in public buildings and social housing.

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Call - Competitive Low-carbon energy

LCE-05-2017
Tools and technologies for coordination and integration of the European energy system

Specific Challenge:
The increasing share of variable renewable energy sources and the 2020 and 2030 targets for the reduction of greenhouse gas emission in the EU are calling for important changes in our energy system: more flexibility, more active involvement of all stakeholders and more collaboration. If no actions are taken, the power system will face several risks such as, poor quality of the electricity supply, congestion, lack of stability, excessive levels or curtailments, impossibility to cope with electro mobility demand, etc. The challenge is therefore to create and deploy common tools for planning, integration and operation across the energy system and its actors.

Scope:
Proposals must target the development of technologies, tools and systems in one or several of the following areas:

- Novel European grid and end-to-end energy system planning tools, including foreseeable features such as storage, aggregation, demand-response and integrating cost aspects;
- Enhanced TSO / DSO collaboration and coordination tools, secure data exchange across networks along whole the value chain, ICT tools for cross-border trading for nearly real-time balancing; definition of minimum set of specifications to allow automated digital cross-border electricity market;
- Solutions for the deployment of neutral data access points ensuring a fair and transparent data access to all energy actors (TSOs, DSOs, ESCOs, Telcos, ICT companies, consumers, etc.); validation of new business models resulting from the cooperation between them; investigation of incentives and possible commercial arrangements with a fair share of benefits across actors;
- Synergies between electricity, gas and heat networks, associated business and market mechanisms and analysis of existing regulatory aspects; technologies for hydrogen production and storage are addressed in the frame of the Fuel Cell and Hydrogen JU and are therefore excluded from this call;
- Socio-economic aspects and environmental aspects related to large scale infrastructures relevant to renewable generation and changes to transmission infrastructure need for their integration; socioeconomic aspects of consumer behaviours in demand-response mechanisms, consumer engagement.

Proposals will demonstrate a good knowledge and compatibility with current regulations, available or emerging standards and interoperability issues applying to their technologies, in particular in connection to ongoing work in the Smart Grid Task Force and its Experts Groups in the field of Standardization (e.g. CEN-CLC-ETSI M/490), regulatory environment for privacy, data protection[1], cyber security, smart grid deployment, infrastructure and industrial policy (http://ec.europa.eu/energy/en/topics/markets-and-consumers/smart-grids-and-meters/smart-grids-task-force).

The Commission considers that proposals requesting a contribution from the EU between EUR 2 and 4 million would allow this specific challenge to be addressed appropriately and between EUR 0.5 and 1 million for proposals addressing area 5 only. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

In order to ensure the coverage of each area, proposals above all thresholds will be ranked in each of the 5 areas and the first ranked proposals in each area will be selected until the available budget is exhausted (first, all proposals ranked nb 1, then nb 2, etc.); in case of insufficient budget to select all projects of the same rank to cover the 5 areas, the best scores will prevail; in case of equal scores, standard rules do apply.

Expected Impact:

Proposals must demonstrate that they are relevant, compatible with the broad EU energy policy context such as Climate-Energy packages, Energy Union. Where relevant, they should also indicate if and how they will contribute to:
Call - **Competitive Low-carbon energy**

- ongoing policy developments in the field of the design of the internal electricity market, of the retail market, ongoing discussions on self-consumption,
- enhanced interconnections between Member States and/or between energy networks.

Proposals must demonstrate if and how they contribute to the following impacts.

- Optimized grid planning and design at European level, maximizing the capacity of the grid to host variable renewables, take full advantages of a pan-European grid for stability and security
- Safe, secure, efficient and coherent data handling, enabling more cross border trading and real time balancing
- Enabling new flexibility services to the grid associated with new business opportunities, offering the access to cheaper energy for the consumers and maximising the social welfare
- Increasing the potential of exchanges between energy networks, enhanced security of supply, create business opportunities, avoidance of curtailment, offering new services to the grid
- **Account for human behaviour in the design of infrastructure and demand-response to avoid blockages due to social acceptance, placing the consumer at the center of the energy system.**

Finally, proposals will also include ad-hoc indicators to measure the progress against specific objectives of their choice which could be used to assess the progress during the project life.

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LCE-06-2017
New knowledge and technologies

Specific Challenge:
The technologies that will form the backbone of the energy system by 2030 and 2050 are still under development. Promising technologies for energy conversion are being developed at laboratory scale and need to be scaled up in order to demonstrate their potential value in our future energy system. These new technologies should provide more flexibility to the energy system and could help adapting to changing climatic conditions. New knowledge and more efficient and cost-competitive energy technologies, including their conventional and newly developed supply chains, are required for the long run. It is crucial that these new technologies show evidence of promising developments and do not represent a risk to society.

Scope:
One of the following technology-specific challenges has to be addressed:

- **New renewable energy technologies**: Developing the new energy technologies that will form the backbone of the energy system by 2030 and 2050: Excluding wind energy and sustainable fuels addressed in the other bullet points, and photovoltaic new materials addressed in topic NMBP-17-2016 (‘Advanced materials solutions and architectures for high efficiency solar energy harvesting’) of the work programme part ‘Leadership in enabling and industrial technologies – 5.ii Nanotechnologies, Advanced Materials, Biotechnology and Advanced Manufacturing and Processing’, the challenge is to scale up energy technologies currently in development at laboratory scale. It is crucial that these new, more efficient, and cost-competitive energy generation and conversion technologies, demonstrate their potential value in the future European energy system. Developments in sectors other than energy may provide ideas, experiences, technology contributions, knowledge, new approaches, innovative materials and skills that are of relevance to the energy sector. Cross-fertilisation could offer mutually beneficial effects.

- **Wind energy**: Improved understanding of the physics of wind as a primary resource and wind energy technology: For an improved design of large-scale wind rotors a better understanding of the underlying physics is needed. The challenge is to increase understanding of the underlying physics and to significantly improve the simulation capability for multi-scale wind flows, loads and materials failure. Significant high-performance computing (HPC) resources will be needed for this challenge. It is expected that further research towards this challenge will continue after the project, therefore the data retrieved in this project should be with open access. Research results could contribute to IEA Wind and for that reason cooperation with IEA partner countries is expected. International cooperation with leading groups outside Europe is encouraged. This research will contribute to making wind energy fully competitive, through a better design of the wind turbine and having an impact on the turbine efficiency and therefore on the cost of energy produced.

- **Sustainable Fuels**: Diversification of renewable fuel production through novel conversion routes and novel fuels: Novel technologies for sustainable fuel production and novel fuels having a potential value in our future transport energy system should be developed at laboratory scale. The specific challenge is to diversify the sustainable fuel production taking into account long-term dependencies on fossil fuels of particular transport sectors by developing novel fuels and processes that in the long-term can bring down substantially transport fuel costs while overcoming sustainability constraints and feedstock limitations. While biofuels produced from starch, sugar and oil fractions of food/feed crops are excluded, this research shall enable novel fuel production addressing one of the following pathways:
  - Development of novel microorganisms, enzymes and catalysts or a combination of these systems with improved performance for obtaining paraffinic biofuels or higher alcohols from lignocellulosic biomass;
  - Development of renewable alternative fuels from CO2 in industrial waste flue gases through chemical catalytic conversion;
Call - Competitive Low-carbon energy

- Development of renewable alternative fuels from H2O, CO2 and energy from renewable, autonomous sources through micro-organisms, synthetic molecular systems or chemical synthesis, or a combination of these processes;
- Development of middle distillate range biofuels (i.e. diesel and jet fuel) from liquid organic or lignocellulosic waste streams through advanced thermochemical conversion processes.

Aside from the technology-specific challenges mentioned above, potential environmental, resource efficiency and safety concerns, issues related to social acceptance or resistance to new energy technologies, as well as related socioeconomic and livelihood issues also should be addressed, where relevant. This may require a multi-disciplinary perspective with contributions also from the social sciences and humanities, which then should be integrated into the research process from the outset. A methodology that permits a sustainability assessment of the environmental (notably in terms of GHG performance), as well as economic and social benefits with respect to current technologies should be included.

Novel technology solutions for grid integration, storage, fuel cells and hydrogen – other than integral to the technology solution developed, energy efficiency and smart cities will not be supported under this topic but in the relevant parts of this work program.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 to 4 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

The results of this research are expected to move the technology involved to TRL 4 (please see part G of the General Annexes) and to provide better scientific understanding and guidance enabling the players concerned (e.g. policy makers, regulatory authorities, industry, interest groups representing civil society) to frame strategic choices concerning future energy technologies and to integrate them in the future energy system. It is also expected that new, out-of-the-box or advanced innovative ideas will emerge that will provide new impetus to technology pathways, to new solutions, and to new contributions to the energy challenge in Europe or worldwide.

Where relevant, the new developed technology pathways should improve the economic, environmental and social benefits of renewable energy. Notably, for sustainable fuels they should improve the conversion efficiency that will eventually allow significant cost reduction.

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Near-to-market solutions for reducing the water consumption of CSP Plants

Specific Challenge:
In spite of the improvements in recent years, water and cost-competitiveness remain a crucial barriers to the deployment of CSP plants especially in arid areas. The challenge is to drastically reduce water consumption as well as costs thereby contributing to achieving the SET-Plan targets for CSP[1].

Scope:
Projects shall demonstrate cost-effective technical solutions which significantly reduce or replace the water consumption of CSP plants. The demonstration shall take place in a region with very good solar resource values (Direct Normal Irradiation > 2000 kWh/m²-year).

Since the availability of water resources particularly in arid areas is linked to broader socioeconomic and livelihood issues and therefore of particular relevance to local communities, multidisciplinary research designs that integrate contributions also from the social sciences and humanities are encouraged. Engaging and involving local communities, and further investigating the roots of social acceptance or any resistance to CSP plants, so as to develop mitigating strategies or alternative solutions, should likewise be part of the project.

TRL 7 shall be achieved at the end of project activities (please see part G of the General Annexes).

Opening the project’s test sites, pilot and demonstration facilities, or research infrastructures for practice oriented education, training or knowledge exchange is encouraged.

The Commission considers that proposals requesting a contribution from the EU of between EUR 10 to 12 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts

Expected Impact:
The action will result in significant exploitation prospects for the European technology in the field of CSP deployment, bringing cost effective solutions that improve the environmental profile.

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LCE-19-2016-2017
Demonstration of the most promising advanced biofuel pathways

Specific Challenge:
It is essential to diversify the technology portfolio and feedstock basis to allow competitive production of advanced biofuels for use in transport.

The following sub-challenges should be addressed:

a. improving the technical and economic feasibility of the production of new and advanced liquid biofuels;
b. demonstrating the feasibility of using feedstock particularly suitable for transport energy purposes.

Scope:
Proposals shall aim at moving technologies that reached already TRL 5-6 to TRL 6-7 (please see part G of the General Annexes) through industrial demonstration projects in line with the Implementation Plan of the EIBI. Projects should target the most promising advanced liquid biofuel production pathways incorporating new or improved biochemical/thermochemical/chemical conversion together with upgrading technologies and valorisation of co-products that improve the economic viability of the fuel production.

Environment, economic and social issues including health and safety should be considered in the whole life cycle and appropriately addressed. A methodology that permits robust and reliable assessment of the environmental (notably in terms of GHG performance), economic and social benefits with respect to current technologies should be included.

The proposals should respect the principle of the minimum bioenergy content laid out in the EIBI Implementation Plan: ‘At least 70% of the bioproducts produced by the plant shall be bioenergy (biofuels, heat, power)’, calculated on energy basis.

Biofuels produced from starch, sugar and oil fractions of food/feed crops are excluded.

Proposals should address both sub-challenges described above, while the main effort in 2016 shall be in addressing sub-challenge a) and in 2017 sub-challenge b). Where synthesis gas or intermediate energy carriers are produced, their final use for production of advanced biofuels for transport must be demonstrated.

In particular, proposals shall address one of the following:

In 2016:

- Biomass gasification to synthesis gas;
- Biomass pyrolysis and torrefaction to intermediate bioenergy carriers (pyrolysis oils and torrefied biomass);
- Biochemical conversion of lignocellulosic biomass sugars to hydrocarbons for diesel and jet engines;

In 2017:

- Biofuels from the carbon content in flue gases of industrial wastes through biochemical and/or biological conversion;
- Biofuels from aquatic biomass;
- Liquid biofuels from wastes and residues (forest, agricultural, the organic fraction of municipal and industrial wastes).

Proposals shall explicitly address performance and cost targets together with relevant key performance indicators and the expected impacts. Industrial involvement in the consortium and explicit exploitation plans are a prerequisite.

Proposals shall include a work package on the business case of the technology solution and which identifies potential issues of public acceptance, market and regulatory barriers, including standardisation needs. It should also address, where appropriate, synergies between new and existing technologies and other socio-economic and environmental
aspects from a life-cycle perspective. Furthermore, they shall address the risks (technological, business, process) and their possible mitigation.

Opening the project’s test sites, pilot and demonstration facilities, or research infrastructures for practice oriented education, training or knowledge exchange is encouraged.

The Commission considers that proposals requesting a contribution from the EU of between EUR 10 to 15 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Demonstrating advanced biofuel technologies at large industrial scale reduces the technological risks and paves the way for subsequent first-of-a-kind industrial projects. For this purpose, the scale of the proposals should permit obtaining the data and experience required so that up-scaling to a first-of-a-kind, industrial project can be envisaged as a next step. Favourable energy and GHG balances are expected. The demonstrated industrial concepts should ensure the techno-economic feasibility of the entire value chain and have the potential for a significant social and economic impact, notably in terms of job creation, economic growth and safe and affordable energy supply.

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LCE-20-2016-2017
Enabling pre-commercial production of advanced aviation biofuel

Specific Challenge:
Decarbonisation of the aviation transport sector and reducing its dependence on fossil fuel requires liquid biofuels even in the longer term. Accelerating the deployment of advanced biofuel technologies for use in aviation will allow competitive production of biojet fuels on commercial scale, increase their attractiveness and facilitate achievement of the EU Biofuel FlightPath targets. Therefore, the specific challenge is to enable commercial production of sustainable and cost-competitive advanced biofuels aimed for use in the aviation sector. In particular, supporting the accomplishment of pre-commercial plant(s) for advanced biofuels for aviation based on sustainable biomass feedstock is essential.

Scope:
Proposals shall aim at moving technologies that have already reached TRL 5-6 to TRL 6-7 (please see part G of the General Annexes) through novel industrial demonstration projects which support the innovative integration of production processes for advanced biofuels for aviation into first-of-a-kind or existing industrial scale plants. Projects should target the most promising advanced aviation biofuel production pathways incorporating upgrading technologies and valorisation of co-products that improve the economic viability of the fuel production. The ultimate production target of aviation biofuel for the complete plant shall be in the range of several tens of thousand tonnes per year. The aviation biofuel must be fully compliant with international aviation fuel standards and therefore suitable for commercial flight operations. Where relevant, projects should also make use of existing infrastructures for transportation, logistics, and fuelling for performing commercial flights with the produced fuel. Relevant datasets shall be collected for these operations.

Environment, economic and social issues including health and safety should be considered in the whole life cycle and appropriately addressed. A methodology that enables robust and reliable assessment of the environmental (notably in terms of GHG) performance, economic and social benefits with respect to current technologies should be included.

In addition, proposals shall address the entire value chain including the supply chain of sustainable biomass feedstock and the actual use of the produced biofuel in aviation.

Biofuels produced from starch, sugar and oil fractions of food/feed crops are excluded.

Proposals shall explicitly address performance and cost targets together with relevant key performance indicators and the expected impacts. Industrial involvement in the consortium and explicit exploitation plans are a prerequisite.

Proposals shall include a work package on the business case of the overall business solution and which identifies potential issues of public acceptance, market and regulatory barriers along the entire value chain. It should also address, where appropriate, synergies between new and existing technologies and other socio-economic and environmental aspects from a life-cycle perspective. Furthermore, they shall address the risks (feedstock, technological, business, process) and their possible mitigation. A signed off-take agreement with one or more airlines or alternative similar agreements should be envisaged in the proposal. In the event of a grant award the off-take agreement must be signed before signature of the grant agreement.

The Commission considers that proposals requesting a contribution from the EU of between EUR 5 to 15 million in 2016 and 5 to 10 million in 2017 would allow this specific challenge to be addressed appropriately while maximizing the acceptable production pathways. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Demonstrating advanced biofuel technologies for aviation at large industrial scale will respond to the EU FlightPath objectives for commercial deployment and realisation of aviation biofuels and its target of using 2 million tons aviation biofuel by 2020. Favourable energy and GHG balances are expected. The demonstrated industrial concepts should ensure the techno-economic feasibility of the entire value chain and have the potential for a significant social and
economic impact, notably in terms of job creation, economic growth and contribution to the decarbonisation of the aviation sector in addition to supporting advancement of the regulatory framework.

**Type of action** | Innovation action
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**Deadline** | 7 September 2017
**Call identifier** | H2020-LCE-2016-2017
LCE-21-2017
Market uptake of renewable energy technologies

Specific Challenge:
Since the adoption of RES Directive in 2009, most Member States have experienced significant growth in renewable energy consumption and the EU and large majority of Member States are on track towards 2020 RES targets. Considering Member States’ current and planned policy initiatives, their current implementation rates and the various barriers to renewable energy development, the need for improvements for some RES technologies, like offshore wind, advanced biofuels, CSP and geothermal, however, becomes apparent.

To ensure the level of growth needed to deliver the technology deployment rates at least to the level planned in the National Renewable Energy Action plans and their necessary contribution to the 2020 RES targets. EU targets for renewable energy, and to create the appropriate business environment for EU industrial leadership in low-carbon energy technologies, a number of important market-uptake challenges need to be addressed.

Scope:
One of the following technology-specific challenges has to be addressed:

1. **Photovoltaics: Tackling the bottlenecks of high penetration levels of PV electricity into the electric power network:** PV electricity is not necessarily generated when mostly needed. Furthermore, small distributed PV systems feed into the grid possibly all at the same time challenging grid stability. To enable the effective and efficient integration of growing shares of PV power into the grid, the idea of PV producers becoming “prosumers” – both producers and consumers of energy – is gaining ground while “self-consumption” is becoming a major driver for the installation of small distributed PV systems. To facilitate this to happen, the following sub-challenges need to be addressed:
   a. Development of solutions for innovative system-integration and power-management for households/larger buildings (in general small distributed PV systems) including storage, particularly addressing the impact of self-consumption on the operation of the grid and the value of PV electricity when aggregated and offered to the wholesale market;
   b. Based on these solutions, elaboration of business and management models, including cost-benefit analysis and assessing economic feasibility for the European urban landscape.

2. **Heat Pumps: Accelerate the penetration of heat pumps for heating and cooling purposes:** Heating and cooling represents almost 50% of the final EU energy consumption and cooling demand is increasing. The cost associated with the purchase and installation of heat pumps remains an obstacle for a wider penetration on the market. In order to accelerate the penetration of heat pumps for heating and cooling purposes, proposals should address the following challenges:
   a. identification of the most promising cost reduction options for CAPEX, installation costs, and OPEX as well as development of EU wide scenarios of deployment; proposed prioritisation of R&I investments;
   b. development of solutions for innovative system integration and integrated power management for household/industrial buildings.

3. **CSP: Facilitating the supply of electricity from CSP plants in Southern Europe to Central and Northern European countries** - By means of CSP Southern European countries could supply renewable electricity on demand to the entire European energy market, including Central and Northern European countries – in particular, the Renewable Energy Directive foresees cooperation mechanisms to this end to allow Member States to meet their national targets cost-efficiently. The exploitation of this possibility would greatly facilitate the market uptake of CSP, but this has not happened so far. The challenge is to identify all issues (technological, legal, economic, political, social, financial, etc.) that may constitute an obstacle to the supply of renewable electricity on demand from CSP plants to Central and Northern European countries (other than those bottlenecks related to building new physical interconnections), and to identify possible solutions and propose options for addressing the issues in the context of a concrete project case.

4. **Wind energy: Increasing the market share of wind energy systems:** One of the following specific sub-challenges need to be addressed: i) Develop spatial planning methodologies and tools for new onshore wind and repowering of old wind farms taking into account environmental and social impacts but also the adoption of the latest developments in wind energy technology; ii) Identify the bottlenecks for further deployment in Europe and the
regulations which limit the adoption of technological innovation and their deployment possibilities; iii) Increase the social acceptance and support for wind energy in ‘wind energy scarce regions’ using, with solid involvement of social sciences and humanities and local communities and civil society to understand best practices and to increase knowledge about social and environmental impact of wind energy.

5. Geothermal energy: Tackling the bottlenecks of high penetration levels for geothermal energy systems: Geothermal energy suffers from a level of penetration that is limited compared to its potential and there are growing concerns regarding the environmental and the social impact of geothermal installations. The challenge is to remove environmental and social concerns that pose barriers limiting the contribution of geothermal energy to the energy mix. The challenge is to assess the nature of public concerns and the elements that influence individual and group’s perception of geothermal installations, to increase the understanding of the socio-economic dimension of geothermal energy, and to promote change in community responses to new and existing geothermal installations. Different technologies and possible technological solutions, with particular reference to reinjection of incondensable gases in deep geothermal plants, are key elements of the environmental and social impact assessment. Specific challenges related to deep and shallow geothermal energy require separate considerations. Risk management strategies and adequate technology selection, for example induced seismicity or emission reduction should be addressed, when relevant.

6. Sustainable Fuels: Facilitating the market roll-out of liquid advanced biofuels and liquid renewable alternative fuels: The challenge is to enable commercialisation of advanced biofuels to help meeting the 10% target for Renewable Energy Sources in the EU transport energy consumption by 2020 and then contribute to the EU targets of 27% share of Renewable Energy Sources in the EU energy consumption and of 40% GHG reduction by 2030. Fossil fuels and biofuels produced from starch, sugar and oil fractions of food/feed crops are excluded. Proposals shall address one or several of the following sub-challenges:

a. Development of tools for predicting the fuel cost in relation to different supply and demand scenarios taking into account technology performance, economies of scale, feedstock costs, market demand, socio-economic aspects, etc. and including sensitivity analysis through conceptual engineering and cost estimation for the most common conversion routes;

b. Development and implementation of innovative crop rotation schemes for the production of lignocellulosic biofuels with improved sustainability;

c. Development of numerical tools for prediction of fuel and fuel blend properties and model validation to facilitate the certification process in the transport sector;

d. Development of communication strategies to increase the public acceptance for advanced biofuels for the most common conversion routes;

e. Setting up sustainable and cost-effective European biomass supply chains for the industrial production of advanced biofuels;

f. Actions aiming at development and implementation of common standards and certification schemes for fuels at EU-level;

g. Actions aiming at harmonization of national standards and certification schemes for fuels at a European level;

h. Development of tools and actions for capacity building among relevant stakeholders of all steps in the advanced biofuel value chain aiming at substantially reducing biofuel costs at large scale.

Proposals should address one of the sectorial technology challenges mentioned above. The complexity of these challenges and that of the related market uptake barriers calls for multi-disciplinary research designs, which may include contributions also from the social sciences and humanities. Regional specificities, socio-economic, spatial and environmental aspects from a life-cycle perspective shall be considered. For all actions, the consortia should involve and/or engage relevant stakeholders and market actors who are committed to adopting/implementing the results. Where relevant, proposals should also critically evaluate the legal, institutional and political frameworks at local, national and European level and how, why and under what conditions these (could) act as a barrier or an enabling element.

Participation of developing countries is encouraged, in particular if these countries have identified energy as a priority area for their development and whenever common interest and mutual benefits are clearly identified. The Commission considers that proposals requesting a contribution from the EU of between EUR 1 to 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.
Expected Impact:
It is expected to increase the share of renewable energy in the future energy mix and to increase the share of sustainable advanced biofuels and renewable alternative fuels in the final EU transport energy consumption or facilitate those increases in the future. In addition, contribution to market understanding for possible policy and regulatory development is anticipated.

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LCE-28-2017
Highly flexible and efficient fossil fuel power plants

Specific Challenge:

The share of energy produced from renewable resources is growing rapidly. The output of wind and solar power is highly variable, and depends of factors such as weather conditions and time of day. With this growing share of renewable power, in particular when having priority access to the grid, fossil fuel power plants will have to increasingly shift their role from providing base-load power to providing fluctuating back-up power to meet unpredictable and short-noticed demand peaks, in order to control and stabilise the grid. Plants should be able to run both at the lowest part load possible at the highest possible efficiency. Moreover, plants will be required to operate across the entire load range with high load-change velocities, and even operate in start/stop mode with full turndown and very fast re-start, all at minimal (lifetime) fuel consumption. This forces base-load plants to operate through significantly more thermal cycles, leading to increased rate of wear on plant components. Operational flexibility therefore presents a significant challenge for fossil fuel power (and CHP) plants.

Scope:

Focus on progressing solutions that already reached TRL 3 to TRL 4-6 (please see part G of the General Annexes) and offer the highest potential for a deeper integration into an advanced energy system with ever higher shares of renewable energies, for both existing (retrofitting) and new thermal power plants. Solutions with lowest greenhouse gas emissions, residue disposal and water need per energy unit are preferred. Collaboration with power plant operators is strongly encouraged. Support will not be given to projects that provide performance improvements that are not related to load fluctuations.

The Commission considers that proposals requesting a contribution from the EU in the range of EUR 3 to 6 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Projects should lead to innovative and cost-effective solutions to improve the ability of new and/or existing dispatchable thermal power plants to meet fast load changes, in order to better support the grid due to fluctuations in energy peak demand and power output from renewable sources, at minimal fuel consumption and emissions, while mitigating the effects of cycling operation to avoid excessive wear and service life expenditure, and not impeding the potential CO2 capture readiness of the power plants.

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Call - Competitive Low-carbon energy

Dedicated Topic

LCE-31-2016-2017
Social Sciences and Humanities Support for the Energy Union

Specific Challenge:
Completing the Energy Union remains one of the top priorities of the European Commission, and a critical component in Europe’s transition towards the decarbonized energy system of the future. Over and above the many technological challenges that need to be overcome on the road to reaching these twin goals, a number of cross-cutting issues need to be better understood, particularly those relating to socioeconomic, gender, sociocultural, and socio-political aspects of the energy transition.

Addressing these cross-cutting issues is crucial to furthering social acceptability of the many changes that the energy transition implies, as well as to better understand why citizens may resist these changes and to devise appropriate mitigating strategies or alternatives.

Of particular importance in this context are the factors that drive individual and collective energy choices and energy-related behaviour, the governance frameworks in which these choices are made, and the changing roles particularly of consumers and "prosumers" in the energy system.

Scope:
Proposals should address one, or a combination, of the following issues (a comparative perspective, with case studies or data from at least three European Union Member States or Associated Countries, will be considered an advantage):

In 2016:
- Factors driving individual energy choices and energy-related behaviour (such as values and ethics, structures of everyday practices, belief systems or social or cultural, notably gender, roles), employing different data-gathering techniques;
- Factors driving collective energy choices and energy-related behaviour (such as social, economic, or other forms of organization or experiences with social mobilization).

In 2017:
- Socioeconomic incentive structures that encourage or discourage energy-responsible behaviour;
- Political, institutional, and organizational frameworks that condition and structure citizen participation, including questions of inclusiveness, gender, democracy, organizational formats and business models.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 and 4 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
The proposed research will

- provide a better understanding of these factors and their interrelations with technological, regulatory, and investment-related aspects which is crucial for the further advancement of the energy transition and ultimately the success of the Energy Union.
- further the completion of the Energy Union and particularly its research and innovation pillar, as well as the continued implementation of the Strategic Energy Technology (SET) Plan and especially the Action Plan based on the Integrated Roadmap.
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## Topics with minor SSH relevance

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Societal Challenge 4

Smart, green and integrated transport
MG-1-4-2016-2017
Breakthrough Innovation

Specific Challenge:
Very ambitious long-term goals are addressed by Europe’s vision for aviation Flightpath 2050, in particular for maintaining and extending industrial leadership and for protecting the environment. As many evolutionary technologies are mature near to their maximum potential, new disruptive breakthrough technologies are needed to reach these ambitious goals.

Scope:
The aim is to develop exploitable breakthrough technologies and concepts for the medium term that are not currently used or that have not yet being put in combination for civil aviation. The actions should target technologies and concepts that are at low Technology Readiness Level today (up to TRL 3) and can potentially achieve Technology Readiness Level 6 by 2030-2035. The actions should focus to airframe, propulsion and on-board systems & equipment, including their integration and may challenge established practices. The proposals may also include the advancement of numerical and experimental methods towards validating the proposed concepts. The actions should address one or several of the following areas:

- Innovative aircraft configurations and airframes (e.g. short take-off and landing, long wing span; personal vehicles).
- Propulsion systems (e.g. partially or fully embedded within the airframe; distributed propulsion technologies and revolutionary engine cycles; high-speed propulsion).
- Novel and integrated multifunctional systems.
- Autonomous, intelligent and evolving systems (e.g. Remotely Piloted Aircraft Systems).

The proposals should include a quantitative preliminary assessment against the relevant criteria (for example, economic viability, time efficiency, safety, potential to cope with evolutions of regulations, human factor considerations such as passenger friendliness, social acceptance, etc.). They should also assess the potential of the technologies to be developed further and identify regulatory, technological and socio-economic barriers that could prevent such developments.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 to 4 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Actions will propose new or develop further highly innovative and exploitable breakthrough technologies for the medium term that will make feasible a substantial decrease of the impact on climate and the environment of air vehicles and/or enhance the competitiveness of the European aviation industry and the safety of civil aviation. They should demonstrate the proof of concept and consider integration issues without assuming fundamental changes at airport level. Proposals are also expected to demonstrate the validity of the technologies and concepts following a sound technical and scientific approach as well as significant decrease in the environmental impact and/or high potential for new market opportunities for the European aviation industry.

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MG-4.1-2017
Increasing the take up and scale-up of innovative solutions to achieve sustainable mobility in urban areas

Specific Challenge:
Many innovative solutions (supported by STEER[1], CIVITAS, national, regional, local, international and other initiatives) for sustainable urban mobility were locally developed or developed as self-standing projects in a variety of social, economic and geographical contexts. The specific challenge is to increase the take up of innovative solutions by transferring them to new contexts and studying and comparing the impacts. Special attention should be paid to social issues and implications. Where relevant, potential gender differences should be investigated.

Scope:
Proposals should address one or several of the following domains:

- Traffic and travel avoidance: planning and location policy; innovative demand management approaches while providing citizens, businesses and organisations with minimum levels of access; less car dependent lifestyles.
- Optimising the use of existing infrastructure and vehicles: this may include smart pricing of parking, public transport and road use; increasing load factors and making the last mile more efficient in urban freight transport; integration between urban freight and passengers transport networks within appropriate city and transport planning governance; innovative use of passenger transport means; planning for increasing the resilience of the urban transport system to extreme weather events.
- Optimising design and use of multi-modals hubs and terminals for passengers and freight; integration of systems, (sustainable) modes and ‘mobility as a service’, more efficient transfers; transformation of districts; multi-purpose use of space for vehicles.
- Supporting modal shift towards more efficient modes: increased walking and cycling; urban waterborne transport; mobility management and travel awareness; increased attractiveness of public transport; new coordination and service concepts.
- New governance models for freight and passenger transport: better coordination and cooperation; synergies between passenger and freight transport; stakeholder engagement; public consultation and participation; education and training, policy transfer.

ITS solutions are covered in other topics of the Transport Challenge Work Programme and in other parts of Horizon 2020, but the integration of IT and ITS enablers for urban mobility measures needs to be fully considered.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 to 5 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Actions should demonstrate successfully transfer a single solution/approach or limited package of mutually reinforcing solutions/approaches from a small number of locations in Europe (indicatively not more than five) to at least ten new locations in Europe.

Building on clear commitments from action participants for a further Europe-wide take-up and rollout of results during and following the actions, they will result in new insights into the practical transferability of innovative solutions/approaches.

Actions will demonstrate how their activities will lead to faster, more cost-effective and larger scale deployment of a range of innovative (technological and non-technological) solutions/approaches to achieve sustainable mobility in urban areas. Possible (technological and non-technological) barriers and ways to overcome them should be identified and addressed by actions.
Call – Mobility for Growth

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Specific Challenge:
In order to integrate electromobility in their Sustainable Mobility Plans, European cities need to equip themselves with a network of electric recharging stations for electric cars and L-category vehicles. This will help the market to grow, as potentially interested consumers tend not to buy electric vehicles because they are not confident enough about the opportunities to recharge them. However, the real business models do not yet exist. The establishment of recharging infrastructure for electric vehicles is expensive and, without additional financial support and/or new approaches, there is a first-mover disadvantage until there are enough vehicles to make the investments profitable.

Scope:
Proposals should focus on the development of integrated approaches and testing of "business" models for the local production and distribution of electricity together with electric vehicles fleet, to create the conditions for market take up in urban and sub-urban areas. This could include private and public recharging stations. Approaches could include e.g. charging at work places, private parking places, homes, public spaces, transport intermodal hubs, system integration of large fleets of electric vehicles (BEVs and PHEVs), multimodal platforms, etc. Specific tests and pilots focussing on the integration of solutions into transport system, in combination with a cross-site evaluation, could be carried out. Possible barriers and ways to overcome these barriers to deploy integrated solutions and business models for electric recharging should be identified. Where relevant, potential gender differences should be investigated.

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 to 5 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

In order to maximise the impact in this topic, the focus of investments planned in these proposals should be on developing integrated approaches and testing of business models, rather than purchasing the actual clean vehicles and their appropriate infrastructure.

Expected Impact:
Tested and validated business models for electromobility solutions regarding:
- Large scale, sustainable and decentralised energy production and distribution (also from transport infrastructure itself) in balance with local use.
- Simple, interoperable, convenient and intelligent billing systems ensuring at the same time a safe and reliable data exchange in cities. This includes integrated energy infrastructure systems, bringing together technologies from the energy, infrastructure and transport domains.
- Emergent integrated approaches and business models for recharging, looking – among others – at consumer acceptance, value models and ownership.
- Projects should bring innovative tools and recommendations to integrate electromobility in SUMPps (for example, planning policies and use of urban space), as well as recommendations for common standards of ultra-low emissions urban areas.
- On the basis of clear commitments from participants for a further Europe-wide take-up and rollout of results during and following the project are expected.

The project proposal should include an estimation of CO2 savings obtained through the sustainable urban mobility solutions deployed in the project, on the basis of CO2 intensity of the European electricity grid of 540 g CO2/kW-h[2]. It should also provide information on how this estimate is calculated, for example on the basis of the size of the entire vehicle fleet powered by electricity that will be deployed in the project, and/or on the number of the recharging in the infrastructure that will be deployed in the project.
## Call – Mobility for Growth

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Specific Challenge:
Ongoing research efforts show that the translation of the working principles of the Digital Internet to the routing of freight, thus creating the Physical Internet (PI), has the potential to be a real game-changer. In the PI world freight travels from hub to hub in an open network rather than from origin to destination directly. Each parcel is routed automatically and at each section it is bundled for efficiency. In the PI network of networks many (if not all) transport and logistics services would be accessible on demand to all users.

This will however require the successful integration of many innovative concepts and non-the-least the mental-shift to adopt a very different governance structure. The Internet of Things for example, which could link every future container, load unit or parcel to the internet, can be considered a pre-requisite for the Physical Internet to work as there will be an increased need to track all goods in a freight environment lacking a fixed and known transport route. The main challenge is to model a future Physical Internet network topology and assess the benefits it could generate in terms of carbon footprint, throughput times and cost reductions. Additionally the concept of the Physical Internet, already identified by ALICE[1], needs to be detailed into a strategic and operational vision which has the capability to get industry-wide endorsement of all stakeholders.

Scope:
This topic will be implemented through two types of actions:

1) Research and Innovation Actions. Proposals should cover all the following issues:
   - Set up a case study, based on real situation, to identify the position, size and number of hubs needed for efficiently linking the long distance network and providing sufficient access points to urban areas.
   - Map the influence sphere of each node and its benefits across borders to fuel future shared investments.
   - Develop simulation and modelling tools to assess the possible impact of the PI, including the socio-economic aspects.
   - Identify criteria for potential pilot implementations of physical internet concepts.
   - Define possible business models to support the development of the PI concept.

2) Coordination and Support Actions. Proposals should cover all the following issues:
   - Develop a roadmap towards the Physical Internet (milestones, first implementation opportunities, etc.) defining which changes are required for migrating to a PI and how these could take place (e.g. current vs future logistic practices, IT applications and enabling technologies, business models, mental shift, integration of SMEs, customer behaviour, etc.).
   - Monitor logistics and freight transport initiatives and research projects from relevant European programmes (H2020, TEN-T, etc.), and their impacts and contributions to Physical Internet. Fostering the links between ALICE and other transport and manufacturing focused ETPs with the aim to identify barriers and opportunities for the deployment of research results and improvement of framework conditions.
   - Create support and consensus between public bodies, research and industry stakeholders on opportunities, barriers and next steps towards a PI. Organise workshops to present and discuss results, trends, exchange experience and foster innovation aspects
   - Explore the need for legislative initiatives by authorities, including a legal contractual framework for participants to the Physical Internet.
Call – Mobility for Growth

In line with the Union’s strategy for international cooperation in research and innovation[2], international cooperation, in particular with US, Canada and Hong Kong, is encouraged.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 to 3 million each for Research and Innovation Actions, and between EUR 0.5 to 1 million for Coordination and Support Actions would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
To achieve the benefits resulting from the paradigm change proposed by the Physical Internet, actions are expected to demonstrate how the following aspects can be achieved:

- Kick-Start the development of the Physical Internet through building industry-wide support.
- Improved asset utilisation.
- 30% reduction in terms of congestion, emissions and energy consumption.

Delegation Exception Footnote:
The Coordination and Support Actions of this activity, directly aimed at supporting the development and implementation of evidence base for R&I policies and supporting various groups of stakeholders, are excluded from the delegation to the Innovation and Networks Executive Agency (INEA) and will be implemented by the Commission services.

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MG-8.2-2017
Big data in Transport: Research opportunities, challenges and limitations

Specific Challenge:
Technological developments, particularly related to the extended and expanding use of ICT in the transport sector, allow the collection of unprecedented volumes of data across all modes and transport systems. These volumes of data, known also as "big data", have generated a strong interest in the transport research community as well as in the relevant industries and among policy makers.

From freight transport and supply chain optimisation to evacuation modelling and crowd dynamics under extreme phenomena, and from short-term traffic forecasting to travel behavioural research and the use of social media for efficient transport operations, the so-called trend of big data has created a wide spectrum of challenges and opportunities in the field of transport research. **Indicative areas of research in all transport modes could, for example, cover travel behaviour (by incorporating in modelling processes heterogeneous sources of information), logistics and consumer preferences, network capacity planning and optimisation (e.g. in the case of toll roads), risk management, response to extreme weather events or other emergency situations. Disaggregated data analysis by users’ groups (e.g. age, gender) will contribute to better focus specific needs and trends.** At the same time, the collection and possible exploitation of "big data" pose a number of questions both in methodological terms as well as in legal, institutional and social ones, which need to be addressed. The main challenge is therefore to investigate the implications of the utilisation of big data in the transport field.

Scope:
In order to meet this challenge, proposals should address all following aspects:

- Identification of areas and contexts in which ICT investments and exploitation of data should be implemented. Examination of a series of different case studies and contexts throughout Europe, in order to provide useful information and suggestions on the prerequisites of successful big data implementation in the transport sector from a socio-economic point of view.

- Identification of methodological issues and the development of necessary tools in order to allow for effective data mining and data exploitation.

- Analysis of the barriers and limitations of the transportation system to exploit big data opportunities. This point should address issues that range from technical to institutional. For example, many transportation agencies and authorities, transport industries, etc. may not consider profitable the investment in collecting and analysing big data, worrying also about the associated costs and risks of data collection and sharing.

- **Examine the institutional and governmental issues and barriers concerning the application of big data in transport providing policy recommendations towards “data openness” and sharing. Issues of legitimacy and public acceptance (e.g. privacy, data security, etc.) are important and should be adequately addressed.**

The Commission considers that proposals requesting a contribution from the EU of between EUR 0.5 and 1.5 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Appropriate exploitation of big data can help policy makers at the EU, national and regional level, as well as relevant decision makers to take informed decisions. Better data can help transport authorities and industries to understand the behaviour of travellers and consumers, also in disaggregated groups (e.g. age and gender), provide targeted information and identify policy interventions.

Work under this topic is therefore expected to contribute to evidence–based decision making by improving knowledge on methodological and exploitation issues taking also into account economic and technical considerations.

**It is also expected to contribute to an early identification of critical issues linked to privacy, data security, legal and institutional aspects. It may therefore facilitate the development of an appropriate legal framework for the collection and exploitation of big data in the area of transport.**
## Call – Mobility for Growth

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Call – Mobility for Growth

MG-8.4-2017
Improving accessibility, inclusive mobility and equity: new tools and business models for public transport in prioritised areas

Specific Challenge:
Accessibility is a concept used in order to address both travel patterns, attitudes and needs of particular social groups – e.g. gender specific needs, unemployed persons, vulnerable to exclusion citizens such as migrants, elderly, children, disabled, etc., as well as the mobility needs and transport use characteristics of people living in different types of areas such as rural, remote or deprived urban areas. To obtain a more comprehensive view which will allow the elaboration of measures and transport systems that will improve inclusive mobility and equity, and support social innovation in this area, it is necessary to incorporate both approaches considering specific geographical factors as well as the mobility needs and capabilities of particular population groups.

Rural areas, for example, are faced with continuous challenges linked to demographic, socio-economic and mobility factors such as: declining populations characterised by more pronounced ageing; income factors; reduced number of services and economic viability of public transport schemes; longer distances and different mobility needs related to public transport. Urban peripheral, suburban and deprived urban areas on the other hand are often characterised by population groups which face acute social, demographic and economic problems which impact on their mobility and on their ability to use available transport systems on equal terms.

In this context, the main challenge of this topic is to examine whether organisational, technological (including extended use of ICT) and social innovations in public transport can lead to improved accessibility, inclusive mobility and equity in prioritised areas, by responding better to their specific needs and demographic/socio-economic characteristics.

Scope:
Proposals should address all the following aspects:
- Analysis of the characteristics of prioritised areas in terms of spatial, demographic and socio-economic characteristics and identification of the factors that influence mobility and accessibility.
- Exploring travel behaviour and social habits of the population in a disaggregated way and assessing travel demands in prioritised areas.
- Addressing mobility needs of vulnerable to exclusion population groups such as: elderly, children, youth, disabled, people in poverty, migrants etc., as well as possible limitations to the use of new transport business models (e.g. IT illiteracy of elderly or low educated persons, pricing, different educational and cultural backgrounds, etc.). Identification of gender-related specificities in each group is strongly recommended.
- Critical assessment of existing innovative organisational and operational frameworks aimed at delivering new mobility solutions and their impact on inclusive mobility and equity.
- Identification and/or development of new, efficient, inclusive, affordable and accessible mobility solutions and public transport models taking also advantage of IT applications (such as social media, app-oriented services, etc.).

The Commission considers that proposals requesting a contribution from the EU of between EUR 1 and 3 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
As described in the specific challenge, certain geographic areas (such as rural, remote and deprived urban areas) as well as population groups (such as the elderly, disabled, in poverty, migrants, etc.) are faced with particular challenges regarding their mobility needs and capabilities, to which current public transport systems do not always respond adequately.

Work under this topic is expected to contribute to:
- The identification and critical assessment of sustainable and inclusive mobility options for European citizens and residents in prioritised areas and improve accessibility offered by public transport systems.
- The development of effective, efficient and affordable mobility solutions which respond to the specific needs of
Call – Mobility for Growth

- Particular population groups such as vulnerable to exclusion citizens, taking into consideration the gender aspect.
- The elaboration of new business models for public transport, with the deployment of organisational, technological (such as IT and app-oriented services) and social innovations taking into account possible social and demographic barriers for their effective use.

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MG-8.5-2017
Shifting paradigms: Exploring the dynamics of individual preferences, behaviours and lifestyles influencing travel and mobility choices

Specific Challenge:
There are indications that transport may be entering a period of paradigm shifts due to the introduction of disruptive technologies but also due to changes in individual preferences, behaviours, lifestyles and the emergence of social innovation and new concepts which are likely to impact on the future transport models and management. Some of these changes are already present, as for example, the growing trend towards vehicle sharing practices in many European cities, while others may still be at their very early stages, as for example, changing values of travel time.

Car sharing has been gradually developing over the past two decades while new business models and social innovation are likely to emerge in the forthcoming years fostered also by new IT applications (app-based services). This relatively short period of time has not allowed for a comprehensive and established assessment of its various impacts in social, economic and environmental terms. Estimates for its growth potential over the next decades vary considerably, so do estimates about the "replacing capacity" of car sharing. Similarly, its effects in reducing congestions, emissions and noise – especially in urban areas – as well as the impact on car manufacturing industries have not been sufficiently explored.

Travel time savings is often the principal benefit of a transportation project and efforts to achieve faster travel have been long dominating decision making. The value of travel time has been perceived as a cost which includes costs to businesses of the time their employees and vehicles spend on travel, and costs to consumers of personal (unpaid) time spent on travel. However, as technology evolves (particularly ICT), people can use their time during travel for business or leisure thus "reducing" the cost of travel in economic terms and allowing other considerations (such as energy savings, pricing, environmental and social considerations) to affect their travel time preferences.

Transport research is needed to explore at an early stage the dynamics of such changes and their impacts in socio-economic and environmental terms. The specific research challenges of this topic are to provide comprehensive analyses of these new preferences, behaviours and lifestyles, identify the main factors that influence them and assess their potential economic, social and environmental impact. In all aspects, issues of age and gender should be taken into consideration.

Scope:
In order to meet this challenge, proposals should address one of the two following parts:

1. Shifting from car ownership to sharing. Proposals should:
   - Compare the existing trends and forecasts across the EU and identify the factors (economic/social/demographic/spatial/cultural aspects), that influence the varied implementation of such schemes in different countries/regions/cultures including the growing use of app-based services.
   - Compare and benchmark existing business models, social innovations and identify possible new ones.
   - Assess the implications of car sharing schemes for the European car industry (impact on foreseen sales of conventional and electric cars, other revenues, etc.).
   - Assess the potential impact on emissions, noise and congestion, especially in urban environments, as well as on safety of potential users.

2. Changing value of travel time. Proposals should:
   - Analyse differences between various travel motivations (leisure, business) and the related travel time value and examine the extent to which the proliferation of ICT applications such as wifi connections (e.g. in trains, ships) tend to reduce the perceived cost of travel time for private and corporate travel. Gender disaggregated data collection and analysis could contribute to a more thorough analysis.
   - Identify possible areas where a shift away from the "speed paradigm" would be feasible and provide estimates of environmental, socio-economic and organisational implications.
Call – Mobility for Growth

- Propose cost-benefit analyses of additional time savings in case of already advanced transport connections (e.g. need for faster high speed trains, for new sections of motorways in certain "almost saturated" areas, etc.) taking into account the possible new concepts of value of travel time and their environmental and socio-economic implications.

The Commission considers that proposals requesting a contribution from the EU between EUR 1 and 2 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
As mentioned in the specific challenge the topic seeks to provide comprehensive analyses of the dynamics of new preferences, behaviours and lifestyles, to identify the main factors that influence them and to assess their potential economic, social and environmental (including climate) impact. Work under this topic is expected to collect and provide up-to-date information on the present state of development of new business models and social innovations, a reliable assessment of their growth potential across different geographical cultural and economic environments and an assessment of their impact in areas of key policy interest, such as urban congestion, emission and noise reductions. In addition, it is expected to provide concrete assessments of their impacts on the European car industry (including electric vehicles) over the mid-long term.

The collection of updated and reliable data on the car sharing market and its prospects as well as assessments on their social, economic and environmental impact will facilitate evidence-based policy making particularly with regard to urban congestion/emissions/re-organisation of urban transport. It will also contribute to a forward looking analysis of the prospects of the European car industry market.

Work is also expected to contribute to the generation of new knowledge in a new and under-researched area which may lead in the short-medium term to different cost-benefit assessment methods of transport projects and in depth knowledge of users attitudes and choices with respect to travel time and in the longer term in possible energy savings and emission reductions as well as re-organisation of transport routes and schedules based on different perceptions of the value of travel time.

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**Call – Mobility for Growth**

**MG-8.7-2017**

**Future research needs and priorities in the area of transport**

**Specific Challenge:**
There is a need for transport-related research activities to address new challenges as these emerge from new technological developments, identified megatrends and new policy imperatives, which all together are expected to significantly alter the current framework in which the transport sector operates.

There is already considerable knowledge produced by several studies, research activities and reports with a forward looking perspective in the area of transport but also in transport-related fields in areas like energy, climate change and digitalisation. Furthermore, global forward looking exercises which analyse megatrends (ageing, migration, urbanisation, climate change, etc) and explore scenarios also provide valuable inputs with respect to transport, related technologies and the evolution of mobility demand.

The European transport industry is a major player at a global level, seeking to maintain and improve its competitive position in a period of rapid technological changes, new business models and new political requirements (e.g post-COP 21). Transport industries are shaping their research agendas for the future which however normally, address issues that are particularly relevant for their specific challenges and objectives. A more integrated approach that could help bring these research agendas under a coherent framework for the benefit of European citizens and the European economy as a whole is necessary and requires an effort at European level and across all transport modes.

With a view to identifying the major research needs and priorities in the transport sector, including infrastructure, over the next decade, this topic seeks to provide a comprehensive analysis of the knowledge produced so far in the areas mentioned above and contribute to the creation of a coherent research agenda.

**Scope:**
In order to meet this challenge, proposals should address all following aspects:

- Collection and analysis of transport relevant studies and reports carried out at European and international level (e.g EU, OECD, etc) as well as by main European stakeholders of the transport sector.
- Collection and analysis of similar forward looking projects at a global level including in the main and emerging competitors of the EU in the field of transport (e.g USA, Japan, BRIC countries, etc).
- **Assessment of the impact of main political imperatives** (such as the recent COP 21 and the need for drastic reduction of emissions as well as reduction of air and noise pollution) on the transport sector and in particular on its needs for technological developments and rapid adaptation.
- **Assessment of the impact of main megatrends (ageing, urbanisation, migration etc) on transport research needs**, with particular emphasis on possible recent developments/data available.
- Synthesis of the various analyses and outcomes mentioned above.
- **Identification of main transport research needs and priorities in all transport modes and cross-modal in order to reconcile economic efficiency, competitiveness, sustainability, user convenience and inclusiveness.**

The expected duration of the action is between 6-12 months.

The Commission considers that proposals requesting a contribution from the EU of between EUR 0.3 and 0.5 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

**Expected Impact:**
The work is expected to provide a comprehensive picture and integrated analysis of existing forward-looking knowledge in the transport sector. This analysis should serve as an input for the elaboration of a transport research agenda in the medium term, with long term impact on the competitiveness of the European Transport sector and on the achievement of EU policy goals.
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### Topics with minor SSH relevance

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ART-07-2017
Full-scale demonstration of urban road transport automation

Specific challenge

Fully automated road transport systems have the potential to revolutionise urban transport offering high quality public transport services which are not feasible with conventional public transport systems. Low speed full automation systems have been demonstrated in several European cities. However full-scale demonstrations are still necessary to prove the reliability, safety and robustness of fully automated road transport systems in complex scenarios in urban areas. In addition, it is necessary to address the remaining questions, such as user acceptance and legal framework and to develop business cases to make fully automated urban road transport systems economically viable.

Scope

Proposals should demonstrate fully automated road transport systems which should be complementary to mass transit to reach low to medium demand areas with high quality transport services. A fleet of automated road transport vehicles (e.g. light weight vehicles, cyber cars, small buses) should be implemented at pan-European level in urban and/or sub-urban areas. The demonstrated systems should be fully integrated into existing public transport systems and should provide evidence of their safety, reliability and fault tolerance in complex traffic scenarios (with automated and non-automated vehicles, pedestrians, cyclists, powered two-wheelers, etc.)

Proposed actions should assess the user acceptance and effects on transport demand and modal transfer. Attention should also be paid to the analysis of socio-economic impacts and benefits of urban automated vehicle fleets as part of an integrated transport system, such as improved accessibility of persons with reduced mobility, elderly, etc. Gender specificities should be considered. Recommendations for local and national authorities to deploy fully automated road vehicles should be developed.

Active participation of SMEs is strongly encouraged.

In line with the Union’s strategy for international cooperation in research and innovation, international cooperation is encouraged. In particular, proposals should foresee twinning with entities participating in projects funded by US DOT to exchange knowledge and experience and exploit synergies.

The Commission considers that proposals requesting a contribution from the EU of between EUR 10 to 15 million each would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

Actions are expected to demonstrate the reliability, safety and robustness of fully automated road transport systems in complex scenarios in urban areas. They should develop innovative solutions for the safe and smooth integration of automated vehicles into the existing transport system in urban areas, as well as door-to-door public transport services, which can change radically the mobility paradigm of European cities. Therefore, actions will contribute to the development of modern, more efficient urban transport systems, with reduced impacts on climate change, air pollution, noise, health and accidents. Moreover, actions will provide detailed knowledge and recommendations which enable transport authorities, policy makers and business to invest in urban automated vehicle systems and support the development of innovative mobility services (e.g. car sharing, road train systems, etc.).

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Call – Automated Road Transport

Topics with minor SSH relevance

ART-03-2017
Multi-Brand platooning in real traffic conditions

Societal challenge 5
Climate action, environment, resource efficiency and raw materials
SC5-06-2016-2017
Pathways towards the decarbonisation and resilience of the European economy in the timeframe 2030-2050 and beyond

Specific Challenge:
In the broad spectrum of the feasible decarbonisation pathways, the challenge for political and economic decision-makers is to weigh uncertain impact chains against potentially devastating damage, immediate and medium-term engagement against long-term benefits, and the need for global mitigation efforts against differences in economic and political outlook on the international scene. It is therefore imperative to build a comprehensive evidence-based framework for research, business, investment and policy decision making which is able to assess the socio-economic implications of and incentives for medium-to long-term decarbonisation pathways (including their associated costs, benefit and risks), the challenges of planning medium-to long-term technological transitions, the adequacy of future global commitments for achieving long-term climate goals as well as the risks and costs of climate change. This action should be built around the co-design of pathways and scenarios with economic and societal actors and address relevant cross-sectorial perspectives of the decarbonisation of the European economy.

Scope:
Trans-disciplinary approaches, including social sciences, are considered necessary to address this specific challenge. Projects should also foresee activities to cluster with other projects financed under this topic and – if possible – also under other parts of Horizon 2020.

Proposals should address one of the following:
(...)
c) The risks and costs of climate change for Europe (2017): Climate change can induce large – or eventually extremely large – environmental and socio-economic damage. Defining and assessing complex impact chains under different climate change scenarios – from unmitigated to effectively mitigated – including macro-economic consequences (such as impact on growth and welfare) as well as non-market damage constitute a prerequisite of policy-making. In this constantly evolving research area, efforts must continue to further develop modelling tools and formulate more detailed and downscaled projections associated with the possible consequences of climate change, also taking into account climate tipping points and low-probability, high-impact events. Proposals should build on the latest results of climate science, with special regard to the IPCC’s 5th Assessment Report and also relevant European projects, and contribute to the evolution of methodologies in physical science, risk assessment and economics. Improved methodologies should then be applied to the analysis of possible impact chains, as well as to the economic valuation of climate action (mitigation and adaptation) in the EU at various levels (regions, countries, economic sectors) over medium to longer-term timeframes. Proposals should focus their analysis on Europe, but take into consideration the global context of climate change.

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 million and EUR 5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Project results are expected to contribute to:
(...)
c)
- providing more accurate and downscaled economic valuation of climate-induced impacts and risks in Europe;
- decreased uncertainties concerning the economic valuation of climate action in the EU, over the longer term (2050 and beyond);
- fostering greater transparency of models, methods and tools;
- contributions to major international scientific assessments (e.g. IPCC).
### Call – Greening the Economy

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SC5-07-2017
Coordinating and supporting research and innovation actions on the decarbonisation of the EU economy

Specific challenge

There is a constant need for strengthening the information flow and enhancing the exchange of experience on on-going and future European and international research and innovation activities concerning low-carbon transition scenarios, as well as for maintaining continuous dialogue between the scientific community, economic and societal stakeholder groups and policymakers in order to better support EU policy processes targeting the decarbonisation of Europe’s economy between 2030 and 2050 and beyond.

Scope:

The action will support the work of a panel of personalities, expected to be established by the European Commission. The panel's role will be to provide strategic-level, trans-disciplinary advice to the European Commission in this area of research and to ensure co-design through appropriate engagement of relevant stakeholders. This action should create a network of leading scientists and relevant research projects in the field of EU decarbonisation strategies, contributing to the definition of robust scientific statements and coverage of knowledge gaps. The project should from an early stage establish links with policy-makers and stakeholder groups at EU, national and sub-national level, in order to inform policy and business processes and set up feedback loops. The project should provide foresight analysis on emerging issues, produce sectoral and macro-economic syntheses emanating from results of EU-funded projects, and elaborate recommendations on current and emerging policy-relevant issues. It should also engage in active communication and dissemination of results. This action will have to be implemented in close cooperation with the European Commission’s Directorate General for Research and Innovation in order to allow for constant alignment with and support for policy initiatives.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2.5 million and EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts. Up to one action shall be funded.

Expected Impact:

Project results are expected to contribute to:

- enhanced coordination of European and Member State research and innovation actions on decarbonisation pathways and scenarios;
- better informed policy and business processes within a cross sectoral and integrated perspective, based on the latest scientific findings and recommendations for managing a low-carbon transition at various levels;
- the introduction and further development of the notion of cost-effectiveness, resulting from better medium-to-longer term planning and coordination.

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Large-scale demonstrators on nature-based solutions for hydrometeorological risk reduction

Specific Challenge:

Economic damage costs from extreme hydro-meteorological events (such as floods, droughts, storm surges, landslides) are increasing throughout Europe. Further investment in traditional, engineering solutions for risk prevention is no longer possible in several cases, due to the very high costs, and to the limited flexibility offered by such solutions to cope with extreme events for which changes in frequency, intensity and distribution may be expected due to climate change. Nature-based solutions can be flexible, multi-beneficial alternatives to traditional engineering, but adequate proof-of-concept for their upscaling and replication is lacking.

Scope:
Via large-scale demonstration, projects should aim to:

- develop, demonstrate and deploy innovative systemic and yet locally attuned nature-based solutions, including green and blue infrastructure and ecosystem-based management approaches, in rural and natural areas, including particularly sensitive ones such as mountainous and coastal areas, for hydrometeorological risk reduction at watershed/landscape scale. Solutions should be incorporated in an integrated design concept for land management and planning and be co-designed and co-deployed in a trans-disciplinary multi-stakeholder and participatory context with due consideration to and integration of social and cultural aspects and climate change effects;
- develop a comprehensive framework for the comparison of green and blue/grey/hybrid hydro-meteorological risk prevention and reduction solutions, taking into account wider land use and adaptation to the effects of climate change, considering impacts on landscape, local communities and cultural acceptance as well as co-benefits such as biodiversity conservation/enhancement, more sustainable local livelihoods, human health and well-being, climate change mitigation, etc.;
- identify and assess barriers related to their social and cultural acceptance and policy regulatory frameworks and propose ways to overcome them;
- develop methodologies, tools and best practices enabling the replication and up-scaling of nature-based solutions in different contexts, including replication of innovative investment strategies, governance and business models, as well as performance assessment tools, protocols and standards for the design, operation and maintenance of these solutions;
- provide a consolidated evidence-base on co-development processes, performance standards, cost-effectiveness, operational requirements, life cycle costs and the multiple benefits of nature-based solutions as economically, socially, culturally and environmentally viable alternatives for hydro-meteorological risk reduction and climate change adaptation at watershed/landscape level, also considering the potential and limits of the solutions under different circumstances and conditions;
- establish long-term sustainable data platforms considering existing initiatives and alternative options, such as pan-European web-based repositories, securing open, consistent data and performance measurements and interoperability of data infrastructures to ensure effective communication, public consultation, exchange of practices and sharing of experiences and a continuous building up of the ‘knowledge portfolio’ in the longer term (i.e. following project completion).

Proposals shall address all of the above points.

The contribution of social sciences and humanities to these processes is considered necessary.

Projects should envisage resources for clustering with other projects funded under this topic, under topic SCS-10-2016, and relevant topics on sustainable cities through nature-based solutions funded under the 'Smart and Sustainable Cities' call in part 17 of this Work Programme. Coordination with projects funded under topic MG-7.1-2017 (“Resilience to extreme – natural and man-made – events) is also encouraged.

Because of the substantial investments that might be necessary for implementing the nature-based solutions, additional or follow-up funding (private or public) should be sought, including from relevant regional/national schemes under the European Structural and Investment Funds (ESIF), in particular under the European Regional Development Fund (ERDF), or other relevant funds such as the Instrument for Pre-accession Assistance (IPA II). To this end, projects could seek contact with ERDF/IPA managing authorities and with the authorities who developed the Research and Innovation Smart Specialisation Strategies.
Call – Greening the Economy

(RIS3). Please note, however, that reference to such additional or follow-up funding will not lead automatically to a higher score in the evaluation of the proposal.

In line with the strategy for EU international cooperation in research and innovation (COM(2012)497), cooperation and synergies with similar international demonstration activities on nature-based solutions for hydro-meteorological risk reduction and climate change adaptation, funded under different financial arrangements or programmes, is encouraged to facilitate mutual learning, sharing of experience, networking and follow-up. The project proposals could already indicate which interested regions/countries or other partners have been pre-identified for contact during the project.

The Commission considers that proposals requesting a contribution from the EU of at least EUR 12 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact:
Projects are expected to contribute to:

- the EU being recognised as a leader in nature-based solutions for hydro-meteorological risk reduction and climate change adaptation and thus **enhancement of territorial, socio-economic and ecological resilience and coherence**;
- the mainstreaming of nature-based solutions in land use planning, landscaping and territorial policies due to the provision of appropriate tools and best practices to assist decision makers, designers, competent authorities, planners, practitioners, enterprises, citizens and other stakeholders in reducing hydro-meteorological risks and in climate change adaptation;
- development of an integrated EU-wide evidence base and a European reference framework on nature-based solutions and **the stimulation of a new culture** for 'land use planning' that links the reduction of risks with local and regional sustainable development objectives;
- **enhanced market demand** for nature-based solutions for hydro-meteorological risk reduction and climate change adaptation, due to the availability of protocols and standards for their design, operation, maintenance, performance monitoring and measuring of their broader economic, societal and environmental benefits;
- improved disaster risk management, due to enhanced capacity for providing quantitative assessments of nature-based solutions for disaster risk reduction and climate change adaptation;
- reduced human and financial costs due to better and more flexible disaster risk management with nature-based solutions;
- enhanced implementation of EU policies for disaster risk prevention and reduction, for climate change adaptation, for Green Infrastructure, and for water management (Water Framework Directive, Floods Directive, Blueprint to safeguard Europe’s water resources), as well as of international frameworks, such as the Sendai Framework for Disaster Risk Reduction 2015-2030;
- contribution to the priorities of the EIP Water;
- implementing the Sustainable Development Goals (SDGs), in particular SDG 15 ‘Protection, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss’ and SDG 13 ‘Take urgent action to combat climate change and its impacts’, as well as the conclusions of the COP21 Paris Agreement[3].

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Specific Challenge:

Citizens’ observatories are community-based environmental monitoring and information systems which build on innovative and novel Earth observation applications embedded in portable or mobile personal devices. Thanks to the vast array of ubiquitous information and data they can provide, citizens’ observatories can enable authorities to obtain evidence and inform environmental policy making, complementing more authoritative in-situ observation and monitoring networks and systems with a very positive cost-benefit ratio.

Citizens are also provided with new opportunities to address environmental issues affecting them and to influence local decision making. Social innovation can be achieved through these novel partnerships which involve the private and public sector, NGOs and citizens, offering new business opportunities for SMEs in the fields of Earth observation and mobile technologies.

These activities are, however, at an early stage and still largely rely on research funding. Risks and opportunities still have to be explored, which requires a comprehensive analysis of their full potential and applicability. There is a need to create a citizens' observatories knowledge base in Europe across disciplines to avoid duplication, ensure interoperability, create synergies and facilitate its gradual uptake by environmental authorities. With an increasing number of citizen-based initiatives, a coordinated approach for the integration of citizens' observations is becoming necessary in Earth observation systems at local, regional and also global level.

Scope:

This action should bring environmental citizens' observatories and related communities together with existing relevant activities to benchmark and pinpoint best practices, identify barriers and synergies, promote standards, facilitate integration and stronger cooperation solutions, and stimulate a gradual uptake by public authorities of these new technological and methodological approaches. Relevant issues such as technologies and methodologies for engaging citizens, social innovation opportunities, sustainability approaches including the role of the European private sector, especially SMEs, as well as data management and interoperability of platforms should be addressed. A coherent approach should also be taken to ensuring the delivery and uptake of in-situ data and information coming from citizens observatories through GEOSS and Copernicus. Hence, proposals should include a broad range of stakeholders, including public bodies, private sector representatives, research institutions – including from social sciences and humanities – NGOs and citizens' associations.

To address these points effectively, social science research tools and methods will be required.

The Commission considers that proposals requesting a contribution from the EU in the range of EUR 1 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts. Up to one action shall be funded.

Expected Impact:

The project results are expected to contribute to:

- improved coordination between existing environmental citizens' observatories and related activities at regional, European and international level;
- expanded geographical coverage and use of environmental citizens' observation through an effective promotion and uptake of best practices and standards;
- wider dissemination and uptake of efficient information and data management and preservation strategies for existing and future citizens' observatory platforms;
- increased opportunities for SMEs and businesses in the field of in-situ Earth observation systems;
- better awareness and use of the citizens' observatories by environmental and disaster risk and emergency management decision makers;
- increased value added of GEOSS and Copernicus through the use of citizens' observations;
• a leading role for Europe in the integration and uptake of citizens' information in GEOSS; implementing the Sustainable Development Goals (SDGs), in particular SDG 9 'Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation'.

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Call – Greening the Economy

SC5-21-2016-2017
Cultural heritage as a driver for sustainable growth

Specific Challenge:
European cities and rural areas are unique cultural landscapes full of character at the core of Europe's identity. They are examples of our living heritage which is continually evolving and being added to. However some of them are facing economic, social and environmental problems, resulting in unemployment, disengagement, depopulation, marginalisation or loss of cultural and biological diversity. These challenges create demand for testing and experimenting with innovative pathways for regeneration. Cultural heritage (both tangible and intangible) can be used as a driver for the sustainable growth of urban and rural areas, as a factor of production and competitiveness and a means for introducing socially and environmentally innovative solutions. The overall challenge is to go far beyond simple conservation, restoration, physical rehabilitation or repurposing of a site and to demonstrate heritage potential as a powerful economic, social and environmental catalyst for regeneration, sustainable development, economic growth and improvement of people's well-being and living environments.

Scope:
Proposals should address one of the following:

a) Heritage-led urban regeneration (2016)
b) Heritage-led rural regeneration (2017)

For both, actions should develop and deploy via large-scale demonstration projects novel heritage-led systemic approaches and solutions for sustainable growth. In order to pave the way for their rapid replication and up-scaling, a 'Role models' and 'Replicators' approach should be implemented.

The 'Role models' are urban or rural landscapes which have demonstrably and successfully pursued a heritage-led regeneration.

The ‘Replicators’ are urban or rural landscapes that will be assisted/mentored by ‘Role models’ and committed to their heritage-led regeneration within the duration of the project, replicating the heritage-led regeneration ‘blueprints’ of the ‘Role models’, properly contextualised to fit their particular contexts. The ‘Replicators’ will therefore proactively seek advice, assistance and mentoring from the 'Role models', have privileged contact with them and access to their know-how, and will participate in the definition of user requirements and the methodology for transferability of solutions, data collection etc.

The higher the number of ‘Role models’ and 'Replicators' involved, the larger the evidence base and hence the replicability and up-scalability potential of the project outputs under different contexts. The Commission considers that involving six 'Role models' and three 'Replicators' from different Member States/Associated Countries would greatly enhance the potential of a proposal for replicating and up-taking of the results across Europe. Beyond this and in line with the strategy for EU international cooperation in research and innovation (COM(2012)497), participation of ‘Role models’ from non-EU countries is encouraged, since this would further enrich the evidence base of successfully implemented heritage-led regenerations and would thus enhance the replication and impact potential of such activities in non-EU regions (e.g. Latin America) and countries.

Replication critically depends on the timely and active involvement of the ‘Replicators’ in the project development, the effective and continuous knowledge transfer, mentoring, networking and support by the 'Role models' (e.g. through staff exchanges to enhance their capacity in, among other things, securing the financial resources necessary for the regeneration through innovative financing and business models, partnerships (e.g. public/private) and mobilisation of investments). The success potential of the proposal will be assessed according to the innovative nature and the replicability potential of the approach; the financing, business and governance models; the mobilisation of new investments; the participatory, multi-stakeholder and trans-disciplinary processes (also securing citizens’ engagement and ownership of regeneration plans); the long-term political and financial commitment of the competent authorities in the 'Replicators' to guarantee the project implementation, independently of possible changes in their political context during the course of the project; the capacity for mobilising and leveraging additional investments to secure economic and financial sustainability for the execution of the project; and the soundness of the approach in 'mentoring' and transferring knowledge from the 'Role models' to the 'Replicators' and beyond. Partnerships should involve local and regional authorities, planners, enterprises, academics and local communities in a clearly defined structure with roles and responsibilities properly spelled out for all involved parties. The participation of social sciences and humanities disciplines such as architecture, archaeological sciences, cultural anthropology, law, economics, governance, planning, cultural and historical studies, is critical to properly address the complex challenges of this topic.

Net 4 Society
Projects should aim to:

- map, analyse and systematically document successful heritage-led regeneration models in 'Role models', linking where appropriate cultural and natural heritage; make this evidence base readily accessible to an EU-wide community of competent and interested authorities, planners, practitioners, enterprises and stakeholders (including civil society) through innovative communication and training strategies. Particular emphasis should be paid to successful business and management models, financing mechanisms, leveraging of investments, governance structures, urban and territorial plans and legal frameworks. 'Role models' would, if they so wish, also have the possibility of further upscaling their regeneration activities during the life of the project;
- assist 'Replicators' through provision of expertise, advice and capacity building in developing and implementing during the life of the project their heritage-led regeneration plans, including appropriate business and management models, financing mechanisms, governance structures, planning tools and legal frameworks;
- set up a robust monitoring scheme to monitor the performance of the deployed regeneration scheme, so as to assess the impact for the targeted rural and urban areas in an as quantifiable way as possible against a well-defined baseline at the time of the proposal. Performance monitoring should last for a period of at least 2 years within the life of the project. Longer term monitoring commitment beyond the end of the project, while continuing the systematic documentation of the data, will give an added value to the proposal;
- develop methodologies enabling the replication and up-scaling of heritage-led urban regeneration projects in different contexts, including replication of innovative investment strategies, governance and business models;
- identify potential regulatory, economic and technical barriers and propose concrete ways to optimise policy and regulatory and administrative frameworks;
- establish long-term sustainable data platforms securing open, consistent data and performance measurements and interoperability of data infrastructures to ensure effective communication, public consultation, exchange of practices and sharing of experiences and a continuous building up of the 'knowledge portfolio' through future activities under Horizon 2020 and beyond, and long-term (i.e. beyond the life of the project) exploitability of the results.

Proposals shall address all of the above points.

Projects should envisage resources for clustering with other projects financed under this topic as well as other projects under the 'Cultural Heritage for sustainable growth' part of this call and – if possible – also under other relevant parts of Horizon 2020.

Because of the substantial investments that might be necessary for the heritage-led regeneration in the urban and rural context, additional or follow-up funding should be sought, be it private or public, from relevant regional/national schemes under the European Structural and Investment Funds (ESIF), including the European Regional Development Fund (ERDF), or other relevant funds such as the Instrument for Pre-accession Assistance (IPA II). In the case of ESIF/IPA, contacts could be established with the funds’ managing body during the duration of the projects. In case of relevance for the Research and Innovation Smart Specialisation Strategies, the project proposals could already indicate which interested regions/countries have been pre-identified. Please note, however, that reference to such additional or follow-up funding will not lead automatically to a higher score in the evaluation of the proposal.

The Commission considers that proposals requesting a contribution from the EU of up to a maximum of EUR 10 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Projects are expected to contribute to:

- providing new heritage-led urban and rural regeneration paradigms, up-scalable and replicable, replacing the object-oriented approach with a spatial approach in heritage planning and offering new economic and investment opportunities, new products and services, reduced regulative and administrative barriers, innovative governance adopting trans-disciplinary and participatory approaches and promoting citizens’ engagement and new local skills and jobs;
- strengthening Europe's capacity as a world-leader in promoting, financing, developing, managing and replicating innovative use of heritage for urban and rural regeneration in Europe and beyond;
- securing heritage conservation and sustainability through fostering collective management, responsibility and ownership of cultural heritage, and establishing a “community of practice” to promote heritage potential as a production (rather than a cost) factor to the society through unlocking its potential as a driver for regeneration and a
Call – Greening the Economy

catalyser for economic growth and jobs;
- providing as quantifiable evidence as possible of the cultural, social, environmental and economic benefits (e.g. set-up of companies, start-ups in new productive activities in different fields new cultural products and services, tourism, construction industry, developing talent, attracting new investment in the regeneration sector etc.) of heritage reuse at different levels, including in deprived or less developed areas;
- mobilising investment and opening up of new market opportunities for businesses through networking at European level competent authorities and stakeholders interested in using heritage to regenerate their cities or rural areas;
- positioning Europe as a leading force in the use of heritage as a means for social, cultural and economic development;
- assisting regions in developing their Research and Innovation Smart Specialisation Strategies by including sound heritage-led urban and rural regeneration projects;
- implementing the Sustainable Development Goals (SDGs), in particular SDG 1 'End poverty in all its forms everywhere', SDG 10 'Reduce inequality within and among countries' and SDG 11 'Make cities and human settlements inclusive, safe, resilient and sustainable'.

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Dedicated Topic

SC5-22-2017
Innovative financing, business and governance models for adaptive re-use of cultural heritage

Specific Challenge:
Due to economic problems and social change many historic assets have been facing functional redundancy. These assets are mostly churches no longer used for worship, industrial buildings no longer used for manufacturing, farm buildings no longer used for agriculture, cultural landscapes which are degrading etc. In most instances, the costs for the adaptive re-use of these assets cannot be supported by the public sector or by traditional private sector models relying on return on investment. Innovative financing, business and governance models would fill up this “investment gap” and enable the maintenance of the historic fabric, its integration with the modern world and thus the appreciation of heritage-inherent values and qualities by contemporary societies through optimal adaptive re-use practices.

Scope:
Projects should:

- map and analyse existing successful business and management models, financing mechanisms and governance arrangements for adaptive re-use of groups of cultural heritage monuments, cultural landscapes, buildings or sites[1];
- develop and validate methods, tools, indicators and matrixes that would allow for the replication and up-scaling of successful adaptive re-use practices;
- propose innovative governance arrangements also fostering increased participation by citizens, business models, financing instruments (e.g. crowd funding), new forms of partnerships (e.g. public–private, community-based etc.) and strategies for mobilising new investments for adaptive re-use of groups of cultural heritage monuments, buildings or sites and develop and validate methods, tools, indicators and matrixes for assessing their effectiveness and performance;
- identify cultural, social, economic, institutional, legal, regulatory and administrative barriers and bottlenecks at city, regional, national and EU level for adaptive re-use of groups of cultural heritage monuments, buildings or sites, and recommend ways to overcome them;
- develop and validate tools with a replicability potential in different local conditions to assist decision-making processes, using multi-stakeholder approaches, involving local communities and underpinned by social science and humanities expertise, for adaptive re-use of cultural heritage.

Proposals shall address all of the above points and efforts should be made to link cultural with natural capital where appropriate.

Projects should envisage resources for clustering with other projects financed under this topic as well as other projects under the “Cultural Heritage for sustainable growth” part of this call and – if possible – also under other relevant parts of Horizon 2020.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Projects are expected to lead to:

- more integrated approaches and strategies for the preservation and valorisation of cultural heritage through its adaptive re-use (securing thus its sustainability) comprising innovative finance (with high leverage capacity), business models and institutional and governance arrangements that foster multi-stakeholder involvement, citizens’ and communities engagement and empowerment;
Call – Greening the Economy

- new investment and market opportunities for businesses in the adaptive re-use of cultural heritage assets, both tangible and intangible, including opportunities for stimulating the creation of start-ups;
- an enabling context for the development and wide deployment of new technologies, techniques and expertise enhancing industrial competitiveness and contributing to economic growth, new skills and jobs;
- innovative adaptive re-use blueprints for culturally, socially and economically inclusive societies with reduced financial and operational burden for the public sector in heritage conservation;
- contribution to implementing the Sustainable Development Goals (SDGs), in particular SDG 1 ‘End poverty in all its forms everywhere’, SDG 15 ‘Protection, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss’ and SDG 11 ‘Make cities and human settlements inclusive, safe, resilient and sustainable’.

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Topics with minor SSH relevance

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Societal challenge 6

Europe in a changing world: Inclusive, Innovative and Reflective Societies
Education and skills: empowering Europe’s young innovators

Specific Challenge:

Creativity, entrepreneurial skills, risk taking adaptability and innovation capacity, problem solving skills, skills related to effective teamwork and sharing information and knowledge, may all be key competitive advantages for Europeans, starting from young children. To make the best of this potential, it is essential that schools and educational institutions, as well as non-formal ways of learning, empower Europe’s young innovators with the skills they need from early on in life. Empowering the young through skills for innovation and entrepreneurship, including social entrepreneurship, is particularly important to building more inclusive societies giving opportunities to all, including young innovators from less privileged backgrounds or those with disabilities in order to address inequalities.

The challenge to be addressed by this topic is to improve learning and teaching in innovation-related skills for young boys and girls at the age of primary and secondary education through the design and piloting of new innovative ways of skills education, including technologies, processes and relations.

Scope:

New approaches for educating skills need to be developed, piloted and scaled up. There is a lack of sufficient collaboration with entrepreneurial stakeholders in teaching and students practice, and a lack of inter-generational learning. Young people need to be supported with tools, resources and an open environment encouraging experimentation and the development of joint projects including based on interdisciplinary approaches. Effective supporting schemes should guide young people into their entrepreneurial journey.

Building upon existing initiatives in Europe, the consortia (which shall include entrepreneurial partners, and may include partners from civil society and the social economy) shall develop new approaches and innovative models for skills education targeted at young people.

The involvement of young people in the activities of the consortium (not just as recipients of the outputs) is essential. This may include new inter-active methods and new pedagogical modules that will be easily accessible and part of an open platform, which will aim to reach out to thousands of schools and learning sites across Europe. The innovative schemes and new modules will enable the young, future innovators, to develop new capabilities and experimentation attitudes and turn their ideas into successful entrepreneurial and social projects[1].

Promising new models combining technologies with organisational change and building new participatory relations in learning processes - can be tested and adapted in different regions. The innovative models shall be piloted through the schools and/or other businesses and communities, providing young people with a practical set of creative and entrepreneurial skills that will open them up to a world of new possibilities and future jobs.

Within the scope of the action is to develop new models, to investigate and to test new mechanisms that the young generation is engaging in, for addressing societal challenges coupled with an entrepreneurial spirit as well as effective ways and mechanisms for collecting and promoting innovative ideas from the young people. Particular attention should be paid to gender issues.

The action should take into account and coordinate with, where appropriate, with other EU and national initiatives in the field, such as those supported in the context of Erasmus+ strategic partnerships and policy experimentation.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

The action will pave the way for innovating learning and teaching practices, so that innovation skills are part of a person's education, formal and informal, at schools and interacting communities as well as on-line. This will boost innovation and entrepreneurship capacity, bringing together many stakeholders including from education, traditional business, the social and service economy and volunteering schemes. It will thereby empower young innovators across Europe, provide for
Call - Co-Creation for Growth and Inclusion

Innovative business models and give them tools to engage in society and channel their energies to create opportunities for the future. In the long run the topic contributes to higher youth employment and to creating new markets and new jobs. The knowledge generated as a result of the actions should be disseminated across Europe to benefit the largest numbers of young innovators.

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CO-CREATION-04-2017

Applied co-creation to deliver public services

Specific Challenge:

While efforts have been made to make public services user-friendly and reduce the administrative burden, for example by making them increasingly available online, studies show that service design often does not meet the expectations of citizens and businesses, who require more usability, responsiveness and transparency, reflecting the different needs of users - some of whom may not be computer literate - and the variety of activities public services encompasses. Weak service design and high administrative burden often lead to non-use or non-take up by citizens and businesses of the public services and benefits available to them.

The profound understanding of end users including specific groups, like disabled elderly people, single parent families, disadvantaged citizens or immigrants, the re-design of services to respond to their capacities, needs and preferred delivery channels are important elements for governments to prove their ability to fulfill the needs of citizens and businesses. The old ‘one-size-fits-all’ approach is not appropriate for all spheres of the public sector; complex and varied service delivery; historical, cultural and socio-economic backgrounds play an important role in the expectations of interactions with public services.

The steady integration of new technologies into the everyday lives of people, businesses and governments is helping to open up public administrations, offering opportunities for more collaborative and participatory relationships that allow relevant stakeholders (i.e. citizens, business and non-governmental organisations) to actively shape political priorities, collaborate in the design of public services and participate in their delivery to provide more coherent and integrated solutions to complex challenges[1]. Co-creation of public services in this context is a public service that is provided by government, citizens, NGOs, private companies or individual civil servants, in collaboration or not with government institutions, based on government or non-government data or services.

Collaborative service creation (co-creation) requires public service actors to engage with stakeholders in the design, production and delivery phases, to gather the necessary user insight, re-define their operational processes and identify appropriate sustainability models to deliver an effective high quality service.

Given the opportunity to actively participate in service delivery, stakeholders (citizens, businesses, civil society organisations, social partners, etc.) can contribute distinctive resources (time, effort, ideas and expertise) and can keep public officials accountable. The increased sense of ownership, greater efforts for the sustainability of public initiatives, as well as more creative ideas lead to an important shift in the role that civil society and the private sector can play in contributing to good governance. It is also expected to help better prioritise and target public spending to the most important purposes and urgent needs.

Scope:

Innovation actions will pilot the co-designing and co-creation of public services, using ICT and relying on open data or open public services. They need to bring together a variety of actors in society, such as for example public authorities, citizens, businesses, researchers, civil society organisations, social innovators, social entrepreneurs, social partners, artists and designers, to co-create demand-driven, user-friendly, personalised public services and make effective decisions. Proposals need to identify the particular policy area, public institution or function to assess the suitability of incorporating co-creation and the transferability of good practices. Piloting needs to be carried out in a representative set of Member States in order to test different cultural/socio-political context for co-creating public services.

Proposals need to address several of the below aspects:

- Using open services provided by public administrations and allowing third parties to design, aggregate, produce and deliver in collaboration with or without government value added complementary or new public services;
- Demonstrating how government can act as a broad, open collaboration 'platform' in practice by demonstrating and/or piloting use cases for sharing data, services, tools, cloud infrastructures and assets between public administrations (e.g. experiments of hybrid teams in government) and resulting in re-usable services or processes;
• Demonstrating how government can act as a broad, open collaboration 'platform' in practice by demonstrating and/or piloting use cases for sharing data, services, tools and assets with third parties and generating new or complementary services or making decisions;
• Demonstrating how the perspectives of citizens, service users, and others can be taken on board through, for example, the use of design principles or behavioural analysis, in the creation of new public services or policies;
• Demonstrating how transparency of government data, information or processes and the engagement of relevant stakeholders can lead to accountability and trust;
• Developing business models that would enable financial remuneration for the public as data (or other asset) providers.

Proposals need to ensure that privacy and data protection issues have been appropriately addressed and that the tools piloted could be re-used. Any policy area may be subject to the piloting, including social policies and those addressing the vulnerable.

Proposals need to demonstrate the feasibility of their service or solution through a number of real-life pilots, demonstrate the concrete commitment of the piloting sites and need to propose a sustainability approach or model for the period beyond the project.

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 and 5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Proposals need to demonstrate that they can achieve impact beyond the project phase, inter alia, in terms of efficiency and effectiveness gains, transactional cost reduction, productivity growth, stimulating the growth of new businesses, greater transparency leading to reduced errors and less public spending, administrative burden reduction, improved societal evidence, increased take-up of electronic public services by citizens, user satisfaction as well as in terms of the democratic dimension, such as increasing level of civic participation and social inclusion. Quantitative and qualitative aspects are to be taken into account. Additional impact may be improving the skills and adding new skills of public sector employees as well as third parties being agents and enablers of change and acting as innovation actors.

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CO-CREATION-06-2017
Policy-development in the age of big data: data-driven policymaking, policy-modelling and policy-implementation

Specific Challenge:
As societal challenges are growing more complex and interlinked, public policy innovation and experimentation, using ICT can improve the effectiveness, efficiency and the quality decisions in the public sector. Effective and reliable policies need to consider the available data (including its structure and topology) and evidence to ensure accurate and meaningful information. Big data offers many opportunities; using data analytics to generate new insights, increasing predictive power and identifying unexpected patterns and relationships that can help inform policy making. For instance data analytics tools can also help public authorities to better detect and evidence patterns of non-compliance in many policy areas affecting the health, the safety and the welfare of citizens in the internal market of goods, services and persons. Effective processing power and expertise are widely used in the retail and commercial sector, the challenge is to create effective resources to make this available to governments, allowing policy choices to become more evidence-based and analytical.

In addition, open policy-making and the integration of the citizens’ perspective through the effective engagement of relevant social actors - for example over online platforms or by crowd-sourcing - can potentially generate vast amounts of data, which can allow policy options to become more informed. Furthermore, open policy-making can support a participatory, open and collaborative government vision. Besides simulations, perceptions data pose a further promising source of information. Conducted on a regular basis, e.g. by the Eurobarometer, identifying perceived bottlenecks in relation to policy reforms as well as assessing the perceived performance of past reforms becomes feasible; in some cases these official statistics may be complemented by new sources of data. Taken together, this may lead to developing second generation data tools and assessment for more targeted policy design. It also offers opportunities for different communities to take ownership of the use and analysis of data in an age where they are at risk of being alienated by too much information. In addition, policy implementation can significantly benefit from efficient enforcement and monitoring tools that are informed by data from various sources.

Scope:
In order to enable governments - at all levels - to benefit from the availability of relevant data and thereby introduce and implement effective policies, new or improved methods and tools are needed to support and establish new types of evidence-informed policy design and implementation and to facilitate the interpretation of big data for public communication, including new outcome-based. For public administrations to experiment with the possibilities offered by big data – for example through policy modelling, monitoring, enforcing, simulation, testing, analysis and policy compliance – there is a need to thoroughly understand the legal frameworks and to take into account sociological, cultural, political, legal and economic as well as behavioural aspects. Proposals should also elaborate on the relationship between evidence-based policy-making and citizens’ participation, integrating the analysis of participatory elements.

a) Research and Innovation Actions

Proposals need to address several of the following aspects:

- Methodological development for using big data in policy development, examining the extent to which policy-making structures and systems are ready to absorb and analyse big data;
- Critical interdisciplinary assessment of the economic, political, epistemological, ethical and legal premises and implications of big data practices (including algorithmic governance, smart cities, etc.), allowing for the reflection on the potential benefits and risks;
- Develop scalable and transferable methods and re-usable tools for compilation, analysis and visualisation of data, including relevant open, official or certified data;
- Develop scalable and transferable methods and re-usable tools for mining, compilation, analysis and visualisation of data from any source, including data related to social dynamics and behaviour;
- Develop scalable and transferable methods and re-usable tools for data curation, meta-data schemes, data linking or for reconciliation of multiple data sets to render coherent narratives;
Call - Co-Creation for Growth and Inclusion

- Understanding the implications of the increasing materiality of data with the development of the Internet of Things and its implications for the sustainability of government’s effective use of big data for improved policy making in the longer term;
- Develop scalable and transferable methods and re-usable tools for opinion-mining of large data sets in order to avoid the situation that the bigger the data, the less clear how they have been produced;
- Develop scalable and transferable methods and re-usable tools for policy modelling and simulation to improve the predictive analysis capacity of governments;
- Develop scalable and transferable methods and re-usable tools for iterative policy design and implementation (e.g. through the greater use of randomised controlled trials based on behavioural science);
- Develop scalable and transferable methods and re-usable tools for policy enforcement and compliance monitoring tools.

Proposals should apply their methodology to policy areas addressing societal challenges (e.g. environment, migration, radicalisation, inequalities, unemployment, internal market obstacles to the free movement of persons, goods and services). When using open and big data in order to enlarge the evidence base for effective policy-making, principles such as independence, quality, coherence and consistency, confidentiality, impartiality and objectivity as well as representativeness and extrapolation to meaningful populations need to be considered. Data protection, ethical and privacy issues will also have to be addressed as well as ethical issues around storage, use and re-use of data. Application and improvement of existing quantitative tools is preferable. Sociological as well as behavioural science approaches are encouraged, especially where they aim to develop a deeper understanding of how public policy and services interact with citizens. If relevant, proposals also need to analyse the suitability of the proposed software.

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 and 5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

b) Coordination and Support Action

The activities should aim at encouraging networking of relevant stakeholders and teams working in the area of data-driven policy-making and policy-modelling and to support constituency building. Following an assessment of the needs of public administrations, the multidisciplinary network will identify methods, tools, technologies and applications for their implementation in the public sector, taking into consideration activities also undertaken outside the European Union and considering specificities relevant to different policy domains of public activity. The activities will conclude with the outlining of a roadmap for future research directions.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 0.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Proposals need to demonstrate the impact to be achieved after the project phase, inter alia, in terms of improved public policy effectiveness, efficiency gains, precision gains, improved consistency, and reliance on evidence leading to increased policy compliance as well as in terms of the democratic dimension, such as greater transparency, good governance, increased trust in and the perceived legitimacy of government. Additional impact may be increased accessibility to the non-governmental players.

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Towards a new growth strategy in Europe – Improved economic and social measurement data and official statistics

Specific Challenge:
Over the past decades, the insight has grown among national, European and international policymakers that policy action cannot be solely guided by reference to gross domestic product and its growth without integrating intangible investment, social and environmental dimensions, individual well-being and qualitative dimensions in the GDP. The changing characteristics of economies and societies in Europe require inclusion of multiple dimensions, including gender and age, new measurement and data for developing new policy for economic growth and well-being.

European growth prospects, job creation and well-being of citizens largely depend on economically successful innovations which address global and EU challenges and create new opportunities for firms. However, the importance of capital stock developments and investments, as well as labour skills, at a detailed industry level and for all types of tangible and intangible assets has not been fully integrated into the analysis of EU innovation and growth performances mainly due to data issues. Better measurement of tangible and intangible investments together with labour skills, in existing categories as well as inclusion of potentially relevant asset categories outside the current asset boundary (such as economic competences, organisational capital, co-creation, skills, marketing assets, firm specific human capital investments, culture and arts) – by taking gender and age into account - would improve the understanding about growth in knowledge-based, globalised and connected economies. Moreover, innovation driven growth strategies require a better understanding on the roles of services (including business services) and the use of ICT and internet at industry level. Equally vital for new growth strategies is a better understanding of the complementarities of firms’ investments in global value added chains and the creation of new dynamic market industries.

Europe needs to understand and analyse the changing frame of references for the evaluation of the state and development of societies. Official statistics need to be modernised to provide a more complete picture through the incorporation of new metrics, based on new sources and data collection methods, in coherent frameworks delivering consistent evidences and narratives to policymakers. New sources (including social media) offer unparalleled opportunities to elicit information on welfare, wellbeing and societal progress by other means than traditional sample surveys and require the development of modern, innovative methods for official statistics. With all strata of the population being in scope, methodologically sound official statistics ensure that for e.g. a “silent majority” (those who do not tweet) or marginalised minorities (those who for e.g., lack bank accounts and credit cards and hence leave no trace in certain electronic systems) remain visible to policymakers when innovative, non-traditional sources are used to measure progress. The protection of individual data is furthermore a concern that should be taken into account.

Scope:
a) Research and innovation actions

Research aims to improve the availability and quality in the data on tangible and intangible investments, capital stock and the composition of labour inputs at industry level and/or firm level which in longer perspective qualifies for the endorsement from official statistics.

Compilation of data on tangible and intangible investment categories within the existing asset boundary of the national accounts standards (SNA 2008/ESA 2010) need to be targeted and supplemented with national sources and other types of calculations. Creating new data for potentially relevant asset categories outside the current asset boundary at a detailed industry level need statistical work together with implementing strategies and novel approaches to minimise cost and burden of compiling. Pilotimg and proof of concepts can be useful. All efforts to improve data availability and quality need to be consistent with the current statistical classification of economic activities (NACE Rev.2), National Accounts concepts, methodologies and quality criteria. It is important to use internationally harmonized official source statistics. Future extensions of official statistics can benefit from the already existing work on tangible and intangible capital stocks and labour input outside the official statistical systems by improving their quality in line with statistical quality criteria. It is essential to work out a strategy in which the statistical community cooperates with the economic and other social sciences communities to integrate the improved and/or newly created data into established official statistics to guarantee their lasting reproducibility.
The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

b) Coordination and Support Action

The coordination and support action should focus on the incorporation and alignment of new, possibly unofficial and unstructured, sources with established official statistics. It should build on the rich body of results in the alternative growth approaches, social, environmental and sustainable indicators, new consumption models and "Beyond GDP" domain – in consistency with National Accounts - covering both novel domains and non-traditional sources as well as innovative data collection approaches. It should incorporate a strong statistical methodological component focusing on obtaining consistency, representativity/social inclusion (in particular when innovative data collection methods are used) and measures of uncertainty. Piloting and proof of concept should be at the forefront. Disaggregation of statistics - geographically, or by other domains (e.g. identifying vulnerable population groups) - to provide greater insights and measures of uncertainty should be covered, as should metadata and other aspects of quality of statistics. At the same time data protection concerns should be addressed.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 1 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Better data and official statistics should improve our understanding about the changing nature of overall investment and growth dynamism in Europe. Widening of the concept of investment should bring insights for the European growth strategy and reveal cross-country differences in growth and productivity performances taking into account the role of tangible and intangible capital in the modernisation and competitiveness of EU industries and services. Moreover, through the investigation of the practical applicability of new sources, frameworks and methods for official statistics across a wide range of aspects regarding social and sustainable progress will provide a coherent framework of reliable evidence to the benefit of society. Public statistics and measurements being more explorative and future-orientated should provide new innovative policy support frameworks in "Beyond GDP" perspective.

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Call - Co-Creation for Growth and Inclusion

**CO-CREATION-08-2016-2017**

**Better integration of evidence on the impact of research and innovation in policy making**

**Specific Challenge:**

The growing attention given to research and innovation over the past decades has resulted in increased amounts of public funding being channelled to research and innovation, but also to a variety of policies and funding programmes being put in place in Europe, in order to maximise the quality and impact of this funding.

These policies have been wide in scope, ranging from basic research all the way up to supporting the market introduction of innovation and used a variety of instruments, oriented not only towards the production of knowledge and innovation, but also towards optimising the processes by which innovations are generated (including Co-Creation).

Investments in R&I must be smart and efficient and obtain the most value for every euro invested. This requires clear strategies for investing in R&I coupled with quality R&I programmes and strong institutions capable of implementing these programmes in close connection with the business sector and other stakeholders such as civil society. In addition, there is a clear need to improve the overall framework conditions for transforming R&I investments into tangible results, whether as new products or services or in terms of less tangible impacts such as improvements in the quality of life or inclusion.

The challenge for policy makers is to design policies and programmes with targeted funding to address well identified bottlenecks and which are adapted to the specific context of the research and innovation system in question. This is key to improving the efficiency of the European research and innovation system as a whole, as was stressed by the Commission in its Communication on 'Research and innovation as sources of renewed growth'. [1]

Designing such policies and programmes requires a sound evidence base around the performance of research and innovation systems, the impact of research and innovation policies, the impact of research and innovation on economic growth, job creation and societal progress, and on the way in which public funding and policies can influence performance and impact. The Commission regularly publishes authoritative reports (e.g. the Innovation Union Scoreboard and the Innovation Union Competitiveness Report) which contribute to this evidence base, but given the increasing importance of research and innovation and recent evolutions in this field, the analysis regarding these issues needs to become more sophisticated.

**Scope:**

Research will focus on establishing new methodologies for assessing the performance and impact of research and innovation and the ways in which public policies and funding can influence these. This should focus in particular on the following aspects:

(...)

(2017) New indicators for assessing research and innovation performance: projects should focus on developing and applying new indicators for assessing the performance of distinct elements of the research and innovation system, including the impact of research and innovation policies. These should go beyond the typical bibliometric and patenting indicators, as these only offer a limited view, in particular in an evolving landscape in which for instance open access mechanisms, social media, social innovation people mobility assume an increasing role. Such new indicators should allow policy makers to assess in a broader and more comprehensive way evolutions in performance and how these are linked to policy reforms;

(2017) Determining the societal impact of research and innovation funding: policy makers need to justify research and innovation spending by demonstrating the impact it has in terms of broader societal benefits. Projects should develop and test new ways to assess the societal impact of public funding allocated to research and innovation, for instance by building on examples of quantitative approaches (such as the USA’s Star Metrics initiative or the European SIMPATIC project) or could develop qualitative approaches. Projects should take a broad approach and go beyond evaluating impacts in terms of productivity growth, economic growth and job creation, by also assessing the impact of public funding on tackling major societal challenges such as those defined in Horizon 2020.
Call - Co-Creation for Growth and Inclusion

Projects to be funded on the 2016 budget should address either the first or second issue described above or can combine them in one project. Projects to be funded on the 2017 budget should address either the third or fourth issue described above or can combine them in one project.

The Commission considers that proposals requesting a contribution from the EU of between EUR 1 and 1.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Depending on the aspect addressed, and in line with the scope above, projects are expected to respectively deliver the following impact:

- The development of models which provide a realistic assessment of the variety of ways in which research and innovation activities transmit into outputs and impact and of the ways in which public funding and policies can influence this transmission;
- An empirical determination of realistic values for the underlying parameters used in the models;
- A monitoring of research and innovation performance which captures the broader spectrum of ways in which research and innovation activities translate into outputs and impact, in which knowledge circulates between public and private sectors and internationally or through which quality of research and innovation can be assessed;
- A reliable assessment of the societal benefits generated by public funding for research and innovation, not only in terms of productivity growth, economic growth and job creation, but also the impact it has on tackling major societal challenges.

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Specific Challenge:
The deployment of information and communication technologies induces changes that impact individuals, societies and the environment in profound and pervasive ways. Harnessing the expertise of social sciences and humanities (SSH) in ICT-related research and innovation is key to contribute, notably, to cohesion, fairness, and inclusiveness. Although the need for a constructive, reflective and critical interactions between social sciences and humanities, on the one hand, and technological disciplines, on the other hand, is widely acknowledged, it is a challenge to make it happen and ensure that insights and innovation stemming from both perspectives join up in order to deliver inclusive ICT-enabled innovation. It calls for a smart approach to multiple disciplinarity that combines different tools and relies on the dynamic uptake of social sciences and humanities' perspectives. With this in mind, a structured distributed approach to the mainstreaming of social sciences and humanities across all topics aiming at ICT-related research and innovation has been set up. This approach strives to nurture a horizontal and mutually enriching relationship between SSH and ICT communities. For responsible and inclusive innovation to come true, one has to move beyond a reactive and risk-based approach, and encourage ongoing critical accompaniment of this innovation, rather than seeking mere acceptance of technological artefacts. This expands the remit of what is expected from SSH expertise. Instead of being confined in a "watchdog" or an "airbag" role for S&T developments, SSH is itself a source of innovation.

Scope:
This topic calls for the coordination and support action that will bring life to the distributed and structured approach designed to ensure a responsible approach to research and innovation thought the uptake of SSH expertise across all H2020 areas leading to ICT-related innovation. It should act as a "hub" and activate the constructive interactions of SSH research with the ICT-related projects across H2020.

The purpose of the hub is to stimulate responsible and inclusive ICT research and innovation by encouraging the uptake of the SSH expertise in ICT-related projects and by coordinating and supporting the embedded expertise within the H2020-funded ICT-related projects, as well as linking these H-2020 projects with the relevant SSH expertise and initiatives both in Europe and in the world, to ensure that this important knowledge basis is fed into the H2020-funded ICT related research and innovation. The coordination and support action is expected to generate a collaborative way a shared understanding what it takes for ICT research and innovation to be responsible and inclusive, and to make it happen.

In terms of coordination efforts, the hub is expected to ensure an active dialogue and the sharing of experience among ICT developers, SSH researchers and other stakeholders (NGOs, citizens and users e.g.) across H2020 ICT-related projects. It is also expected to channel the fruits of this dialogue into discussions with policymakers, into the shaping of future research agendas, and into a reflexive assessment of the SSH research practice in the remit of the digital transition.

In terms of its supporting function, the hub will catalyse information sharing about activities in Europe that are enhancing responsible and inclusive approaches to ICT-related research and innovation. Drawing on ongoing developments, it will provide tools and advice for fostering responsibility and inclusiveness of ICT research and innovation. It will support the visibility of the relevant activities through sustained communication efforts (annual conferences, awareness raising, interactive web-based platform e.g.). It will encourage debates on the challenges raised by hyper connectivity and support experimental activities in interactive labs to stimulate reflection on cutting-edge issues.

The Commission will select one proposal only and considers that proposals requesting a contribution from the EU of EUR 3 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

- Improved level and efficacy of the interaction between SSH and ICT disciplines with a view to harnessing ICT-related innovation for reversing inequalities and contributing to responsible and inclusive innovation processes through SSH expertise;
- Improved take-up of societal concerns in ICT-related research and innovation;
Call – Reversing Inequalities and Promoting Fairness

- Increased worldwide visibility and influence of a European community with a shared vision for inclusive ICT research and innovation;
- The proposals themselves are expected to identify key measurable success indicators – to be further framed in the course of the project - that measure impact in community building and engagement, and uptake of inclusive responsible ICT research and innovation approaches within and beyond the consortium.

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**Call – Reversing Inequalities and Promoting Fairness**

**REV-INEQUAL-11-2017**  
Current European and cross-national comparative research and research actions on Migration

**Specific Challenge:**  
The fragility of many countries around the world, including in the EU’s vicinity, makes migration more prevalent. New and cheaper means of global mobility and social networks render migration easier. Migration featured prominently in the Seventh Framework Programme and continues to do so under Societal Challenge 6. The challenge is to map, assemble and survey currently running migration research conducted especially at EU and Member State level. The objective is to scientifically inform and possibly improve the policy responses to the recent migration waves to Europe (post - 2014).

**Scope:**  
The research platform CROSS-MIGRATION will bring together pertinent research communities to map, assemble and synthesise the currently running European migration research as well as research conducted at Member State level. Research-related actions and initiatives at national and EU level in response to current migration flows to Europe should also be surveyed and analysed. Research projects that extend comparisons beyond European countries are welcome so long as they draw lessons for Europe. The platform will make recommendations on ways of better integrating research on migration across the EU.

It will in particular focus on research exploring the interrelations between migration drivers such as conflict, underdevelopment, poverty, inequality and climate change. The issue of exploitation of migrants, including refugees, and vulnerable groups, in particular children and women on migratory routes in transit and destination countries should also be considered as well as the interplay between policies and flows. Migration-related data as well as data collection and processing by Member States, organisations such as Eurostat, UN agencies and the OECD will be analysed with the aim to facilitate comparability and possibly make proposals to revise or expand the list of current common EU-level standards.

CROSS-MIGRATION should also synthesise the best available research on reactions to the ongoing migration challenge, on the migration-development nexus, on scenario-mapping of geopolitical developments, and their impact on migration trajectories. It will also explore the viability of the Schengen acquis and develop alternative adjustment scenarios as appropriate.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

**Expected Impact:**  
A comprehensive survey of currently running research and research actions on migration conducted at EU and Member State level should be presented with a view to distil policy recommendations that can facilitate the design of required and acceptable policies, including research policy, needed to address the migration challenge. The data collection and analysis is envisaged to produce comprehensive cross-national comparative knowledge on trajectories, including onward or return migration and categorisations of migrant cohorts. An interactive database of migration research conducted across the EU should be established to facilitate future research and policy advice on migration.

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Specific Challenge:
Over these last two years, the European Union has been faced with the largest influx of migrants, including refugees, in decades. Caused mainly by violent conflicts, geopolitical shocks and economic disparities in Europe’s neighbourhood and beyond, this influx poses short, medium and long-term challenges, from the immediate accommodation and hosting of migrants in loco to their lasting societal integration in their new regional and/or urban context.

Migration features prominently in both President Juncker’s policy priorities as well as in Societal Challenge 6. Global migration drivers and flows have economic, socio-political and cultural consequences (e.g. costs and benefits, varying impacts on sending and receiving countries, tensions with and within local populations, budgetary implications, impact on cultural diversity, challenges to the international protection of human rights) that must be better understood in order to find appropriate solutions.

Scope:
The Research Social Platform on Migration and Asylum (ReSOMA) will bring together the relevant research communities with stakeholder representatives and practitioners such as international organisations, non-governmental organisations, social partners, local and regional authorities, cities as well as European and national policy stakeholders.

Taking stock of existing scientific literature linked to best practices in the management of migration and immigrant integration in the EU, and asylum practices, the Research Social Platform will organise stakeholder workshops and elaborate synthetic policy briefs on evolving forms of migration and mobility, on reception and asylum procedures, on integration measures, including language provision, social and psychological support to refugees, on skill recognition and training for migrants, and on other innovative actions to ensure the best possible reception and integration of migrants in their new local environment. Gender issues and the specific challenges related to the education and integration of minors should be addressed.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
A new interactive platform/network of stakeholders will be created, which should aim at continuing beyond the duration of the action. Recommendations from participatory workshops and policy briefs are to be discussed and agreed by key stakeholders, practitioners and policymakers therefore strengthening communication, bonds, learning and best practices among the participants and beyond. Findings should be translated into policy advice that is tailored for end-user policymakers, notably the European Commission, the Member States and the Associated States. The Research Social Platform will contribute to the knowledge base informing the future shaping of European migration policy.
Specific Challenge:
The post-2014 inflow of refugees and asylum applicants can pose significant challenges to EU economies, societies and processes of integration. In the medium and long term, much will depend on how fast and well these new migrants will be integrated into European labour markets. International experience suggests that they have lower employment rates and lower wages than EU workers, but that these differences diminish over time as migrants improve their language skills and gain professional experience in the host country. At the same time, their successful labour market integration could also help alleviate the fiscal effects of negative demographic trends. Nonetheless, the situation of post-2014 refugees and asylum applicants is more complicated since they may face a number of specific barriers to accessing labour markets, including related to xenophobia and discrimination. These obstacles include the loss of identity documentation and education certificates, non-acceptance of qualifications or educational attainment, family separation, long periods of inactivity in the asylum system, lack of educational opportunities, geographical mobility restrictions and discrimination. Initial obstacles and failure to develop efficient integration policies, including at sectorial level, may ultimately lead to chronic underemployment and social exclusion. The specific aim is to examine the challenges and opportunities faced by refugees and asylum applicants in connection with their skills, qualifications, access to education, and employability. The goal is to estimate the economic and social impacts of the policies designed to improve the integration of migrants and to provide policy recommendations for facilitating integration.

Scope:
Research to address this challenge should take stock of skills and qualifications of post-2014 migrants, including asylum seekers and refugees, with a view to estimate the potential for integration. Analysis should provide insights on the reasons behind rates of unemployment and inactivity of international migrants, including at local level. Comparisons with earlier cohorts from similar sending countries should be explored to estimate gaps and challenges, identify transferable practices, and provide better calibrated policy recommendations. Special attention should be given to facilitating the integration of women and young migrants in the labour market through access to education and training.

Research should also review policies which aim to facilitate the labour market integration of asylum seekers at sectorial level, taking into account sectorial shortages and needs. Comparative explorations (involving multiple Member States and, where relevant, third countries) should cover a wide range of interventions e.g. education and training, recognition of qualifications and skills, access to housing, migration-induced linguistic diversity and its consequences for society, removing legal restrictions to early labour market entry, language skills, active labour market policies, the effectiveness of wage subsidies and entrepreneurial incentives (including access to credit), tax and benefits schemes, and wage levels. In combination with the above, the analysis should also assess barriers to labour market integration specific to post-2014 international migrants and potential avenues for the EU in facilitating access to employment. Finally, the social and economic fallout from social exclusion of the recently arrived international migrants should be explored.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
The analytical data sets on skills, qualifications and employability of post-2014 refugees and asylum applicants provided by this research action are meant to inform the design of policies and programmes that facilitate their labour market entry or further training. The identified best practices and benchmarks ought to allow for measuring the effectiveness of pro-active employment policies targeting the specified migrant cohorts across Europe.
Call – **Reversing Inequalities and Promoting Fairness**

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Strengthening Europe's position in the global context: science diplomacy and intercultural relations

Specific Challenge:

Europe is faced with numerous challenges that are increasingly global in nature and that have become of more immediate importance: peace and stability, migration, climate change, resource efficiency, health pandemics, etc. In many cases, responding to these challenges requires science-based evidence to inform decisions and joint international efforts that often include also scientific and technological cooperation. This is where science and diplomacy can join forces to form a 'soft power' tool in external policy – science diplomacy.

A main challenge is how to best link scientific expertise and cooperation with diplomacy and political influence to tackle major global challenges, promote knowledge and improve international relations. Science diplomacy has a particular added value in providing additional communication channels, particularly in stalemate situations and relations where few other mechanisms are feasible as well as on sensitive bilateral and multilateral issues. It promotes cooperation and conflict prevention, rebuilds trust and fosters shared understanding across countries, regions and cultures.

At the same time, the global context is characterised by competing understandings of central values and organising principles of society, including the meaning and direction of politics, economics, culture and ultimately human life. This context, and Europe's place in it, needs to be better understood and accounted for, from both a contemporary and a historical perspective, if the European Union and its Member States want to continue to constructively take part and strengthen their position in global discourses about what constitutes a "good society" and to understand how European policy interventions have been understood and perceived globally.

Addressing this challenge requires a great dose of (self) reflexivity about European diplomacy, Europe's own history and its interactions with third countries, regions, cultures and religions. It calls for a continued investment in fostering scientific, political, economic, social and cultural relations with other non-European global actors on all continents, and for ways in which to sustain scientific and intercultural exchanges that effectively enhance mutual understanding despite differences.

Scope:

The research to address this challenge should in particular focus on the following key dimensions. It is expected to either comprehensively address one of these dimensions or to combine two or three of them. The research may also cover other issues relevant for addressing the specific challenge.

1) Using science in the context of European diplomacy

In an increasingly complex global context, diplomacy as a social practice and profession is undergoing considerable changes. In both bi- and multilateral contexts, it is no longer sufficient for diplomats to be skilled in the art of negotiation, but they also need to have the capacity - alongside specialist knowledge – to take better advantage of science and scientific cooperation.

How to better prepare and employ 'science diplomats' remains a particularly unexplored research area. The research efforts should focus on examining the interface between scientific advice and expertise and diplomats' performance and capacity. It should analyse where science diplomacy can have the biggest impact and how it can be instrumental in strengthening EU capacities and strategic awareness and in establishing better mechanisms so as to anticipate events early and to swiftly identify common responses. This should involve 'practitioners' of science diplomacy.

Research should explore under which conditions science and scientific cooperation have contributed positively or negatively to reaching foreign policy objectives (peace, security, trade, development, humanitarian aid) in various challenging contexts and draw recommendations for the development of new actions at EU and Member States levels.

2) European culture, values and reflections of Europe's colonial past in contemporary European societies

European values are to a large extent determinants of behaviour. As values stay behind many societal patterns and organising principles of society, the knowledge of the past development of European values as well as the knowledge of their contemporary status could help to understand many aspects of behaviour of contemporary European populations.
Multidisciplinary research associating scholars from the humanities and social sciences should adopt an outside-in perspective on contemporary European societies and trace the manifold non-European and European colonial era-related determinants of present-day societal and cultural diversity in Europe. In so doing, it should pay particular attention to the way societal and cultural influences from outside of Europe have historically been framed, contested, transformed, refused or taken up in European societies. It should elucidate how and why some of these influences were able to strongly impact European societies, values, activities and culture, and why others were less successful.

Research under this topic will lead to a sound understanding of the social, cultural, linguistic and political legacies of colonialism within Europe and globally. It will assess their implications for policy-making, EU values and intercultural and interlinguistic dialogue, including the construction of plural cultural identities in nations and countries of Europe.

3) Global trends of secularisation and religious radicalisation and the role of Europe

Over the centuries the relations between the state and religion were of key importance for the functioning of state and society. Today’s world is divided between secular states where government is officially separated from religion and states where this distinction is blurred, in addition to a few theocratic states. Whereas secular states are spread all over the world, and the religions professed and practiced by their citizens represent the widest possible spectrum of beliefs, the majority of countries which have embraced religion as their central norm are predominantly, although not exclusively, following Islam and are located in Africa, the Middle East, the Mediterranean region and Asia. A wide array of differences between official norms and practices still exist and should be taken into account in order to avoid undue generalisations between such countries and states.

Taking account of the diversity of forms of secularism and religion, and adopting a historical perspective, this multidisciplinary social sciences and humanities research should investigate and compare various types and experiences of the functioning of secular and religion-based states in and outside Europe. Its findings should clarify reasons for, and pathways of, transformation of the role of religion in state governance, and should explain differing perspectives of cultural and political co-existence within the polity. Specific attention should be paid to the analysis of the impact of religious radicalisation all over the world and its consequences on states’ peaceful coexistence as well as of the foreign fighter phenomenon. Research should also focus on what these trends mean in terms of internal and foreign policies for the European Union, its Member States and the state-religion relationships on the European continent. In this perspective, it could also include the possible forms of injustice, inequality and exclusion that may contribute to societal tension and marginalisation of certain minority groups, as well as the common elements between religion-based values system and secular systems that could help to counter radicalisation.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million for each dimension would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Research under this topic is expected to impact the foreign policies of the EU and its member states and provide enhanced coordination between them and between the EU and its international partners. It will provide in-depth insights into the multiple ties and mutual influences between Europe and its neighbours, former colonies and other countries and regions, especially in the scientific, socioeconomic, historical cultural and religious spheres. It will also provide a sound understanding of contemporary European societies, of the multiple sources and expressions of diversity in the EU and of how non-European influences impact on the formation of European identities. Acknowledging the multiple sources of today’s European diversity will have strong policy implications, not just for scientific and cultural policy, but also for immigration, integration, education and external policies. It will also facilitate Europe’s future engagement with third countries.

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Specific Challenge:
Europe’s strategic and geopolitical environment is evolving rapidly, will always be an area of change, and in a manner that increasingly raises concerns. In recent years, violent conflicts have agitated the planet, many of them located in Europe’s immediate neighbouring regions. These developments take place at a time when global geopolitics is undergoing long-term transformations challenging the traditional predominance of the West, while policies of economic austerity oblige EU Member States to manage scarce resources more effectively. These trends seriously challenge the Union’s capacity for guaranteeing its citizens’ security - one of its principal raisons d’être - while also jeopardizing its aspiration of promoting European values and interests abroad. In order to evaluate and promote its preparedness for playing its role as an effective security provider, to prevent escalation, to manage and understand risks and mitigation strategies for peace beyond its borders, the EU needs to understand the implications of recent global developments and assess them against its own capacities and willingness to make synergetic use of them.

Scope:
The research to address this challenge should focus on one or two dimensions that have to be comprehensively addressed. The research may also cover other issues relevant for addressing the specific challenge.

1) Recent global geopolitical developments and their implications for the European Union
Research under this dimension should adopt a comprehensive understanding of security and explore uncertainty. Based on this, it should identify and investigate long-standing and novel - global and regional - external risks facing the EU and its Member States, in connection with ongoing initiatives and programmes for risk identification and early warning. Crises in its neighbourhood (in particular East Europe and the southern Mediterranean), such as the rise of radical Islamic groups exemplified by the expansion of the "Islamic State" in Syria and Iraq, but also conflicts and risks in other regions of the world such as in South Asia (e.g. Afghanistan) and Sub-Saharan Africa (e.g. Mali) should be examined. Research should identify the most pressing risks and areas of uncertainty and unravel the causes, expressions and security-relevant consequences of such unstable contexts.

It should examine possible inter-linkages between various geographically limited conflict situations as well as their embeddedness into regional and overarching global geopolitical developments. This necessitates a sound understanding of the political, socioeconomic, cultural and military contexts in which patterns of insecurity and uncertainty emerge, also from a historical and philosophical perspective. An inventory of contemporary risks should form the basis for identifying their implications for Europe and its security needs. Research should examine how potential risks, mitigation strategies and opportunities are perceived, and how they can, do and even should become part of novel approaches and policies in the EU, its Member States and its partners in geostrategic matters. It should investigate how the EU, its Member States and other relevant partners can act to better anticipate, prevent and respond to the identified risks, mitigation strategies and opportunities, and develop scenarios on possible EU activities using a range of policy actions and instruments, including diplomatic, economic, civilian and, if needed, military means.

2) European Union’s preparedness for managing risks and opportunities, fostering peace in a crisis-ridden context
Research under this dimension should comprehensively examine the European Union’s and its Member States’ willingness, capacities, instruments and channels for anticipating and responding to a large array of external threats. It should contrast the EU’s legal basis for external security policies, including risk analysis and management, conflict prevention and resolution, post-conflict management and peace-building, to the actual practice, both prior to and after the onset of the economic and financial crises. Analyses should draw on comparative case studies from the EU’s handling of various conflicts and crises (including humanitarian ones) across the globe. Research should develop criteria for effective security cooperation in the EU, distinguishing between objectives and instruments, whether military or non-military, and contribute to the ongoing development of early-warning systems to identify emerging risks. It should also identify the political, socioeconomic, technological and cultural conditions that enable or hinder the emergence of effective security cooperation in the EU. Based on this evidence, research should develop information sharing and decision support systems that facilitate...
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cooperation, identify gaps and align the interests of diverse actors towards effective EU security policies, especially in the framework of its Common Foreign and Security Policy (CFSP). It should also provide insights on whether and how the EU can work synergistically together with individual third countries or international institutions like NATO.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected impact:
Research under this topic will lead to an up-to-date appraisal of global and regional risks and, as such, of Europe's evolving security agenda in the light of recent geopolitical developments affecting its neighbouring regions (in particular East Europe and the southern Mediterranean), and the entire globe. It will generate critical and forward-looking evidence of Europe's preparedness for effectively facing these threats, guaranteeing its citizens' security while managing risks and fostering peace abroad. Based on this evidence, it will provide recommendations on how to improve the EU's effectiveness as a domestic and global security provider.

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The European Union and the global challenge of migration

Specific Challenge:
Migration is a central and common phenomenon in human history. The international migrant population in Europe is expected to increase in the future, due to economic and demographic factors, political unrest, conflicts and climate change. One aspect that has become increasingly clear in recent years is that, if the EU wants to successfully manage immigration flows at home, it needs to strengthen its cooperation with third countries of origin and transit of migrants, by fully addressing the root causes of migration and exploiting the potential of migration as a development enabler. In this vein, the European Council conclusions of June 2014 stress that migration policies need to become a stronger integral part of the Union’s external and development policies through intensifying cooperation with third countries, while also calling for improving the link between the EU’s internal and external policies. Particular account should be taken of the European Agenda on Migration, the European Council Conclusions of 23 April 2015 and 25/26 June 2015, and the proposal to establish a new Partnership Framework with third countries.

The migration crisis in the Mediterranean has put the spotlight on immediate needs. But it has also revealed much about the structural limitations of EU migration policy and the tools at its disposal. This is an opportunity for the EU to face up to the need to strike the right balance in its migration policy and send a clear message to citizens that migration can be better managed collectively by all EU actors. In recent years, important steps have been taken in this direction but their success, in areas like asylum/international protection, treatment of refugees, visas, control of borders or detention regimes, remains contested. It is thus essential that the EU continues to engage in a broad debate on the links between its migration policies and other policies with an external dimensions including, but not limited to its foreign and development policies. The European policy for asylum, refugees, visas, external border regime, detention centres should be assessed. Research should also make recommendations on how to tackle migrant smuggling and those who profit from it.

Scope:
The research to address this challenge should focus on one or two dimensions that have to be comprehensively addressed. The research may also cover other issues relevant for addressing the specific challenge.

1) An integrated approach to migration and development

Building on existing studies, research should further elucidate the complex interrelation between and the implications of demographic trends, socio-economic development, environment and good governance on the one hand, and migration flows on the other, both in third countries of origin and transit of migrants, refugees and asylum seekers.

Research should cover existing migration management experiences in origin and transit countries focussing on compared practices and policy solutions for effective migration management including the gender dimension. In this perspective, cultural and religious traditions, local knowledge and practices that may affect attitudes to and practices of migration should also be taken into account.

 Consortia are encouraged to target geographic areas of current and future strategic relevance for the EU, including those most likely to generate irregular flows. Researchers should be careful to capture the two-way relation between migration determinants and the impact of migration on the broader socio-economic infrastructure and processes of transformation in the sending countries. Given its increasing relevance, climate change and its effects, as well as other or environment-related reasons for migration, could also feature in the analysis of drivers of migration when relevant.

2) EU policy coherence and migration

Research should focus on the interplay between the Global Approach on Migration and Mobility (GAMM) and the deployment of EU foreign policy tools and processes and other European policies with an external dimension, in particular the European development, humanitarian and neighbourhood policies. Research should examine and clarify the links between the existing legislative framework developed by the EU concerning non-EU migration and the increasing use of new types of policy tools to achieve migration management related goals as well as their legal consequences for involved parties. The analysis will encompass the implementation of these policies in selected geographic areas of interest for the EU and the combined effects that such policies have on countries of origin and transit of migrants. Pre-departure policies, programmes and related activities could be the object of specific attention, along with other tools promoting mobility and
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descent treatment of migrants, in a legal and secure environment. Finally, the effectiveness and coherence of the overall EU approach to third-country cooperation on migration will be assessed, including aspects of inter- and intra-Member States cooperation and coordination, along with areas where further synergies are needed to create greater leverage effects between different EU policies (e.g. trade and labour markets, agriculture and fisheries). In selected cases, consortia should look at the role of bilateral migration policies conducted by Member States vis-à-vis third countries and their complementarity with EU level actions.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
The results of research under this topic, with its focus on sending and transit countries, should enhance policy coherence on migration between the EU and its member states. Research is thus expected to bring about greater policy coherence and effectiveness in the field of migration management and relations with third countries by clearly identifying and depicting good practices and effective ways to manage incoming and transiting migration at the benefit of local communities and immigrants. It should also allow a better understanding of the root causes of migration, their interplay with other determinants and the two way interaction between migration and development processes. Research will give EU and national policy-makers stronger conceptual tools to better interpret the role of the EU and its Member States as global actors in the field of migration.

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Dedicated Topic

ENG-GLOBALLY-04-2017
Science diplomacy for EU neighbourhood policies

Specific Challenge:
The European Union’s neighbouring regions are, in various ways and for a number of reasons, in turmoil. To the East, the Eastern partnership has been called into question, especially by the long-standing crisis in Ukraine and difficult and uncertain relations with Russia. In the South-East, the EU’s relationship with Turkey has increasingly come under strain, while at Turkey’s border the conflict in Syria and the ravage of Islamic State armies have created high degrees of instability. In the Western Balkans, the accession processes of several candidate countries remain challenging. Finally, the East and South Mediterranean region has been the theatre of profound and intricate transformations ever since the “Arab revolutions” of 2010/11.

Against this backdrop, it is fair to observe that the aim of the European Neighbourhood Policy (ENP) to develop closer relations between the EU and its neighbours, to avoid the emergence of new dividing lines and to strengthen the prosperity, stability and security of all, remains unfulfilled. More than ten years after its inception, the European Neighbourhood Policy (ENP) is under review in 2015.

The challenge is therefore to coordinate all available scientific information on these countries in order to better inform the definition and implementation of the new ENP and develop concrete actions for cultural and science diplomacy as an instrument for reinforcing co-ownership and shared understanding with and within the EU Neighbourhood. Considering the protracted conflicts both in the East and in the South, it is expected that science diplomacy can help build bridges across borders and cultures, particularly where other mechanisms are not feasible or less effective.

Scope:
This coordination and support action should provide a stock-taking and critical review of all available research results on the European Union’s neighbouring regions, including on science diplomacy related actions[3]. It should synthesise knowledge regarding each of the neighbouring countries and regions, taking full account of the diversities of the studied entities, and compare transformation experiences both from an EU and a third country perspective, across time. In so doing, it should understand the success and failures of diplomatic efforts in the regions. It should also consider relevant results of international cooperation projects involving neighbourhood countries and all relevant existing legal instruments in various policy areas (e.g. energy), take into account the role of other state (e.g. US, Russia, and neighbours of the neighbours) and non-state actors in the various neighbouring regions.

On this basis, this coordination and support action should analyse the role science diplomacy can play and where it could be best deployed in contributing to stability, security and prosperity. It should identify concrete obstacles for science diplomacy in the concerned regions (e.g. the issue of reduced academic mobility due to on-going or frozen conflicts, visa restrictions and security controls, etc. which leads to very limited opportunities for visiting scientists and scholars). It should also provide insights into the role and relevance of the neighbours of the neighbours and non-state actors in the various neighbouring regions as well as to whether science diplomacy should be ‘silent diplomacy’ (low profile) or could be more effective with more visibility. Supplementary research could be envisaged in order to cover the internal-external policies nexus and the role of science diplomacy in tackling some of the most urgent common challenges e.g. conflict prevention and management, job creation and migration, food and energy security, environment and climate change, radicalisation, health pandemics.

Based on lessons learnt, first elements of policy recommendations should be provided.

It should liaise between projects, provide fora for debate and discussion, and disseminate project findings to relevant stakeholders, including policy-makers, social partners and civil society organizations in Europe and third countries. It should draw lessons and provide policy-making recommendations that combine general observations about the Union’s neighbourhood strategies and policies with regional and country-specific scenarios. The differences and similarities between the studied regions and their historical ties with Europe and the EU Member States should be duly accounted for.

Wider participation of the targeted region/s is encouraged, including practitioners from the fields of diplomacy, policy making and media.
The Commission considers that proposals requesting a contribution from the EU in the order of EUR 1.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

This coordination and support action will result in a consolidated corpus of knowledge on science diplomacy in service of the European Neighbourhood Policy as well as research insights in how it could be best deployed in the challenging context of the EU Neighbourhood. It will put together a set of recommendations for EU science diplomacy strategies, policies and concrete actions in these regions and provide an assessment of these activities against criteria that it will develop. Based on these policy-relevant insights, the coordination and support action will feed research insights into the future development of EU science diplomacy in the neighbourhood with an eye to reinforcing stability, promoting democracy and prosperity in its near abroad. It will ensure a wide dissemination of these results to the relevant stakeholders including policy-makers.

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The strategic potential of EU external trade policy

Specific Challenge:
In its "Strategic Agenda for the Union in Times of Change" for the period 2014 to 2019, the European Council identified the need to "maximize the EU's clout" in global affairs, notably by "ensuring consistency between Member States' and EU foreign policy goals and by improving coordination and coherence between the main fields of EU external action, such as trade (...) development and economic policies". One area which definitely promises maximised EU clout in global affairs is trade. Given the European Union's significant weight as the world's largest trading block, its external trade policies can be a major source of a reinforced European role as a global actor if they are strategically deployed and contribute to a broader, coherent foreign policy approach. EU trade policy has to find the right balance between promoting the EU's economic interests while also taking into account broader EU policy objectives (e.g. promotion of human rights, sustainability, interlinking climate and energy policy objectives, etc.). Such a balance is difficult to achieve and the EU has sometimes been criticised either for letting its economic interests prevail or for being naive over conditionality in the international trade battles. Coherence between the EU's and Member States' trade policy should be ensured, as well as coherence between trade and other (external) policies. To reap the strategic potential of EU external trade policy, its current functioning, as well as its intended and unintended consequences, need to be fully understood from a multidisciplinary perspective, and forward-looking perspectives have to be developed on how to make it more effective.

Scope:
Research under this topic should take stock of the European Union's and its Member States' bilateral and multilateral trade strategies and policies, comparing various regional and country-specific trade policy approaches and assessing the coherence and consistency of their objectives, strategies and instruments. Bilateral trade relations with key economic players such as the United States and China, but also developing countries from various continents should form part of such comparisons, alongside the Union's multilateral engagement in relevant international institutions, such as the World Trade Organization and its related negotiation processes and the G-20 summit as a major global economic forum. This analysis should in particular comprise detailed scrutiny of the coherence and consistency between the EU's trade policies and those of its Member States.

The results of these stock-taking should lay the foundation for an investigation of the coherence and consistency of trade policies with other EU external policies such as economic (e.g. security of energy supply, green growth), developmental (e.g. trade-related policy coherence for development), environmental (e.g. climate change mitigation, biodiversity), social and labour (e.g. international labour standards, cooperation on decent work) and human rights policies. Research should ultimately evaluate whether and how EU external trade policies can and do serve wider foreign policy objectives, identify the institutional, organisational and behavioural drivers of and obstacles to a coherent and effective strategic use of EU trade policy, and formulate propositions on how to combine trade and other external policies into a comprehensive European foreign policy. A comparative perspective, contrasting the EU’s approach with the strategic use of trade policy by other major global players, could be envisaged.

The Commission considers that proposals requesting an EU contribution in the order of EUR 2.5 million would allow this specific topic to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Research under this topic will lead to a set of novel insights into the evolving EU and Member States' bi- and multilateral trade strategies and their inter-linkages with other external policies, their coherence and effectiveness. Placing trade at its centre, it will revisit and innovate the debate on coherence and consistency in EU foreign policy so as to provide an understanding of whether and how trade can be utilized strategically in the context of broader EU foreign policy agendas and in support of its foreign and economic policy objectives. Based on these policy-relevant insights, it will formulate recommendations on the institutional, organisational and behavioural adaptations needed to reinforce the EU’s clout in global affairs via enhanced coherence of its foreign policy.
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Dedicated Topic

ENG-GLOBALLY-06-2017
The Asia-Pacific as a strategic region for Europe

Specific Challenge:
The Asia-Pacific is a large and diverse region, encompassing industrialised countries, emerging economies and developing countries. Perhaps due to this diversity, and save a few specific cases, the European Union has lacked a strategic approach towards the region, despite strong economic interests and heightened security concerns in the area. Several EU Member States have adopted an active bilateral approach towards key partners, but the European Union has mostly failed to speak with one voice in relevant fora. Nowadays the multiple and complex challenges shared by the two regions, ranging from climate change and sustainable development to conventional and non-conventional security challenges, are opening up new opportunities for the EU to become more involved in the region beyond economic cooperation although differences remain in areas like human rights or democratic governance. In order to re-think its role and strategy for the Asia-Pacific, and to fully tap the potential for action at European level, the European Union needs to be supported by sound research showing the concrete implications of further engaging with and in the region in a number of sectorial and geographic areas.

Scope:
The research to address this challenge should in particular focus on the following key dimensions. It is expected to either comprehensively address one of these dimensions or to combine them. The research may also cover other issues relevant for addressing the specific challenge.

1) Regional integration in South-East Asia and its consequences for Europe
South-East Asia has seen, since 1967, the most ambitious project of regional integration outside of Europe, pursued through the Association of Southeast Asian Nations (ASEAN). It has followed a different integration path to Europe, based on dialogue and non-interference rather than convergence and law. The region has an immense social, cultural and economic potential, but it still faces the challenge of developing a regional identity with both an internal dimension (how to nourish a sense of belonging) and an external dimension (how to engage with foreign powers, such as China, India, the United States, Japan and the EU). The process of nation-building in the ten ASEAN countries and other non-ASEAN countries is incomplete or nascent. It is also confronted with widespread poverty, disruptive migration flows, inter-ethnic conflicts and even territorial disputes. For the EU to engage effectively in South-East Asia and manage the variety of countries and cultures present in the region, it is necessary to understand what ‘region’ means to the peoples of these countries within and beyond the ASEAN context. Research is thus necessary on the mobility of people, knowledge, ideologies, cultures, goods and capital within the region and their influence on the emergence of a South-East Asian identity which would help the EU and its Member States to forge coherent, adapted and culturally relevant foreign policies with all countries in the region.

To that effect, research should also underpin the implementation of the Joint Communication on EU-ASEAN relations in the different sectors and in particular in the field of sectorial cooperation.

2) Governance in and of the Pacific as a challenge for Europe
One of the major strategic challenges in the Asia-Pacific region relates to the governance of the Pacific itself (including Overseas Countries and Territories). The Pacific Islands region represents a unique diversity of nation-state formations and regional and intergovernmental mechanisms, which is experiencing major challenges regarding the protection of its exceptional natural environment, threatened in particular by climate change. The small islands developing states (SIDS) of the Pacific therefore have a central role in the contestation over, competition for, and conservation of some of the world’s key resources, far surpassing their modest size in terms of land mass and population. As the second largest donor of development assistance to the region, the EU’s interests and activities in the Pacific are highly significant and hold important potential for the future. However, the region’s new geopolitical currency is a willingness to seriously engage with emerging definitions of an equal, two-way partnership relation in Pacific terms that expands beyond the monetary dimension of cooperation. The EU is thus at a cross-road in its engagement with the Pacific. Research should examine the emerging governance structures in the region, in terms of sovereignty, state-making, policy autonomy and aid dependency, by paying close attention to issues such as trade and transport, fisheries management, climate change, biodiversity, social inclusion, democracy, blue/green growth and and political CFSP aspects. Research should also comparatively analyse the
role and impact of external actors in the region, prominently focussing on the European Union and its Member States but also take account of the influence of, and the interplay with global (China, USA) and regional (Australia, New Zealand) powers in the region. Building on existing research, lessons should be drawn from the Pacific experience for devising new approaches, as well as on how Europe can effectively respond to the strategic challenge posed by the Pacific.

The participation of partners from third countries and regions in the targeted geographic areas in proposals submitted to this topic is strongly encouraged.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million for each dimension would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

Research under this topic is expected to provide a comprehensive overview of the strategic challenges that Europe faces in the various zones of the Asia-Pacific region, and on a range of relevant subjects. Based on this, it will inform different foreign policy actors, processes and initiatives at EU and Member State-level either with a sectorial or geographic focus, especially by providing essential insights on the legal, cultural and socio-economic aspects surrounding their implementation.

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Specific Challenge:

In spite of its undisputable importance as a region located at a strategic crossroad to the Far East, as a rich reservoir of natural resources and as an area of traditional trade relations with Europe, Central Asia has been rather neglected by the major global players in the post-Soviet era. Only in more recent years, the political and economic developments in the five countries of the region - Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan - have received more attention. Challenges related to weak governments, abuse of power and corruption, divided societies, border disputes and ethnic tensions have led to increasing political and religious militancy and the creation of extremist groups which potentially represent non-negligible suppliers of forces to the radical political and religious movements in the neighbouring countries. Today's relevance of Central Asia in general and to the trade, security and development strategies of the European Union[1] and other world powers in particular is, however, not reflected in the level of attention which the region is given from a scientific, social sciences and humanities point of view. Not only are Central Asian Studies less of a priority for European research centres, but European researchers in this field are also not sufficiently coordinated and their work is not adequately linked to policymaking.

Scope:

Taking into account the need for a more intensive and properly coordinated research in the field of Central Asian Studies and the need for closer links to EU policy making, a network of European researchers will be created which, in cooperation with researchers from Central Asian countries, will:

- through mapping the current state of affairs in the field of Central Asian Studies in Europe and European Studies in Central Asia, recommend relevant new forms and priorities for future EU scientific cooperation in social sciences and the humanities with the region;
- through mapping the current state of political, economic, trade, cultural and any other relations between the EU and its Member States with Central Asian countries as well as between Central Asian countries and countries in the rest of Asia, and analysing results of the existing measures and tools supporting them, recommend future priorities for European policy making. These recommendations should be prepared in close cooperation with any other relevant European and Central Asian stakeholders (e.g. local, regional and state authorities, not-for-profit sectors, representatives of businesses, etc.);
- prepare an awareness-raising dissemination and communication strategy for the promotion of Central Asia and its role for Europe, which could be used by a variety of stakeholders (e.g. education, media, EU public sphere in general).

Any consortium submitting a proposal to this call should ensure a balanced representation of partners from countries in Central Asia.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 1.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

The coordination and support measures of this action will contribute to improving the ties of the EU with the region and countries of Central Asia in all socio-economic, political, security as well as cultural and scientific areas. Its findings will primarily be focused on the formulation of short- and long-term priorities for EU policies towards the region, as well as on proposing methods for their achievement. They will be further used for education and media purposes and thus contribute to raising awareness among EU citizens of today’s reality of the countries of Central Asia and of their importance for Europe. By creating a network of European researchers in the field of Central Asian Studies and by proposing new forms of cooperation with counterparts in Central Asia, the action will reinforce mutual research ties between the EU and Central Asian countries and establish a robust basis for their sustained collaboration.
## Call – Engaging together globally

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EU-China cooperation on sustainable urbanisation

Specific Challenge:

The importance of innovation and socio-economic aspects in sustainable urbanisation has been recognised by the EU and China in their Joint Declaration on the EU-China Partnership on Urbanisation signed in 2012, as well as in the conclusions of the EU-China Innovation Cooperation Dialogue of 2013. This topic therefore covers two specific challenges:

a) In China and elsewhere, the management of an exceptionally rapid urban growth poses considerable challenges to policy-makers and city planners. In such a delicate context, physical city planning cannot be considered in isolation from governance aspects, related for instance to land use and resources for city financing but also to the environmental human and cultural dimensions of cities. Urban infrastructures and public services also call for a balanced and integrated planning so as to minimise or avoid the negative socio-economic, human and environmental impacts on city-dwellers, migrants, and seniors. Cities are also viewed as engines of growth and innovation, often attracting large shares of R&D investments and an innovative service sector.

b) The challenge is to bring together a wide-ranging partnership of stakeholders in Europe and China to create an innovation platform for developing and piloting innovative solutions in sustainable urbanisation that rely on advanced knowledge and technologies, taking into account and adding value to the manifold on-going activities on various aspects of urbanisation. The platform should build on the activities carried out on an intergovernmental level (JPI Urban Europe) and via the EU-funded projects in support of joint funding initiatives (ERA-Net Smart Urban Futures, ERA-Net Smart Cities and Communities) and seek to use events and networks created by projects in support of the policy dialogue.

Scope:

a) Cultural and socio-economic aspects of urban issues in China (Research and Innovation Action)

(2017) The development of cities in China is taking place at impressive pace and has affected millions of citizens. Many aspects of city planning and development, such as infrastructures, regulatory regimes, taxation, health, education and culture, have therefore a bearing on the framework conditions within which innovation occurs and which shape living conditions of residents. Joint European-Chinese research taking into consideration these essential elements of city development could contribute to an improved reciprocal knowledge on urbanisation processes between the EU and China. Through the joint format, research will benefit from access to data and expertise from both EU and China, with a view to proposing new models of sustainable urban development adapted to local socio-economic, cultural and political specificities.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

b) EU-China innovation platform on sustainable urbanisation (Coordination and Support Action)

(2016) Proposals shall establish a platform bringing together policy makers, national authorities, industry, academia and other stakeholders in EU and China in sectors important for sustainable urbanisation. The platform should develop joint strategies, be the ‘nursery’ of joint projects and a broker of science-industry partnerships between Europe and China. It should mobilise key urbanisation related initiatives such as the European Innovation Partnership on Smart Cities and Communities and the Joint Programming Initiative on Urban Europe. Proposals shall develop, as core element, a joint EU-China strategic R&I agenda on sustainable urbanisation, in consultation with relevant stakeholders (national, regional and municipal public authorities, industry, academia, financiers, city networks etc.). The agenda should contain collaborative research projects and large scale demonstration projects, including joint activities that may be financed partly through coordinated EU-China Calls for proposals. It should allow for seamless integration with initiatives financed by EU Member States and Associated Countries, regional governments in China, or by industry. Framework conditions for cooperative innovation should be addressed as necessary. Finally, the platform should promote linkages between sustainable urbanisation demonstration projects in European and Chinese cities, including by running a competition that will lead to the selection of a small number of demonstration sites in China and in Europe, including also suggesting the network
Call – Engaging together globally

infrastructures (energy, transport, ICT, water, waste management, compact urban development, municipal finances etc.) that would need to be put in place in these sites and possible financing means, as well as designing demonstration projects with conditions that encourage co-investment by Chinese and EU partners in intelligent solutions for sustainable urbanisation, building as much as possible on existing initiatives.

As such, the platform is expected to contribute to creating the conditions for large scale science-industry cooperation on sustainable urbanisation that can address the challenges China and Europe face in this area. The platform should be open for participation by all stakeholders that can make a contribution to its objectives. On the European side, this should result in broad involvement across Member States and Associated Countries.

A maximum of one proposal will be supported. The Commission considers that a proposal requesting an EU contribution of EUR 1.5 million for a duration of 3 years would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Due to the specific challenge of this topic, in addition to the minimum number of participants as set out in the Rules of Participation, proposals shall include at least one participant from China. Under this topic, legal entities established in China are eligible for funding from the Union.

Expected Impact:

This topic is expected to provide in-depth insights on EU-China cultural and socio-economic aspects on urbanisation highlighting the common challenges and possible solutions that may apply in both EU and China. Specific impacts are expected in the field of city planning, policy making, regulatory regime, governance and public services. Negative externalities (e.g. environment and public health) should be particularly addressed and exchange of best practices for citizen’s well-being should be encouraged. The Coordination action is expected to increase stakeholder awareness, exchanges and synergies between Chinese and European industrial, academic and public players engaged in sustainable urbanisation research, innovation and application. Improved complementarity and coordination between different sustainable urbanisation funding programmes supported by the EU, the EU Member States and China should be achieved. The CSA should ensure a better match between the supply of innovative technological solutions and the needs of city planners and managers in charge of organising services linked to sustainable urbanisation. It is also expected to increase the capacity of industrial actors to develop and provide more effective solutions for the needs of sustainable urbanisation, and of city planners and managers to make informed choices on innovative technologies.

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Migration and asylum systems

Specific Challenge:
The pressures currently placed on the Common European Asylum System (CEAS) by the ongoing crises in Syria, Iraq, Central and East Africa, and elsewhere are unlikely to fade away in the near future. The large scale and partially uncontrolled arrival of migrants, notably asylum seekers, in 2015 has put a strain on both European and Member States’ asylum systems. It has exposed significant structural weaknesses and shortcomings in both the design and implementation of European asylum and migration policy. The current system places disproportionate responsibility on certain Member States which in fact encourages uncontrolled and irregular migration. In the future a system is needed which provides orderly and safe pathways for third country nationals in need of international protection. The specific challenge of this research action is to reassess the CEAS with a view to making any necessary recommendations. The overriding key question is to explore to what extent harmonisation of the CEAS is necessary, desirable, achievable and sustainable.

Scope:
Research is needed to comprehensively assess the weaknesses and shortcomings of the CEAS in general and of the Dublin arrangements in particular. Research will clearly differentiate between deficiencies in the legal design of the system and in its implementation. Comparative research will also investigate differences the asylum laws and policies of Member States, including their implementation under stress. In particular, research will investigate, including empirically, how much and what kind of harmonisation is required, sustainable and acceptable, and possibly outline scenarios. The relation between asylum systems and Schengen must be duly taken into consideration. Research should also study the different national political contexts as well as discourses on migration, asylum and borders. Projects will explore the causes and nature of Member States’ positions on burden sharing and responsibility, especially in relation to relocation schemes.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Findings from this research action will provide insights into the implementation and effectiveness of CEAS. The research will present alternative scenarios for policy reform (e.g. differing in the extent of harmonisation and convergence) and will pronounce on what the appropriate level of harmonisation of the CEAS should be. This will equip policymakers with a range of options to respond to constantly changing circumstances.
Specific Challenge:
Discourses on the legitimacy of the EU, and especially its democratic deficit, have been a perennial issue for many years but have become significantly more urgent and pressing in times of crisis and austerity. At both ends of the political spectrum, claims about the illegitimacy of current EU governance and regulation have found broader resonance, expressed both in public manifestations of discontent combined with claims for alternative forms of legitimacy and in electoral successes of radical right and radical left parties across Europe. In this context, questions of justice, fairness and European solidarity have equally been raised. The concept of justice is inherently connected with the rule of law and entails a right to justification. Fundamental rights are also key in this regard. The European Stability Mechanism and the Fiscal Compact have been regarded by some as emblematic challenges not only to the rule of law but also to democratic governance. What is more, especially in the light of some recent election results in EU Member States, it seems as if not only the legitimacy of certain policies and institutions have been questioned, but also fundamental issues concerning the locus and exercise of popular sovereignty have been placed on the agenda. The specific challenge is to take the cues from such developments and ensuing contestations over sovereignty and legitimacy in order to reappraise discourses about democratic legitimacy on the one hand, and the rule of law and justice as increasingly thorny issues for the European public space on the other.

Scope:
The research to address this challenge should focus on one or two dimensions that have to be comprehensively addressed taking into account the global context. The research may also cover other issues relevant for addressing the specific challenge.

1) Sovereignty and democracy
In light of the increasing number and growing popularity of alternative discourses about EU legitimacy and the locus of sovereignty, research should re-examine what is meant by sovereignty considering its wider context. Common democratic deficit arguments, in particular in relation to a possible decline of democratic control and participation in Europe, should be examined. This requires inter alia a historical comparative investigation of the sovereign and democratic powers of Member State parliaments and governments, not least in the light of a possible de-legalisation of the (Economic and) Monetary Union. It is of specific importance to clarify questions related to sovereignty and the ultimate source of authority in contemporary EU governance: who does, can and should possess this authority and how is it legitimately exercised at EU level. Research will also assess comparatively new patterns concerning the usage, transformations and popular understandings of various arguments about European legitimacy and sovereignty in public political discourse and in civil society and the conditions under which they do or not resonate among European citizens. It should also revisit the inter-institutional relations in the EU with specific attention to the position of the European Parliament through a thorough analysis of its practices in the legislative process and its functioning. In particular research must normatively assess the constitutional implications of the European Stability Mechanism and the Fiscal Compact for Parliament on the one hand, and the assertion of the Parliament to install the winning party’s candidate as Commission President after the 2014 European Parliament elections on the other. Contributions from disciplines beyond law and political science are particularly welcome.

2) Legitimacy through the rule of law, delivery of justice and fundamental rights
Research should reappraise the significance of the rule of law and discourses on justice with regard to the legitimacy of the EU in times of crisis. Of particular importance in this regard is the jurisprudence of both the European Court of Justice and Member States’ courts in upholding the rule of law. Research should ascertain whether and to what extent calls for simplification and better laws have any impact on the regulatory activities of EU legislators and the European agencies. The increased importance of the Charter of Fundamental Rights in the wake of the Lisbon Treaty and the nomination of a First Vice President of the Commission with responsibility for Fundamental Rights ought to be considered. The role of mutual recognition, also in relation to the recognition and judgements of other Member States, needs to be explored in this regard. The evolution as well as the strengths and weaknesses of judicial cooperation among Member States should also be examined, whereby it should be considered whether existing justice and home affaires agencies need to be strengthened,
and if so how, and/or whether new tools ought to be instituted. Research should critically assess whether and how there is any risk of undermining the rule of law and/or of justificatory discourses by recent and contemporary fiscal governance.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected impact:
Research will inspire and inform future debates on the locus and exercise of sovereignty at EU level and the democratic credentials and deficits of the EU in particular with regard to the new fiscal governance instruments outside the Treaties. Research is also envisaged to feed into future debates on the constitutional arrangements of the EU taking due account electoral developments which appear to pose challenges to those arrangements. Research will deepen the understanding of the significance of the rule of law in general and justification discourses in particular, both at national and supranational level and inform whether and how they can contribute to fostering legitimacy of the EU and to creating a new narrative for Europe.

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Improving mutual understanding among Europeans by working through troubled pasts

Specific Challenge:
The European integration project was conceived as an antidote to a troubled past, especially during the first half of the 20th century. In fact, its very raison d'être was to overcome this burdensome heritage and to avoid once and for all future wars and authoritarian regimes. This was true not only in relation to and in the aftermath of WWII and the Holocaust, but also with regard to the Southern and Eastern enlargement rounds, which were inter alia motivated by embracing European countries that had left behind the yoke of authoritarian and/or totalitarianism - right wing and communist regimes respectively.

In times of crisis, this original telos of European integration is often lost from sight, even though it seems particularly opportune to bring it back into focus when reconsidering the fundamentals of integration in order to overcome the crisis. This integration is not limited to the expansion of the union, migration and global conflicts mean the narrative of troubled pasts in the context of Europe is continually evolving. At both a national and European level we have to look at how we accommodate co-existing narratives on the past. Historical discourses can contribute to cultural dialogue, mutual understanding and enhanced inter-comprehension between European states, nations, communities, minority and migrant groups and individuals. However, they might also be used to deepen perceived divisions and legitimate radicalisation or exclusion. Commemorating and teaching the past as well as preserving and cultivating the memory of troubled pasts are important in this regard. A critical engagement with negative heritage may also facilitate the construction of more value-oriented identities. More knowledge is needed on whether and how such discourses occur in various European countries. The specific challenge is to explore how uncomfortable histories are reflected and reappraised especially with a view to enhancing mutual understanding (and reconciliation when relevant) among Europeans.

Scope:
Research should comparatively explore evidence and narratives of critical reflection and engagement with troubled pasts across Europe. Research will examine phenomena such as commemorations, apology, reconciliation and reparations and will identify major gaps or divergences in historical discourses and representations which might make it difficult to understand and overcome past conflicts or troubled historical legacies. The research to address this challenge should in particular focus on the following key dimensions. It is expected to either comprehensively address one of these dimensions or to combine them. The research may also cover other issues relevant for addressing the specific challenge.

1) Formal education, curricula and teaching practice

Research will survey and compare school curricula in a good range of relevant states with a view to identifying patterns and trends in presenting and interpreting difficult periods of history in a European perspective. It will also analyse whether, and at which stages of formal education, how, and with which intensity, openness and criticism troubled and uncomfortable historical heritage resulting from inter alia wars, conflicts, oppressions, genocides and dictatorships are covered in curricula by educational institutions at the levels of primary and secondary education and in cultural institutions providing services to education. The comparative approach could contribute to exploring differences between historical imageries of neighbouring countries, state majorities and minorities or communities considered as autochthonic or immigrant. Research will not focus solely on history teaching, as historical interpretations might be conveyed by many other disciplines from geography (e.g. implanting symbolic historical geographies) to sciences through arts and especially literature. Research should pay particular attention to primary and secondary education, because of their overwhelming importance in transmitting historical interpretations, bearing in mind that vulnerable or disadvantaged groups may be less represented in higher levels of formal education. Apart from the curriculum, research should also assess the actual practice of teaching such topics, and determine whether there is any discrepancy between the curriculum and its implementation with regard to covering troubled heritage. Crucially, research should develop criteria and indicators to measure how discursive, reflective and critical teaching is and assess teaching practices according to these criteria. Furthermore, it should be explored how these educational efforts, to the extent they exist, influence and impact upon national self-understanding and identity as well on perceptions of European integration.

2) Civil society, informal education and political discourses
Research under this strand should investigate how troubled periods of history are related to informal forms of education. Of particular importance is to survey and investigate comparatively how discourses in civil society and the media, including social and digital media, are informed by such legacies, and how in turn civil society and the media conduct such discourses. Research needs to unearth how national narratives are influenced by difficult pasts and how civil society, politics and the media constructed discourses, and which factors and acts such as commemorations, apology, reconciliations, reparations but also non-action informed both the construction and the evolution of such narratives. The gender dimension of these discourses and their transmission should be also considered. Interconnections between and disparities of national and European historical narratives and symbolical geographies equally ought to be studied. Of interest are also discourses in the profession of historians in the post-war/post-authoritarian period and how they might have evolved over time. Also artistic appropriations of memory in relation to troubled pasts and their receptions by the media and wider public should be explored. In addition to this, research should investigate whether and how such discourses and narratives have impacted upon Member States governments' and citizens' attitudes to European integration and EU membership, both before and after accession to the EU.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million for each dimension would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
A deeper knowledge base - on the significance of memory, interpretations and teaching (or silencing) periods of troubled pasts for the construction of historical narratives in contemporary Europe - will inspire and inform specific initiatives. These include appropriate changes in national educational curricula – and innovative educational material on how to critically and constructively reflect and act upon troubled historical heritage and facilitate the development of more nuanced and reflective approaches to interwoven local, regional, national and European histories. Research will also deepen the knowledge base on the significance and impacts of commemoration and cultural representation as well as public discourses on these for civil societies. This will help European policy makers and citizens to re-connect if and where necessary with the raison d'être of European integration.

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CULT-COOP-03-2017
Cultural literacy of young generations in Europe

Specific Challenge:
Cultural diversity is one of Europe’s most valuable assets and European educational and cultural systems need to cater for diversity and enable all citizens to build the skills and competences needed for effective inter-cultural dialogue and mutual understanding. The challenge is about understanding how young people make sense of Europe and its differing cultures. The influences on young people are wide ranging including formal education, family and cultural background and media. The aim is to gain a greater understanding of cultural literacy itself as a non-normative concept covering relevant culture-related knowledge, skills and competences and how young people in particular acquire it.

Scope:
The research to address this challenge should focus on one or two dimensions that have to be comprehensively addressed. The research may also cover other issues relevant for addressing the specific challenge.

1) Promoting cultural literacy through formal education
Research under this topic should address concepts of cultural literacy by performing a comparative analysis of cultural literacy of young Europeans of diverse origins and backgrounds as well as their inter-cultural competencies. It should address the role of formal education regarding knowledge, skills and competences needed for effective inter-cultural dialogue and mutual understanding as well as for becoming informed and responsible users and producers of the European cultural heritage and culture. It should study whether "European culture" as a possible common set of cultural and conceptual models is emerging for young generations. It should pay particular attention to early childhood (pre-primary), primary and secondary education, due to their importance in building cognitive, emotional and civic bases and study also how cultural literacy developed in formal education influences actual attitudes and behaviours of young people.

2) The role of non-formal and informal education and others factors in the development of cultural literacy
Based on a comparative analysis of cultural literacy of young Europeans of diverse origins and backgrounds as well as of their "inter-cultural" competencies, research should investigate the role and impact of informal education in the broadest sense, by family, gender, communities of origin, peer-groups or society at large on the development of cultural literacy. Representations of culture and the role of the Internet, social and digital media in the development of cultural knowledge and skills should equally be investigated, as many ideas related to issues of cultural diversity, popular culture, ethnic groups, minorities, discrimination and segregation are conveyed by such media. Research should identify successful actions that have already proven to have improved cultural literacy and awareness in order to provide recommendations on best practices and make suggestions on how informal forms of education can contribute to enhancing the level of cultural literacy among the young.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
Research under this topic will contribute to better understanding and enhancing cultural literacy for the young generations, which will lead to greater appreciation of diversity. It will moreover contribute to reinforcing demand for sustainable and creative uses of European cultural heritage. The research will involve policy-makers, stakeholders and educational practitioners for the development and uptake of teaching material and tools both for formal and informal education. This will also include testing innovative practices for enhancing cultural and inter-cultural competencies in their real-life context making reference also to the fight against stereotypes.

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**Call - Understanding Europe**  
**Promoting the European Cultural Space**

**CULT-COOP-04-2017**  
Contemporary histories of Europe in artistic and creative practices

**Specific Challenge:**  
Culture is the most cherished and valued shared European asset of EU citizens according to surveys. Europe has been associated with and represented by iconic artistic and literary works since Antiquity. From the ancient Greek myth of Europa to Tolstoy's depiction of Napoleonic Europe in "War and Peace" and to Beethoven's 9th Symphony passing by medieval sacred arts or iconic European films, the list of artistic and literary expressions that represent Europe for many Europeans or non-Europeans is open-ended. Even without an official consensus about a repertory of these artistic and literary representations of Europe, they form the backbone of a European cultural identity and cultural heritage for many Europeans and for visitors coming to Europe for admiring its unique cultural heritage. However, the creation of cultural heritage is a never ending process. Today's culture is tomorrow's cultural heritage in the making. In this perspective, the specific challenge of the topic is to critically investigate - with the help of social sciences and humanities - the evolving representations of Europe in contemporary artistic and creative expressions in the light of changing societal, historical and cultural contexts.

**Scope:**  
Research under this topic will examine various contemporary artistic and creative practices such as literature, cinema, music and dance, in order to identify and assess their representations of Europe, European identity and Europeanisation. It should have a comparative approach and a wide European geographic coverage. Research should clearly distinguish between positive and negative depictions of Europe and the European Union, and investigate the reasons for such representations. The definition and selection of the artistic, literary and creative manifestations representing Europe should cover various European regions, including post-2004 EU Member States, and potentially from neighbouring countries. Research should consider the role of curation, language, translation and digitalisation in terms of accessing these representations. It should consider implications for perspectives on European culture and cultural heritage and the possibilities to channel research results into formal and informal education in Europe through innovative learning material adapted to contemporary media and art consumption patterns. The early involvement of networks of cultural and/or education institutions should contribute to the efficient uptake of research results.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

**Expected Impact:**  
Research will result in better knowledge of cultural Europeanisation in the making and in new, innovative tools and material for formal and informal education. The results and their dissemination will contribute to the renewal of cultural narratives of Europe that speak to Europeans of different languages, cultures, religions and origins beyond national borders. It will contribute to enhanced cultural inter-comprehension among Europeans. Research outputs and dissemination means will be adapted to contemporary art and literature consumption patterns in Europe.

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CULT-COOP-05-2017

Religious diversity in Europe - past, present and future

Specific Challenge:
Religious beliefs and affiliation to religious groups and communities were historically the cornerstones of the functioning of societal relations in Europe. Acknowledging the rich tradition of the co-existence of diverse religions in Europe, the Charter of Fundamental Rights of the European Union enshrines the right to freedom of thought, conscience and religion. Despite this strong commitment to the freedom of religion in Europe, religious tensions still exist in many European societies, and have sometimes been exacerbated by the instrumentalisation of religion for political ends by extremists. It is therefore indispensable to understand better the new landscape of religions, secularism and spirituality in Europe and analyse both the roots of radicalisation and religious intolerance and peaceful coexistence and dialogue in Europe in order to support the values and practices of peaceful co-existence and rationality. Contextualising religious co-existence from a historical perspective can contribute to the promotion of a European public and cultural space and to enhancing mutual dialogue and understanding.

Scope:
Using a broad historical and geographical perspective, the proposed comparative and multidisciplinary research will examine various types and elements of co-existence of diverse religious and non-religious communities in Europe today and in the future. It should deepen knowledge about the relations, cooperation, tensions within and among these diverse communities or social groups. The gender dimension of these issues should be also considered. This research will further survey the position and role of religiosity, non-religiosity or other philosophical convictions in today’s European society as well as their role for today’s, especially young, Europeans. It will assess the development of various forms of spirituality as a potential combination/compromise between secularism and religion in modern and post-modern democracies. It will broaden the European comparative perspective of the historical roots of today's religious tolerance and intolerance by also taking into account the historical and present experiences of those countries and territories that joined the EU after the fall of the Iron Curtain.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
By providing a historical and comparative perspective, research will enable European citizens to better grasp the conditions needed for religious and non-religious coexistence in Europe. It will be translated into innovative dissemination tools in order to be used for education purposes of any type (e.g. formal, informal) and discipline (history, political science, civic education) and in proposals for appropriate changes in national educational systems. The conclusions will also inform policy recommendations targeted at policy- and opinion-makers of different levels in preparing future strategies of cooperation with religious communities as well as in coping with anti-religious animosity. Research outcomes will also reach out to the broadest range of media.

Type of action | Research and Innovation action
---|---
Deadline | 2 February 2017
Call identifier | H2020-SC6-CULT-COOP-2016-2017
Call - Understanding Europe
Promoting the European Cultural Space

Dedicated Topic

CULT-COOP-06-2017
Participatory approaches and social innovation in culture

Specific Challenge:
Recent conclusions of the Council of the European Union recognised cultural heritage as a "resource for a sustainable Europe" and highlighted that "participatory governance of cultural heritage offers opportunities to foster democratic participation, sustainability and social cohesion and to face the social, political and demographic challenges" in Europe. The European Commission has also highlighted that the contribution of cultural heritage to economic growth and social cohesion is undervalued in spite of growing scientific evidence, and called for an integrated approach to cultural heritage for Europe. It is recognised that cultural heritage is a shared resource for everyone and set the objective of continuing to develop more participative interpretation and governance models that are better suited to the contemporary European context, through greater involvement of the private sector and civil society. Europe's dense network of cultural institutions needs to adapt to changing societal, demographic and economic circumstances. Greater understanding is needed on how the different approaches to participatory governance work in this diverse sector including governance models, consideration of and access to different types of heritage, intergenerational equity etc. It is thus of paramount importance for urban and rural development, tourism, education, creative industries and cultural heritage professionals to understand how to integrate European tangible and intangible cultural heritage into sustainable development, hence the crucial role of social and inclusive innovation. This will also help to promote innovative ways in which to manage increasing flows of EU and non-EU tourists, which are currently largely limited to a number of urban destinations only ("theme park Europe").

Scope:

a) Research and Innovation Actions
Research under this topic will critically assess the current state of cultural institutions and investigate new ways to develop the role of European culture ministries, cultural institutions and their networks as cultural service providers and hubs of social innovation. It should take into account recent international, European and national research results and best practices. It should have wide European geographic coverage and stakeholder involvement from citizens and cultural institutions that enables innovative research, case studies, pilot actions and smooth uptake of research results. The research should investigate innovative ways in which cultural institutions can engage with younger and more mature audiences, with minority, migrant or socially disadvantaged groups and include them in their governance - and how local communities organise themselves in order to co-create a better use of the local heritage. It should look into the challenges faced by cultural institutions with regard to the necessity to balance needs for managing material collections and opening culture and cultural heritage to new audiences. Research should also investigate the issue of how to combine traditional cultural services with innovative new cultural or social services like adult or lifelong learning, career support, access to and assistance to digital services and e-administrations flexible work arrangement. The gender dimension of these issues should be also considered. The role and potential of enhanced European and international cooperation and networking of culture ministries, cultural departments of local governments and cultural institutions (from traveling artefacts and exhibits to joint curatorial work and other types of sharing resources, expertise and best practices) should also be addressed. The proposed research will draw on comparative perspectives.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

b) Coordination and Support Action
A social platform will bring together relevant heritage stakeholders’ representatives from research communities, heritage practitioners from public or private cultural institutions (heritage sites, libraries, archives, museums, and other public or private collections) and organisations (NGOs, associations), as well as policy-makers at European, national, regional or local levels. For improving the excellence of European heritage management and related policy making the platform should also harness the potential of networking among the growing number of European cultural heritage and cultural studies departments at higher education and research institutions.

Based on a focussed, critical mapping of existing research and practice, the objective of the social platform is to develop an understanding of the challenges and opportunities for research and innovation in the participatory preservation, (re)use and management of cultural heritage. The platform should pay particular attention to the sustainability and employment
dimensions of new approaches to cultural heritage, taking into account the issues of data collection and measurement. The platform will map and share European and extra-European best practices, identify emerging new European heritage communities, evaluate bottlenecks and opportunities in the financial and legal environment and create new European networks around the participative preservation, (re)use and management of cultural heritage.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 1.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

The actions will form the basis for new institutional strategies to engage new audiences and communities and to combine culture, informal culture and cultural heritage demonstration and preservation with innovative ways of cultural transmission and creative re-use. The findings will help culture ministries, cultural institutions and other relevant actors to reinvent and modernise their policies and their roles as centres of culture, cultural heritage, information, learning and gathering. Results will give guidance on how to promote European culture and further democratise access to it in a way that enables mutual and intercultural understanding. In addition to new academic results, the activities will also provide analytical tools or toolkits, description of best practices and policy recommendations that can facilitate the direct uptake of research and other insights by stakeholders.

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CULT-COOP-07-2017

Cultural heritage of European coastal and maritime regions

Specific Challenge:

This RIA complements previous and on-going EU research on cultural heritage in rural, mountainous and urban settings. European coastal and maritime regions have - over several millennia - developed a rich, multi-layered and varied cultural heritage. At the crossroads of different types of contacts of European peoples with each other and with other regions of the world (from commerce to conquest, from cultural exchange to mass tourism) they represent an extremely rich tangible heritage (coastal towns and villages, submerged landscapes and underwater artefacts, harbours, dams, light houses, arsenals, buildings of the fishing and marine industry, boat builders, etc.). As a result of a combination of natural landscapes and human ingenuity, including unique types of transcultural communication and ethnic diversity, specific coastal cultural landscapes emerged on the shores and sea beds of Europe. This tangible heritage is intimately embedded into the multiple layers of intangible heritage, from myths to daily practices, languages, traditions and crafts of local cultures of communities of sailors, fishermen, boat builders, merchants, etc. Today, coastal cultural landscapes are very much exposed to environmental challenges such as climate change (rising sea levels), other forms of pollution, dense or scattered urbanisation, tourism pressure, the fundamental transformation of the European fishing industry due to over-exploitation of fish stocks and erratic policies of sea or shore conservation at national level. With several coastal zones being among the densest populated areas, mixed metropolitan coastal landscapes have emerged around historic port cities posing new challenges for conservation, management and transmission of existing tangible and intangible values. Against this backdrop, research should provide local communities and local, national and European policy-makers with a coherent framework for risk assessment and sustainable management of European coastal cultural heritage in a way that involves local stakeholders.

Scope:

The research will aim at providing a comprehensive framework for the preservation of European coastal and maritime cultural landscapes. It should be geographically balanced and cover different types of European coastal and maritime cultural landscapes taking into account various historical backgrounds and the current state of these regions, as they range from the most popular destinations of mass tourism to the most peripheral regions of Europe. The research - multidisciplinary to the extent required by its inherent and explicit research approach - might combine approaches and methodologies of cultural heritage preservation, social sciences and humanities, spatial and environmental sciences. It will cover both tangible and related intangible cultural heritage in order to provide a full picture of the societal importance of the cultural heritage of the landscapes under investigation. The research should involve and further develop networks of scholars, local stakeholders and policy makers. It will contribute to European efforts to promote evidence-based research on the impact of participatory approaches in cultural heritage policies and governance, as suggested by the Council of the European Union’s conclusions on participatory governance of cultural heritage (2014/C 463/01). In addition, it will contribute to a better implementation of European policies on coastal zones and maritime areas, referring both to the ‘Integrated Coastal Zone Management ICZM’ (‘Recommendation concerning the ‘Integrated Coastal Zone Management' (2002/413/EC)) and to the ‘Establishing a framework for maritime spatial planning' (Directive 2014/89/EU), thus providing evidence on how to link environmental and cultural policies.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

As a result of its reasonably multidisciplinary approach and mapping efforts, the research will significantly deepen knowledge on the cultural heritage of European coastal and maritime regions. It will lay the basis of a comprehensive framework for the documentation and sustainable management and preservation of European coastal and maritime cultural landscapes taking into account cultural, environmental, spatial and broader societal aspects. The research will provide policy advice and create networks, concepts and tools on how to maintain and preserve this rich and diverse element of the European cultural heritage based on stakeholder involvement and participatory governance. Case studies and tailor-made pilot projects of the research will allow putting in practice the proposed new tools, concepts and methodologies. Special attention will be given to the preservation and exploitation of both tangible and related intangible...
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cultural heritage like traditional skills and know-how embodied in practices and corresponding knowledge systems. The project(s) will also explore the possibilities of new, sustainable, cultural heritage-related career and business opportunities in the studied regions.

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Promoting the European Cultural Space

CULT-COOP-09-2017
European cultural heritage, access and analysis for a richer interpretation of the past.

Specific Challenge:

Collections in archives, museums, and at cultural heritage sites contain a wealth of digital texts, images, audio-visual content and 3D representations of objects or scenes as well as other information such as multispectral or thermal imaging revealing the actual state of conservation, which are largely inaccessible to both computers and humans. In addition, human beings as members of their societies can be regarded as natural archives entail information about the complex semantic and conceptual knowledge organizing a society in its cultural settings and stored in non-verbal practices and rites as well as in language.

Humans can easily extract meaning from individual digital assets but are quickly overwhelmed by the sheer number of items which are usually spatially and/or temporally disconnected and of different digital quality. New technologies can be a valuable instrument to process large amounts of data in order to identify new correlations and interpretations and extract new meaning from our cultural and intellectual heritage. To close, or at least narrow, the "semantic gap" would present a major step forward in digital humanities and other sciences related to European heritage, memory, identity and cultural interaction. Likewise, it is of immediate relevance to find new ways of accessing the complex information embodied in culture-related human ‘natural archives’. In addition, the increase and growing complexity of digital cultural material raises new challenges as regards its preservation over time, an essential condition for re-use and study.

Scope:

In order to better understand and inform the present by richer interpretations of the past, actions should create affordable and efficient digital access, documentary methods analysis and preservation services for cultural resources. This should be achieved by tackling issues such as automatic contextualisation and identification of content and by developing analytical tools, including methods for automatically finding content which is semantically similar to a given item, or content which is related to a given high-level concept. This aspect also calls for fundamental work related to the philosophy of meta-data designs especially of language-based data that should be in close coherence with the architecture and typology of human conceptual systems. Actions should also develop innovative tools and methods to extract meaning from digital artefacts (including video recordings, audio recordings, digital images, text, multispectral and thermal information and 3D representations of objects or scenes) considering also the spatio-temporal dimension and the quality of the digital content in order to allow the study and preservation of European heritage. The work must fundamentally address the issue of data quality and interoperability.

Work will be performed in close collaboration with Humanities and Social Sciences researchers.

The Commission considers that proposals requesting a contribution from the EU of between EUR 2 and 3 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:

New ways of taking into account the state of the art in computer science and big data management, of searching European digital content which used to be inaccessible, buried among huge amounts of data and not sufficiently tagged with adequate metadata.

Improve the understanding of the rich diversity of European cultural heritage and create added value for the society by providing humanities researchers, journalists, policy makers and the interested public with new ways of finding answers to their questions about European cultural heritage and history.
**Call - Understanding Europe**  
Promoting the European Cultural Space  

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CULT-COOP-11-2016/2017
Understanding the transformation of European public administrations

Specific Challenge:

Public administrations are important actors in the European society to deliver public goods and values, from protecting vulnerable people to finding out information on waste collection. They have a complex and varied function, providing essential support, defining rules in a complex society. Due to different historical backgrounds, they are also organised very differently and play different roles across Europe. Today, the continuous improvement of public administrations, public services and policies is at the heart of the agenda of policy-makers. While new organisational structures, concepts and digital tools have contributed to increasing the efficiency, effectiveness, inclusiveness and quality of public administrations, today's societal challenges are ever more complex and inter-linked. Simultaneously, economic and budgetary pressures constrain the public sector, while governments need to renew their legitimacy, addressing the increasing and ever more complex expectations from citizens and businesses. Citizens call for more efficient and accountable use of public funds, meaningful participation in public affairs and for services that are as easy to use and personalised as for example electronic banking. There is a need to find ways that more effectively create public value related to quality of public service delivery, public sector efficiency, social inclusion and participation, public trust and good governance - in an environment of constant change. Addressing these complex issues requires holistic responses, which in turn call for the transformation of public administrations and its role in society.

Effective collaboration across government departments and with non-governmental actors is essential to good governance. It requires working across portfolio boundaries to jointly achieve integrated responses to the issues of policy development. In addition, effective collaboration with societal actors in public service delivery and policy-making can help governments improve their ability to address user needs and innovate their problem solving capacity. ICT is a key enabler to facilitate this. Effective engagement with societal actors can help unlock societal assets, thereby easing the resource needs on governments, allow new services and new businesses to be born and help citizens to actively participate in the decisions that affect their lives. It allows them to be involved in the co-creation of services, including their design and delivery, as well as in finding solutions to societal challenges. Collaboration, sharing and re-use between public administrations can help reduce administrative burden, waste and duplication and drive efficiency.

This calls for innovative and collaborative mechanisms with new institutional arrangements, leadership and human resources’ capacities and structures for greater collaboration among government agencies and departments and with other actors. It requires reflecting upon the likely changes in people’s expectations about their relationships with governments, their role and their ability to deliver public value - and this calls governments to re-examine their governance approaches and strategies. It requires understanding the legal, political and cultural aspects of this transformation and prepare for the necessary organisational, administrative, technical human resource and legal changes to link departments internally together, but also to effectively engage with users, citizens, businesses, social partners, civil society organisations, non-profit organisations, social enterprises, communities and all those who want to interact. It raises questions about how governments can organise themselves around user expectations, needs and associated requirements, rather than their own internal logic and needs. How can they create an open environment and ecosystem, where public administrations make tools supported by ICT, assets, data, information and resources available for re-use, invite all actors to collaborate within clear frameworks? How can governments still they remain accountable for public value generation? How can co-creation and collaboration transform the way public and collective issues are explored and how services and policies are designed, produced and delivered? Which role can professional communicators, e.g. journalists, play in this process? What is the impact of different country contexts? What sectors of public policy are potentially the most concerned by this transformation? What new rules and standards, organisations, resource allocation, institutional capacities are needed? Measured impacts, recommendations and lessons can inform policy-makers’ judgement on whether and how they would be able to embark on the transformation towards the open and collaborative government ‘environment’. What are the underlying conditions, enablers, risks and barriers?

Through understanding the future role of government and the public sector in Europe, we can enhance European cohesion, well-being, welfare and unity, while challenging the narratives of voices which question the relevance of European values. In order to contribute to individual and societal development, we need to consider how to embrace the
positive potential of digital technologies to **strengthen the cohesion of European society, through shared values and to facilitate active participation in the democratic system.**

Scope:

a) Research and Innovation Actions

(2016/2017) Research is needed to explore and analyse how the public administrations can become open and collaborative, encouraging the engagement and participation of public, private and civil society stakeholders - such as for example other public authorities, users, citizens, businesses, researchers, civil society organisations, social innovators, social entrepreneurs, media actors, artists and designers - for effective, appropriate and user-friendly public service design, delivery and policy-making.

The research proposals should present evidence of previous experience in creating environments fostering co-creation through engaging different societal actors in addressing research and impact goals (scientific, **political and social**) and their planned research should go beyond the existing theories and empirical evidence. The actions need to engage multi-disciplinary and multi-sectoral teams to explore the complexity of public services, enablers for public administrations, identify the necessary changes, risks and barriers to implementation, assess the potential of different policy domains and explore feasibility in different public administration contexts (across a representative set of Member States and different levels of governments). The actions need to provide a set of concrete recommendations for policy-makers at local, regional and national level. The actions need to address the transferability and sustainability of their results.

Proposals need to address several of the below aspects:

- Exploring what the role of governments in an open and collaborative government setting may be and how this could be embedded in an EU setting (**taking into account shared European values, diversity as well as principles of subsidiarity**);
- Developing methods and approaches to understanding community assets, needs and requirements in order to provide meaningful public services;
- **Understanding the demographics, appropriateness of participatory practices** and their feasibility for scaling up, so as to generate civic participation on all levels, ensure level playing field in public engagement and legitimacy of the process;
- **Analysing the necessary cultural attitudes, roles, skills, expertise, knowledge as well as incentives and drivers** (such as for example possibilities for wellbeing, healthy life, employment, democracy issue, etc.) of those involved in this process (including civil servants, service providers and users);
- Exploring how innovative processes and mechanisms (e.g. through scalable open platforms or open architectures, etc.) can be embedded in public administrations to create an open digital government environment and ecosystem for improving service delivery and citizen engagement;
- Analysing the conditions under which user-knowledge input is fully integrated in the services/policy development/design process;
- Analysing what co-creation in the public sector can learn from the private sector in terms of critical drivers and enabling factors;
- Exploring the suitability of different institutional frameworks for collaboration both within the public sector and with external actors that allow sharing data, information and services internally between departments and with external parties for re-use;
- Exploring the suitability of innovative technologies that facilitate for example co-creation, sharing relevant information between stakeholders, address issues of privacy, data protection and security or improve communication;
- Analysing the drivers and enabling factors for societal actors to engage in public service or policy co-creation and identifying different sustainability models (such as for example Public Private Partnerships, government spin-offs, hybrid government teams, etc.);
- Exploring, monitoring and measurement approaches, methods and tools to understand the impact of open, innovative and collaborative government for public administrations, **for growth and societal well-being** and for substantiating the link between innovative public sector service and public sector efficiency.

Actions may want to strengthen their recommendations for prioritisation of reform steps to be taken by relying on insights through perception data with respect to the public sector in general or the public administration in particular (e.g.
bottlenecks perceived by both the citizens and businesses in dealing with the public sector, etc.) It is essential that users are a fundamental part of any proposed project and proposals may want to validate the prototype developed in any of the above.

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 and 5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
The actions will form the basis for new institutional strategies and mechanisms to enhance collaboration among government departments and with other actors to collaboratively design, produce and deliver public services and policies. The findings will help public administrations at all levels to rethink their roles in the European society. Results will give guidance to governments and policy makers on how to transform public administrations to become open, innovative and collaborative. The policy recommendations will provide guidance on the cultural, legal or procedural changes needed to facilitate the direct uptake of research and other insights by stakeholders. The actions will also improve the understanding of the effectiveness of related public policies in different democratic models. Taking up the policy recommendations will ultimately lead to improved public service delivery and policy effectiveness, higher quality services, improved societal evidence, improved user experiences and inclusiveness as well as increasing level of civic participation, transparency, trust, social inclusion, accountability of institutions and good governance.

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The significance of cultural and core values for the migration challenge

Specific Challenge:
The fundamental values forming the foundation of the European Union are stated in and ensured by the Charter of Fundamental Rights of the European Union under the headings of dignity, freedoms, equality, solidarity, citizens' rights and justice. Reactions and responses to the increased flows of migrants, including refugees arriving in Europe, combined with the backdrop of the economic crisis, have put these fundamental values and the ideal of a Europe ‘united in diversity’ under pressure.

Migration to Europe triggers concerns about its socio-economic but also its cultural impact on European societies. Simultaneously, it raises debates about what the European core values are, what challenges them and even whether core values exist or ought to exist. Recent developments have led to escalating tensions between nationalism and Europeanisation. At the same time, civil movements have arisen across Europe, for example to assist migrants, and notably refugees, with entering and integrating into European societies. Values, be they political, philosophical, cultural, educational or religious are by nature fluid and changeable, subject to processes of historical developments, external influences, and continuous negotiation and contestation. Meanwhile, values may be experienced and expressed as fixed, sacred, absolute and non-negotiable and may be instrumentalised.

Scope:
Research should explore normatively as well as empirically how migration, in particular the refugee challenge, and the response to it have impacted core values in Europe. This can include analysing how values are defined, framed, agreed upon and translated into practice in integration policies and initiatives (e.g. in citizenship tests, in cultural orientation courses in contracts and agreements with newly arrived migrants) as well as assessing the concrete effects of these practices on integration outcomes and social cohesion. The framing of values in political and public discourses should also be addressed. Insights from existing public opinion surveys should be included and additional surveys may be conducted as needed. Research should explore what values are considered core and fundamental to people, to what extent these values are shared in Europe and how they are justified politically, culturally, religiously or otherwise. It should also explore the (perceived) role of these values in the integration process. Research should also study the historical and philosophical development, the legal foundations, the artistic representations, and the contemporary contestations and re-conceptualisations of these core values and ideals. Furthermore, research should focus on the impact of cultural and human encounters on core values and traditions as well as on the ongoing re-interpretations of these. A particular attention should be dedicated to cultural memory that may become at the same time more intangible and more essential for people whose historical heritage has been deliberately destroyed. The gender dimension should be an integral part of this part of the research.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 2.5 million would allow this specific challenge to be addressed appropriately. This does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
The research is expected to improve the knowledge base on the impact of migration, and in particular the refugee challenge, on core values in Europe. It will provide knowledge on what are considered core values across Europe, what core values are shared and to what extent, which core values are contested, and how core values are transmitted in a modern society. The research will provide evidence on the long-term implications of the impact of the refugee challenge on core values in Europe and how these may evolve in the future, also taking into account the cosmopolitisation of European societies.
# Call - Understanding Europe

## Promoting the European Cultural Space

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Societal challenge 7

Secure societies
Protecting freedom and security of Europe and its citizens
SEC-07-FCT-2016-2017

Human Factor for the Prevention, Investigation, and Mitigation of Criminal and Terrorist Acts

Specific Challenge:
The European Union (EU) consists of more than 500 million people across the twenty-eight countries which make up the Union. Economic growth, together with the opportunities provided by a free and democratic society based on the rule of law, generate prosperity amongst Europe’s citizens who benefit from increased mobility across national borders, and from globalized communication and finance infrastructure – but with such opportunities also come risks, as terrorists and criminals seek to pursue destructive and malicious ends. There are a number of significant common threats which have a cross-border impact on security and safety within the EU[1], and security has become a key factor in ensuring a high quality of life in the European society and in protecting our critical infrastructures through preventing and tackling common threats. The European Union must prevent, and if necessary investigate and mitigate the impact of criminal acts, whilst protecting fundamental rights of its citizens. The consistent efforts made by the EU Member States and the Union to that effect are not enough, especially when criminal groups and their activities expand far beyond national borders.

Scope:
The Lisbon Treaty enables the EU to act to develop Europe as an area of justice, freedom and security. The new European Agenda on Security underlines that, an EU-wide approach to security, integrating prevention, investigation and mitigation capabilities in the area of fight against crime is increasingly required.

The definition of a European Security Model which builds upon the analysis of the human factors[2], at the roots of the design of security strategies and methodologies, is needed. Such a Model would encompass: the development of a common understanding of security issues among EU security practitioners, as well as of the causes and effects of insecurity among EU citizens; common EU methodologies to be implemented by security practitioners (about enhancing prevention and anticipation and/or the timely involvement of all the actors that have a role in protection from the political, economic and social scene).

The globalization of communications and finance infrastructure allows for cybercrime to develop, and corruption and financial crime to take new forms. Cyber criminality is a phenomenon by which criminal acts with new tools and within a new environment, which is not satisfactorily understood, nor properly addressed. The same applies to the innovative technologies and methodologies for financial crime. Law Enforcement Agencies need new equipment to counter such developments.

Proposals should address only one of the following aspects:

Sub-topic 1. New methods for the protection of crowds during mass gatherings;
Sub-topic 2. New methods to prevent, investigate and mitigate cybercriminal behaviours;
Sub-topic 3. New methods to prevent, investigate and mitigate corruption and financial crime to fight the infiltration of organised crime in the European Union (licit) economy;
Sub-topic 4. New methods to prevent, investigate and mitigate high impact petty crimes;
Sub-topic 5. New methods to prevent, investigate and mitigate high impact domestic violence.

Only the sub-topics not covered in 2016 will remain eligible in 2017. A list of topics that remain eligible in 2017 will be published in due time in the section "Topic Conditions & Documents" for this topic on the Participant Portal

In line with the EU’s strategy for international cooperation in research and innovation[3] international cooperation is encouraged, and in particular with international research partners involved in ongoing discussions and workshops, with the European Commission. Legal entities established in countries not listed in General Annex A and international organisations will be eligible for funding only when the Commission deems participation of the entity essential for carrying out the action.

Indicative budget: The Commission considers that proposals requesting a contribution from the EU of € 3million would allow for this topic to be addressed appropriately. Nonetheless this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
The EU law enforcement agencies will benefit from improving and consolidating knowledge about security problems and their remedies.

In detail, and for each sub-topic:

- A policy-making toolkit, for security policy-makers, to advance towards a future European Security Model applicable by European law enforcement agencies and/or
- Common approaches, for the long-term, for assessing risks/threats and identifying relevant risk-based security measures, including through acceptance tests (that take due account of legal and ethical rules of operation) and cost-benefit considerations and/or
- Complementing the relevant work of Eurobarometer, better understanding of how the citizens perceive security and how it affects their feeling of insecurity, and in connection with potential limitations to, or risks of violations of privacy, and the consequent challenges for LEAs;
- Toolkits for law enforcement agencies, based and validated against the needs and requirements expressed by practitioners, and improving the perception by the citizens that Europe is an area of freedom, justice and security.

The societal dimension of fight against crime and terrorism must be at the core of the activities proposed within this topic.

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Specific Challenge:
For the traveller it would be ideal to cross borders without being slowed down. It is indeed likely that, in the next 10 years or so, technologies make it possible to implement "no gate crossing point solutions" that allow for seamless crossing of borders and security checks for the vast majority of travellers who meet the conditions of entry, and make sure that those who do not fulfil such conditions are refused entry.

There is a broad variety of technologies and systems including information systems and (networks of) sensors that will become available to support border checks based on risk-assessment methods. Some are to be deployed in the vicinity of border crossing points, others can be mobile and used to check travellers data along his/her journey.

However, in the intensive use of technologies that this will require bears the risk to invading people’s privacy, and the **societal and political acceptance of technologies** for “no gate solutions” is required prior to their implementation.

Scope:
The assessment of the acceptability of such (combinations of) technologies and systems by citizens (who need to remain in control of personal data) and practitioners is needed, that takes account of **human behaviour, gender, legal frameworks, societal issues, and possible risk of discrimination**.

Methods developed to perform such assessments need also to generate information useful for decision makers to take informed decisions about future technology deployments, and for industry to design products that preserve privacy.

Indicative budget: The Commission considers that proposals requesting a contribution from the EU of € 3million would allow for this topic to be addressed appropriately. Nonetheless this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact:
- Information systems that better manage personal information and support the automated checking and analysing of various entry and exit data, without increasing the risk of loss of privacy thanks to close cooperation with actions resulting from SEC-15-BES–2017: Risk-based screening at border crossing.
- Networks of sensors that better collect information needed for border checks, without increasing the risk of loss of privacy thanks to close cooperation with actions resulting from SEC-15-BES–2017.
- A method, and metrics, to assess **acceptability by the society** of the concept of border control processes based on "no gate crossing point solutions", and of the various technology components that may be required.

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Topics with minor SSH relevance

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Privacy, Data Protection, Digital Identities

Specific Challenge:

The use of modern telecommunications and on-line services involve users' personal information. For example, using search engines exposes the query terms used, which can be both sensitive and identifying, as illustrated by the exposure of search terms; social networking services expect users to reveal their social connections, messages and preferences, that could lead to direct privacy violation if exposed. Browsing the web also leaves traces of where users have gone, their interests, and their actions - meta-data that can be used to profile individuals.

The implementation of the draft General Data Protection Regulation (GDPR - currently in the law-making process) presents both technological as well as organisational challenges for organisations which have to implement novelties such as the right to data portability, the right to be forgotten, data protection impact assessments and the various implementations of the principle of accountability.

Many services on the Internet depend on the availability of secure digital identities which play a crucial role in safeguarding the data and privacy of citizens as well as protecting them and other actors such as private companies or public services from various online threats. At the same time, many European countries already have or are in the process of developing an electronic identity (eID) scheme. Most of these projects are built to be at a very high security level, which makes them very suitable for diverse eGovernment processes. But in turn they may lack usability for commercial applications.

Scope:

Innovation Actions: Proposals may cover one of the strands identified below.

Privacy-enhancing Technologies (PET)

Novel designs and tools to provide users with the functionality they require without exposing any more information than necessary, and without losing control over their data, to any third parties. PET should be available in a broad spectrum of products and services, with usable, friendly and accessible safeguards options. PET should be developed having also cost effective solutions.

Comprehensive and consistent Privacy Risks Management Framework should be available, in order to allow people to understand their privacy exposure (i.e. helping people to understand what happens to their data when they go online, use social networks etc).

Open source and externally auditable solutions are encouraged in order to maximise uptake and increase the trustworthiness of proposed solutions.

General Data Protection Regulation in practice

Tools and methods to assist organisations to implement the GDPR taking into account the final provisions of GDPR and guidance from relevant authorities (Data Protection Authorities, Art 29 WP or its successor).

Proposals may also address the need to provide support (procedures, tools) for entities to understand how to operate without requiring unnecessary information (so as to promote privacy respecting practices), in particular when the issue is mainly related to the fact that organizations (businesses, service providers, and government agencies) often require too much information from their target customer/user.

Secure digital identities

With a view to reducing identity fraud while protecting the privacy of citizens, proposals should develop innovative, secure and privacy enhancing digital identity platforms beyond national eID systems.

Activities may leverage existing European electronic identification and authentication platforms with clearly defined interfaces based on the General Data Protection Regulation (GDPR).

Proposals may:

- Leverage evidence-based identities (using adequate correlation of multiple soft proofs of identity, as opposed to the usage of a central register);
Call – Digital Security Focus Area

- Provide a function for so called “qualified anonymity”, which means, that the online service does not have any information about the user but a pseudonym. The real identity of the user can only be revealed under specific conditions such as at the request of legal authorities;
- Consider cost-effective and user-friendly verification methods for mobile identity documents.

For all strands, proposals should identify and address the societal and ethical dimensions of the strand they choose to cover taking into consideration the possibly divergent perspectives of pertinent stakeholders.

Proposals have to address the specific needs of the end-user, private and public security end users alike. Proposals are encouraged to include public security end-users and/or private end users.

The Commission considers that proposals requesting a contribution from the EU between EUR 2 and 3 million would allow these areas to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

The outcome of the proposals is expected to lead to development up to Technology Readiness Level (TRL) 6 to 7; please see part G of the General Annexes.

Expected Impact:

- Support for Fundamental Rights in Digital Society.
- Increased Trust and Confidence in the Digital Single Market
- Increase in the use of privacy-by-design principles in ICT systems and services

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Call – Digital Security Focus Area

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Call – Critical Infrastructure Protection

Topics with minor SSH relevance

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<td><strong>Prevention, detection, response and mitigation of the combination of physical and cyber threats to the critical infrastructure of Europe.</strong></td>
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Leadership in Enabling and Industrial Technologies

Information and Communication Technologies
ICT-11-2017
Collective Awareness Platforms for Sustainability and Social Innovation

Specific challenge
Today Europe fails to capitalise fully on participatory innovation; more models and blueprints are needed to lead to new ways to produce collective intelligence in key sustainability areas, leveraging on open data, knowledge networks, open hardware and Internet of things. The challenge is to demonstrate that innovative combinations of existing or emerging network technologies enable new Digital Social Innovation which can better cope with emerging sustainability challenges, achieving mass adoption and measurable global impact.

Scope
a. Innovation Actions: pilots of Collective Awareness Platforms (CAPs) demonstrating new forms of bottom-up innovation and social collaboration exploiting digital hyper-connectivity and collaborative tools based on open data, open knowledge, open source software and open hardware, harnessing crowdsourcing or crowdfunding models. Within this vision, target areas for pilots include:
   - New participatory innovation models for economy and society, such as the collaborative or circular economy, collaborative public services and collaborative making;
   - Solutions for sustainable lifestyles such as collaborative consumption and production, smart reuse and low carbon approaches;
   - Emerging ethics of digital innovation, such as social entrepreneurship, direct democracy, privacy preservation and digital rights.

Proposals are expected to leverage on fresh grassroots ideas and civil society participation in the broad digital social innovation domain, and should:
   - Include in consortia an existing and motivated community of citizens, to drive platform development;
   - Base the platforms on an appropriate combination of existing or emerging network technologies (e.g. distributed social networks, wikis, sensors, blockchains);
   - Demonstrate a durable multidisciplinary collaboration by including in the consortia at least two entities whose main focus of interest is beyond the ICT domain;
   - Proposers are encouraged to integrate different platforms, addressing several sustainability challenges at a time, in order to achieve critical mass and measurable global impact;
   - Preference will be given to proposals engaging civil society at large, for instance through NGOs, local communities, social enterprises, non-profit organisations, students and hackers.

The Commission considers that proposals requesting a contribution from the EU of between EUR 1 and 2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts. Minimum one action per target area will be selected.

b. Coordination and support Actions, to coordinate and support the CAPs initiative and the underlying broader digital social innovation constituency, by identifying links and synergies among different projects, and ensuring visibility and contacts at European and international level.

The Commission considers that proposals requesting a contribution from the EU of between EUR 0.2 and 0.8 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.
Expected impact

Proposals should address as many as possible of the following criteria, possibly defining appropriate metrics to measure impact:

- Demonstrate increased effectiveness, compared to existing solutions to societal and sustainability challenges, of new bottom-up, open and distributed approaches exploiting network effects and based on open data and open hardware;
- Capability to reach a critical mass of European citizens and to transpose the proposed approaches to other application areas related to sustainability;
- **Achieve effective involvement of citizens and relevant new actors in decision making, collective governance, new democracy models, self-regulation, citizen science and citizens' observatories, new business and economic models.**
- Achieve measurable improvement in cooperation among citizens, (including elderly), researchers, public authorities, private companies and civil society organisation in the development of new sustainable and collaborative consumption patterns, new lifestyles, and innovative product and service creation and information delivery.
- Demonstrate the applicability of concrete and measurable indicators to assess the social impact and the “social return of investment” of the proposed solutions.

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Interfaces for accessibility

Specific challenge

Research on user-driven multimodal interface design has advanced the usability and accessibility of many software and devices to the benefits of all people, especially for those with different functional abilities. However, despite progress, there are still many who are disadvantaged due to lack of accessible and usable systems. Among those are persons with neurological conditions and disorders as well as cognitive disabilities.

More effective solutions, designed with people with disabilities and their carers, are needed to mediate communication experiences or for more natural interactions, including with their environment. Technologies aiming at enhancing cognitive accessibility hold the potential to improve attention, executive functions, knowledge acquisition, communication, perception and reasoning. Furthermore, improving the capacity to decode and use brain signals will help to accelerate the development of solutions for people with communication disorders.

Scope

a. Research and Innovation Actions

Proposals should cover one of the following themes:

- Support the development of intelligent, affordable and personalised interfaces and affective computing for people with cognitive disabilities to enable them to undertake everyday tasks and in particular to improve communication and facilitate the uptake and use of digital services. Solutions should recognise user’s abilities and be able to detect behaviours and recognise patterns, emotions and intentions in real life environments. A mix of expertise is necessary including from relevant social sciences and humanities disciplines (e.g. cognitive sciences, psychology, disability studies) and due attention will be paid to the diversity of users and users’ needs (e.g. age, gender, socio-economic status).

- Develop and test solutions, models and algorithms to improve (and act upon) information extraction from brain and neural signals, including through advances on state of the art electrodes and implantable devices.

The Commission considers that proposals requesting a contribution from the EU of about EUR 2 million would allow this area to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

b. Innovation Actions

Building on ongoing efforts, develop and demonstrate decision support tools for the assessment of compliance to web sites accessibility standards and guidelines. Research should focus primarily on quality and accuracy of automatic support to assessments, detecting accessibility hurdles and assisting developers in repairing accessibility barriers. Solutions shall enable fast processing of dynamic content and large volumes of web pages/content and data, and more effective hybrid combination of automatic/expert reviews.

The Commission considers that proposals requesting a contribution from the EU of about EUR 2 million would allow this area to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

Projects should address the following impact criteria and provide appropriate metrics

For a)

- Improved communication and interaction capability of people with disabilities and facilitate social innovation;
- More affordable technologies and products that support interactions for people with disabilities;
- New generation of services that are highly adaptable and personalisable to individual contexts;
- New approaches to brain computer interfaces.

For b)

- Easier and more cost effective assessment of web accessibility requirements, at scale.
### Call – Information and Communication Technologies

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ICT-28-2017
Robotics Competition, coordination and support

Specific challenge

The global robotics market will change shape significantly in the next few years. As the deployment of robotics technology increases, it is necessary to ensure that robotics actions are flanked by specific measures to optimise market take-up of European research whilst the window is still open.

There are several challenges including the lack of sustained exchanges about robotics between members of the widespread European stakeholders' community and of coordinated European effort towards global standardisation and regulation. There is also a lack of systematic foresight of developing trends and issues to inform strategy-makers and the robotics community e.g. as relating to a pro-active approach of ethical, legal and socio-economic (ELSE) issues. Understanding and responding to developments in these areas will require engagement with non-robotics experts able to analyse impact within their area of expertise. Robotics-specific strategy can then be developed from this analysis and used to shape the processes of design, development and deployment of market services and applications.

It is also important to disseminate information not only to the robotics community but also externally to those users and organisations impacted by robotics technology. Furthermore it is important to identify and assess socio-economic weaknesses and threats in the European robotics landscape. These will change over time and long term monitoring actions will be critical to the development of a responsive strategy.

Potential issues range from the development of supportive and effective regulatory environments to assessing the public perception of robotics and its socio-economic impact, as well as the underlying imaginaries (e.g. pre-conceptions helping to envisage the future) of robotics developers. Broader technology impact issues such as data privacy, legal rights, liability, responsible innovation and ethical issues concerning vulnerable sections of society will also need to be addressed.

An intense user-engagement in the developments of robots designed to perform social tasks, and a wide public debate around the issues and concerns that these developments may raise are key conditions to ensure a societal and socio-economic uptake of robotic technology in an informed way and to enhance market and community development.

Competitions on smart robotics can also play an important role in increasing the levels of public understanding, as well as helping to accelerate progress in a stimulating way.

Scope

Coordination and Support Actions focusing on one or more of the following topic areas and taking into account ongoing actions:

a. Non-technical barriers to robotics take-up:
   - Promotion of entrepreneurship skills specific to robotics and the provision of non-technical early stage support for SMEs and spinouts. Analysis of funding mechanisms, including follow-on funding support for take-up of research results and the effectiveness of public funding;
   - Addressing non-technical market barriers in a pro-active way such as ethical, legal and socio-economic issues affecting take-up, including the impact of robotics on the labour market, ethical concerns about safety, informed consent, clear legal responsibility and insurance structures. The engagement and coordination with non-robotics experts, for example in law, social sciences and economics, will be sought;
   - The effective promotion of responsible research and innovation (RRI) in robotics and the assessment of societal readiness for robotics products;
   - Given the fast-moving evolution of RAS research and innovation, develop dynamic strategies to anticipate new skills requirements, reduce skills shortage and provide responses to economic change through training, skills development, and education from pre-school to university level.

b. Standards and Regulation:
   - Coordination of standards harmonisation and regulation across Europe in all domains to enable the development of supply chains and certification processes;
   - Dialogue with regulatory bodies and policy makers to support the market entry of robotics and raise awareness
of the impact of robotics.

c. Community support and outreach:
- New mechanisms to improve information exchange across the diverse sections of the European robotics community (including networking between EC projects), to provide open access resources, for example brokerage for design information, communicating the outcomes of EC-funded research projects and to improve the public level of understanding and societal uptake of robotics through two-way public engagement activities.

d. Competitions:
- Organisation of robotic competitions to speed up the advance towards smarter robots, demonstrating progress in the field and raising the awareness of the general public towards intelligent robots.

The Commission considers that Coordination and Support Actions proposals covering all or an appropriate mix of topic areas (a), (b) or (c) above are expected to require up to EUR 3 million; nonetheless, this does not preclude submission and selection of proposals requesting other amounts. Minimum one action will be selected. Competition proposals addressing topic area (d) are expected to require up to EUR 2 million each; nonetheless, this does not preclude submission and selection of proposals requesting other amounts. Minimum one action will be selected.

Expected impact
- Strengthen collaboration between diverse robotics communities;
- Gain a higher level of European involvement in global robotics regulatory policy and standard-making;
- Lower non-technical market barriers to robotics market readiness and take-up; increase the uptake by entrepreneurs and end users through e.g. skills acquisition and training;
- Clearer understanding by the community and non-technical experts of the impact of robotics technology through two-way engagement, which helps to better inform related strategy and policy decision-making;
- Significant and measurable evolution in the public awareness and understanding of robots, especially amongst broad demographic groups, as shown by surveys, greater media coverage and increased take up of robotic products in domestic applications;
- Increase public and private investment interest in robotics technology for all stages of company formation and growth, from start-up to mature company, as measured by levels of grant and investment activity by national, regional or private-sector bodies.

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Specific challenge

To reinforce cooperation and strategic partnership with selected countries and regions in areas of mutual interest.

Targeted countries: Low and middle income countries in sub-Saharan Africa and ASEAN countries.

Scope

a. Innovations Actions

Actions will address the requirements of end-user communities in developing countries. This may include technological improvements and adaptations as well as innovative service creation based on existing technologies. Proposals could include specific technological targets such as co-design, adaptation, demonstration and validation (e.g. pilots) of ICT related research and innovation in relevant thematic areas addressed by Horizon 2020 including Content Technologies and Societal Challenges. Proposals are expected to address take up and scalability of the proposed solutions. Activities under this topic should be led by a clearly defined user need/market opportunity for the technology being adapted; they should in particular include requirements of developing countries (at national and local level), and where possible, have the potential for wider impact by involving a number of countries from the same region. Proposals should feature an explicit element exploring technology adoption, through understanding and evaluating behavioural responses to the introduction of new technologies in different regional settings. Societal and gender issues will be taken into account. Proposals should be submitted by a partnership complementing each other with a particular focus on the participation of relevant developing country innovation stakeholders and end-user community representatives (e.g. relevant public, private, education and research, and societal sector organisations, Innovation Hubs or Living Labs).

The Commission considers that proposals requesting a contribution from the EU between EUR 1 and 2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

b. Coordination and Support Actions for Africa

One CSA to foster research coordination and support collaborative activities between Europe and Africa, through the organisation of events – if possible synchronised with relevant either policy or research meetings - and monitoring ICT-related activities in targeted countries in Africa providing input on common R&D priorities and future cooperation opportunities; strengthening cooperative research links; supporting greater awareness of research cooperation opportunities and dissemination of results from ICT39-2015 and other relevant developments. Actions should build upon the achievements of similar past or ongoing Support Actions for Africa.

The Commission considers that proposals requesting a contribution from the EU of around EUR 0.8 million would allow this area to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts. No more than one action will be funded.

Expected impact

- Development of relevant technology responding to specific needs and conditions of the target country;
- Sustainable uptake of results within the targeted countries, beyond the project completion date;
- Reinforced international dimension of the ICT and Innovation aspects of Horizon 2020 and a higher level of international cooperation with low and middle income countries in ICT R&D and Innovation, focusing on areas that are beneficial to the target countries/region;
- Reinforcement of strategic partnerships with selected countries and regions in areas of mutual interest and added value in jointly addressing important issues.
Call — Information and Communication Technologies

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Topics with minor SSH relevance

**ICT-19-2017**
Media and content convergence

Leadership in Enabling and Industrial Technologies

Nanotechnologies, Advanced Materials, Biotechnology and Advanced Manufacturing and Processing
EEB-08-2017
New business models for energy-efficient buildings through adaptable refurbishment solutions

Specific challenge

The most important benefit associated with the refurbishment of an existing building comes from improving the energy performance, which gives an essential contribution to reach the EU 2020 consumption goals, taking into account that buildings represent 40% of the energy use in the EU. A key challenge for its large-scale implementation is the necessity to manage a broader involvement of stakeholders representing different interests and different responsibilities influencing the potential solutions and actions. This regards not only the choice of technologies, but also the design and renovation methods, as well as a number of socio-economic issues.

Nowadays, decentralised energy generation technologies have been demonstrated in a number of building applications in Europe and beyond but large scale uptake and business deployment of these technologies is still in its early stage. Currently, the renovation level is about 1.2% of the building stock in Europe per year and it should increase, according to the European Performance Building Directive (EPBD), to 2 - 3 % per year until 2030. Innovative business models which allow consumers and the market to invest with confidence in long term operation, maintenance, reliability and service levels need to be developed.

Scope

Activities should focus on the benchmark and the assessment of innovative business models, evaluating different refurbishment packages enabling the selection of the most attractive and efficient ones for different building types (residential/District Heating Cooling connected) and climatic conditions, taking the maximum advantage of user behaviour and geo-clustering.

Adequate assessment tools and the methodological challenges facing analyses addressing the issue of comprehensive analytical approaches in order to inform business decisions in this respect need to be discussed. Life cycle models as input to the decision making process in the feasibility phase of the renovation project also need to be considered.

Proposals need to assess different highly resource-efficient business models for refurbishing buildings including the assessment of the possibilities provided by public procurement of innovative solutions, appropriate combinations of public and private funding, or only private funding. These concepts need to be developed taking into account the building owners, the socio-economic impacts, and the current EU crisis.

Proposals should also develop effective methods for steering and governance especially paying attention to the local scale, including the variety of actions by cities and municipalities that can define obligations or encourage voluntary actions. In particular the business models developed should support the preparation of innovation-related public building procurements by local/regional/national authorities or at European level, taking into account the needs of the public sector with regard to high-performance buildings (new or retrofitted ones).

The business models should cover the complete cycle as from the design phase of the building: decentralised energy generation technologies, integration, installation, commissioning, operation, servicing and maintenance, etc. In this framework, activities should cover business model design and optimisation, market and customer segmentation approaches for decentralised energy generation, consumer behaviour and decision driver research for optimising business model structures, supply chain and concept delivery optimisation, new earning models and financing mechanisms. In addition, proposers should also seek solutions to increase participation of stakeholders, considering methods to engage end users living in the buildings/neighbourhood and methods to increase the interest and commitment of building owners and market partners.

Socio-economic impacts of refurbishment should be taken into account considering the possibly drastic effects of high renovation costs on house owners and tenants, and seeking possible solutions to reduce costs, as well as addressing the needed commitment by users to energy efficiency after renovation.

Clear evidence of technical, environmental and economic viability should be provided. The possibility to engage municipalities planning to integrate renewable energy sources in the built environment could be an added value.

This topic is particularly suitable for SMEs.

The Commission considers that proposals requesting a contribution from the EU between EUR 500000 and 1 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.
Expected impact

- **Cost-effectiveness of the renovation compared to current costs.**
- Adaptive renovation packages with high energy efficiency and low environmental impact.
- Increased awareness of and commitment to improved energy-efficiency of the building stock.
- Increased capacity of municipalities to effect the renovation of building stocks, in particular through the use of public procurement tools.
- Better quality standards and performance guarantees while improving indoor environment and remaining cost-effective.
- **More involvement of customers/users in the integrated–innovative business model solutions.**

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Business models and industrial strategies supporting novel supply chains for innovative product-services

Specific challenge

The current lack of stability in the markets does not create strong incentives for long-term investments in tangible fixed assets, and a quick response to market demand is crucial to market success. This calls for new business models to enable industry to adapt faster to market demand. At the same time, European industry needs to reap the full benefits of digitalisation, including a new generation of highly flexible production and process technologies and equipment, such as 3D-printing.

All European companies, especially SMEs, need to have access to technology infrastructure with appropriate manufacturing facilities to help them develop their innovative product-services from the early stage of feasibility assessment up to the fabrication of first series of prototype's products and purchasing is not always the best option.

It is also important to develop value systems that take into account the new extended supply chain from the early stage of the design process up to the end-of-life activities. In addition, the real production can nowadays take place anywhere in the world and leave Europe with unused or outdated production capacities. The current process does not take into account the economic, social and environmental benefits for Europe.

Scope

Business models supporting the novel supply chains for innovative product-services would need to facilitate the flow of information on free utilisation capacity among service providers, which could be dedicated business set-ups for that kind of product-services, or just existing manufacturers with free production capacity at certain moments in time and business companies seeking short term solutions for their capacity shortages.

New equipment, internet, digital technologies and social media have the potential to support new supply chain models that are focused on business-to-business (B2B) as well as business-to-consumer (B2C) relationships, on improving the use of manufacturing capacity in Europe, e.g. by an innovative treatment of data flows on processes and products with the possible use of sectorial clouds.

Solutions should facilitate the flow of information on free manufacturing capacity among service providers (which could be dedicated businesses or existing manufacturers with spare capacity) as well as the flow and management of data in the context of the value chain.

The research activities should focus on all of the following areas:

- New, adaptive business models, networks and configurations to optimise the integration of KETs in industrial contexts, in order to increase the leadership of EU industry in the global markets. The approaches to integrate KETs should lead to a new model for European industrial production and consumption, based on more sustainable and efficient production and consumption patterns, supporting increasingly customised sustainable products.
- New business solutions for extended supply chains and the integrated sustainable European framework, which would take into account the needs of design, production, utilisation and end-of-life and overcome the risk of under-utilised capacity.
- Solutions that would enable businesses in the supply chain to use new flexible production and processing systems tailored to their needs; to increase connectivity and inter-operability to rapidly coordinate; and to react to market demand as a whole system.
- Solutions for local cooperation and supply, which can reduce the environmental footprint. These solutions should converge into high value-added production capable of responding dynamically to competing global economies demonstrating how the EU could benefit from international cooperation.
- Project activities will focus on new concepts and methodologies for knowledge-based, specialised product-service, which can fulfil the requirements of fast changing markets for innovative product-services. The service could be supplemented by after-sale services and extended guarantees provided by any entity from the supply chain base on common agreement.

Social Sciences and Humanities (SSH) elements should be considered, such as economics and business administration. In particular, proposals should address the role of consumers and users as active participants in the innovation process.

Activities are expected to focus on Technology Readiness Levels 4 to 6. This topic addresses cross-KET activities.
Call - Nanotechnologies, Advanced Materials, Biotechnology and Production

This topic is particularly suitable for SMEs.

The Commission considers that proposals requesting a contribution from the EU between EUR 2 and 4 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

- **Innovative industrial business solutions** taking full advantage of the potential provided by the digitisation of the European industry;
- Decreased production costs in Europe, through a better use of the available manufacturing capacity;
- Reduced environmental or carbon footprint compared to products produced in traditional value chains, by the use of local and regional product-services capacity;
- Novel supply networks and solutions that could be applied across industries.

Proposals should include a business case and exploitation strategy, as outlined in the Introduction to the LEIT part of the Work Programme.

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NMBP-34-2017

Governing innovation of nanotechnology through enhanced societal engagement

Specific challenge

In order to foster responsible research and innovation (RRI) in nanotechnologies, innovative processes are needed to improve the responsiveness of research & innovation processes to public values and concerns, and to ensure that research & innovation truly respond to societal challenges and take into account the social and environmental consequences from the outset.

Scope

The proposed action should build on previous EU and national projects in the field of public engagement by addressing the governance and implementation of responsible nanotechnology research and innovation. It will launch a participatory multi-actor engagement process (i.e. deliberations, workshops and/or working groups) focussing on early-stage product development in order to explore ways in which nanotechnologies can help address societal challenges while considering the needs and concerns of society. This multiactor engagement process should include researchers, producers, professional users, relevant civil society organisations and consumers/citizens. The proposed action should take into account the diversity of cultural contexts of processes and communication within Europe and should demonstrate state of the art public engagement concepts. The proposed project should also include an ex-post evaluation of the mutual learning process between stakeholders in previous relevant nanotechnology projects as well as societal debates on emerging technologies. Furthermore it will contribute to the concrete realisation of RRI conditions in nanotechnologies, and produce policy recommendations on how to govern research & innovation in nanotechnologies (and other emerging technologies) in a responsible way. The project must ensure a strong degree of policy alignment and be designed to deliver useful outcomes to relevant policy initiatives and innovation partnerships, such as European Technology Platforms.

Supporting activities to be undertaken in the project could include the empowering of stakeholders to co-create nanotechnology research and innovation by enabling them to formulate and communicate their needs and concerns, and designing ways to give them a voice in R&I processes. Additional activities could also include the development of: teaching material and the training of researchers and engineers in ways to include societal considerations in their work; training of researchers/scientists in science communication; establishing a 'journalist in the lab' exchange scheme; the development of balanced, reliable and easily accessible information on how nanotechnology is contributing to solving specific societal challenges and is used in daily life, e.g. published by the mass media with supplements and media micro sites or using existing multimedia and other relevant technology; guidance on how to bring about institutional changes that may contribute to a better engagement of civil society in nanotechnology-relevant R&I organisations; and policy recommendations on how best to integrate societal considerations in nanotechnology research & innovation.

This action is to be based on the concept of Mobilisation & Mutual Learning (MML) platforms. Proposals should include the appropriate disciplines of Social Sciences and Humanities (SSH). Gender aspects should be taken into account. The Commission considers that proposals requesting a contribution from the EU between EUR 1.5 and 2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

No more than one action will be funded.

Expected impact

- The early and continuous engagement of all stakeholders will be essential for sustainable, desirable and acceptable innovation in nanotechnologies, where R&I is aligned to the values, needs and expectations of society;
- The outcomes of the project are to be fed back into policy making and innovation partnerships such as European Technology Platforms, aiming to achieve a responsive R&I system and co-production of knowledge;
- The project will lead to enhanced public understanding of nanotechnology, will build trust and foster mutual understanding between citizens, and public and private institutions, leading to co-creation of new R&I and increased confidence of companies to invest in new technologies.
## Call - Nanotechnologies, Advanced Materials, Biotechnology and Production

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Innovative solutions for the conservation of 20th century cultural heritage

Specific challenge

Europe’s highly diverse and rich cultural heritage (CH) is seen as a powerful common background that provides a sense of belonging amongst and between European citizens. Next to this societal impact, CH has also significant economic impact through activities such as tourism, restoration, maintenance, and cultural industry. However, tangible CH is endangered by significant deterioration of voluntary or involuntary anthropogenic origin and by other threats.

20th century cultural heritage is often confronted with different deterioration mechanisms than more ancient cultural heritage for reasons such as the use of modern materials. This requires additional research efforts regarding material composition, ageing processes, and the development of appropriate conservation technologies. While modelling and simulation based approaches in the development of advanced materials and devices play nowadays an important role, there is a need for development in the area of CH conservation.

Scope

Two main elements should be addressed:

- Projects should develop one or more innovative solutions (functional materials or techniques) for the conservation of tangible 20th century cultural heritage. To maximise the impact, the most relevant issues and objects should be identified and addressed. For this purpose, convergent contributions from relevant Social Sciences and Humanities (SSH) disciplines should be considered;
- Developments should be based on multi-scale modelling (in the sense of linking different types of models such as electronic, atomistic, mesoscopic and continuum etc.) approaches. Key issues such as compatibility, durability, ageing, and reversibility of interventions should be addressed by the modelling approaches. Modelling modules should be further developed if necessary.

The proposed materials/techniques are expected to ensure long term protection and security of cultural heritage, taking into account environmental and human risk factors. An environmental impact assessment of the proposed solutions is to be included to ensure the development of sustainable and compatible materials and methods. Focus on innovative and long-lasting solutions in the conservation of cultural assets is expected.

Projects are encouraged to base their modelling software development on on-going efforts in the development of open simulation platforms and to use to a large extent existing models. Projects should have an element of model validation based on experimental data. The majority of resources is expected to be invested in the actual material/technology development and testing, rather than the development of new models.

Standardisation and/or the production of (certified) reference materials and/or pre-normative research should be an integral part of the project.

The projects should present clearly measurable objectives for the proposed developments. The core activities regarding the materials/techniques are expected to reach TRL 6 by the end of the project.

A participation of relevant SSH disciplines is expected. SSH research should contribute criteria for targeting specific cultural heritage and analyse the expected long-term societal spill-over effects of the project.

Projects are expected to contribute actively to on-going activities e.g. in the EMMC (European Materials Modelling Council), and EU funded clusters.

The implementation of this topic is intended to start at TRL 4 and target TRL 6.

A significant participation of SMEs with R&D capacities is encouraged.

The Commission considers that proposals requesting a contribution from the EU between EUR 6 and 8 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.
Expected impact

- Practical and affordable materials/technique solutions in terms of cost and/or complexity of operation by those who will use them;
- Increased quantified efficiency of materials/technique development for CH conservation, also beyond the specific cases selected by the proposers;
- Increased use of multi-scale modelling in the development of solutions for CH conservation;
- Improved modelling-based decision making regarding conservation interventions;
- **Clear prospect for quantified socio-economic gains from the proposed solutions**;
- Effective market uptake of the developed solutions within five years after the end of the project;
- Contribution to open repository of simulation and/or experimental data;
- **Contribution to increased citizens' awareness of 20th century tangible CH**.

*Proposals should include a business case and exploitation strategy, as outlined in the Introduction to the LEIT part of this Work Programme.*

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**NMBP-37-2017**

**Mapping a path to future supply chains**

**Specific challenge**

Product production and delivery processes are seeing fundamental changes worldwide. E-commerce and the internet have revolutionized order and delivery – changes are still underway and will doubtless evolve further. Automation and new process techniques (such as additive manufacturing or 3D printing) are revolutionising the concept of the factory. New and inter-dependent value chains in process industries lead to new process pathways, achieving new levels of resource and cost-efficiency. Logistics chains are adapting to cater for these changes, but these are often ad-hoc developments.

Existing project funding contexts, such as the Factories of the Future and national programmes addressing the fourth industrial revolution, are implementing a research and innovation agenda along roadmaps oriented to integrating new technologies into manufacturing processes, increasing environmental friendliness. Similar strategic agendas exist for e-commerce and for process industries (SPIRE – sustainable process industries for resource efficiency).

However, little reflection has been applied to the way that these new forms of production and delivery will work together.

**Scope**

This action should draw up a roadmap for supply-chain integration, addressing in particular distributed and customised manufacturing, along with the associated logistics. New supply-chains will be increasingly global. While including the global view, this reflection should focus on identifying roles and pathways for Europe’s industry in particular.

**Proposals should consider Social Sciences and Humanities (SSH) aspects, in particular:**

- use established economic modelling tools to develop and assess industrial scenarios to 2030, and possibly beyond; and
- address the role of consumers and users as active participants in the innovation process.

The Commission considers that proposals requesting a contribution from the EU between EUR 0.6 and 1.2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

*No more than one action will be funded.*

**Expected impact**

The project should deliver primarily answers to the following two questions:

- What scenarios can we expect for future supply-chains and in which timescales?
- Which technologies need to be developed in addition to those identified in existing roadmaps?

These scenarios should cover the major supply and production chains in industry today, for both discrete and process production.

It is not expected that full-scale technology roadmaps for all scenarios will be developed in the scope of this topic, but preliminary strategic research agendas would be an advantage.

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### Topics with minor SSH relevance

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Leadership in Enabling and Industrial Technologies

Space
Specific challenge

Copernicus, the Union’s Earth observation and monitoring programme entered into force in 2014 and produces a wealth of data and information regarding the Earth sub-systems (land, atmosphere, oceans) and cross-cutting processes (climate change, emergency and security). Copernicus data and information are mainly made available on a free open and full basis. This is expected to unleash unique market opportunities. It is important to foster market development exploiting the added value of integration of EO observation technologies (both satellite, airborne and ground based) with positioning ones and ICT (enhancing new frontiers opened by web) across different market segments through the development of applications, and encourage their insertion into the market.

For such applications and developments to succeed in the market, the product needs to be shaped according to users’ needs and their value to users must be openly demonstrated to the wider user community. This needs to be achieved in an environment integrated at the level of the user, in order for users to accept the innovative potential which the product promises. This will require also specific attention to be given to the various processes in place in the users’ workflows which incorporate the EO information. Furthermore, the transition of R&D product prototypes to viable commercial product lines after the end of the EU funded phase remains a challenge to be addressed early on during product development.

Scope

Proposals may address a wide variety of applications stemming from the use of Earth observation and its smart integration with other related technologies. Copernicus should be considered as part of the solution which may include other space or non-space inputs. This is likely to lead to greater value, opportunities and especially market uptake. To this aim, a business model, which includes the phase of the project following the end of the public funding, should be part of the proposal. The outcome of this innovation project should be a commercial service platform, sustained by a production process capable to deliver to the user a product which is validated and accepted as a marketable product. Transnational collaboration has a key role to play in this context, as it enhances access to markets beyond the national borders, notably by enabling space application providers to absorb market-related tacit knowledge and know-how of their partners. Corresponding validations and customisations are to be undertaken, and the business case for the application is to be demonstrated. Service level models are to be developed, with appropriate quality of service definitions for the application. Application products are expected to adopt open standards for data documentation, data models and services including data processing, visualisation and cataloguing.

The choice of EO application is left to the proposer. Applicants are advised to consult further information on availability of Copernicus Sentinel Data, access to Copernicus Contributing Mission data, as well as issues recommended to be detailed in the proposals at the Commission’s website.

In projects to be funded under this topic participation of industry, in particular SMEs, is encouraged. The Commission considers that proposals requesting a contribution from the EU of between EUR 1 and 2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

- Establish sustainable supply chains for innovative EO value added products and services with demonstrated commercial value with targeted client communities. Complete integration, based on international standards, into the customer’s existing business processes and processing chains, as well as the economic viability of the application is to be demonstrated;
- Enhance the European industry’s potential to take advantage of market opportunities and establish leadership in the field, and to boost business activity;
- Lead to new or improved products, processes or services on the market, which are capable of generating within 3 years after the end of public funding a significant turnover for the participants, and create new jobs.
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COMPET-7-2017
Technology transfer and business generators

Specific challenge
The number of space-related Business Incubation Centres (BICs) supporting space-related businesses is growing in Europe, led by national and ESA technology transfer initiatives. There is a need to continue to inspire entrepreneurs to turn space-related business ideas into commercial endeavours and to promote opportunities for new and existing start-ups coming from space and non-space sectors.

Scope
BICs, as part of their standard offer, routinely offer commercial/high-growth business support to high-tech start-ups. BICs supporting space-related companies should be supported in order to give entrepreneurs comprehensive space-specific commercial and technical assistance to help them start-up businesses that apply space technology to non-space industrial, scientific and commercial fields, and vice-versa. This activity will not support the establishment of additional BICs, but should assist entrepreneurs and other innovation agents overcoming financial, administrative and networking barriers to innovation. In particular, it should contribute to access public funding opportunities, such as the SME instrument of the European Union, as well as potentially other funding opportunities from Member States, ESA and regional authorities. The take up of applications developed in the context of Galileo, EGNOS and Copernicus is encouraged. This action should be complementary to the ESA BICs (that already offer space-specific support) and the European Enterprise Network (EEN) approach, and should encompass other incubation centres that support space-related companies, particularly those exploiting the applications of space data and services.

The Commission considers that one proposal requesting a contribution from the EU in the range of EUR 2.0 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact
Creating opportunities for new and existing start-ups coming from space and non-space sectors by:
- Facilitating access to finance through outreach and networking;
- Maximising opportunities offered by the SME instrument for space;
- Assisting the development of viable business cases;
- Accompanying start-ups in commercial phases.

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Excellence Science

Future and Emerging Technologies
Future and Emerging Technologies (FET)

FET funds interdisciplinary collaborations that seek genuine cross-fertilisation and deep synergies between the broadest range of advanced sciences (including the life sciences, social sciences and humanities) and cutting-edge engineering disciplines.

FET has three main lines of activity:

- **FET Open** supports the early-stages of the science and technology research and innovation around new ideas towards radically new future technologies. It also funds coordination and support activities for such high-risk forward looking research to prosper in Europe.

- **FET Proactive** addresses promising directions for research on future technologies in order to build up a European critical mass of knowledge and excellence around them.

- **FET Flagships** are science-driven, large-scale, multidisciplinary research initiatives oriented towards a unifying goal, aiming at transformational impacts with substantial benefits for European competitiveness and for society.
Call – FET-Open
Novel ideas for radically new technologies

FETOPEN-01-2016-2017
FET-Open research and innovation actions

Specific challenge

The successful exploration of new foundations for radically new future technologies requires supporting a large set of early stage, high risk visionary science and technology projects to investigate new ideas. Here agile, risk-friendly and highly interdisciplinary research approaches are needed with collaborations that are open to all sciences and disciplines and that dissolve the traditional boundaries between them. The renewal of ideas is complemented by the renewal of actors taking these new ideas forward. Therefore, this topic encourages the driving role of new high-potential actors in research and innovation, such as excellent young, both female and male, researchers and high-tech SMEs that may become the scientific and industrial leaders of the future.

Scope

This topic supports the early stages of research to establish a new technological possibility. Proposals are sought for collaborative research with all of the following characteristics ('FET gatekeepers'):

- **Long-term vision**: the research proposed must address a new and radical long-term vision of a science- and technology-enabled future that is far beyond the state of the art and not currently foreseen by technology roadmaps.
- **Breakthrough scientific and technological target**: research must target a scientifically ambitious and technologically concrete breakthrough, argued to be a crucial step towards achieving the long-term vision. The plausibility of the proposed breakthrough(s) to be attained within the life-time of the project must be argued in the proposal.
- **Novelty**: the research proposed for achieving the breakthrough must be based on cutting-edge knowledge, new ideas and concepts, rather than in the mere application or incremental refinement of existing ones.
- **Foundation**: the breakthroughs that are envisaged must be foundational in the sense that, if achieved, they would establish an essential basis for a new kind of technology and its future uses, not currently anticipated.
- **High-risk**: the inherently high risk of the research proposed will be reflected in a flexible but effective methodology for exploring alternative directions and options, supported by open and agile research and innovation practices.
- **Interdisciplinary**: the proposed collaborations are expected to go beyond ‘waterfall’ configurations in multi-disciplinary science- and technology research. Instead they should seek new solutions through genuine exchanges, mutual learning, cross-fertilisation and synergistic advances among distant disciplines in order to open unexplored areas of investigation and new directions for joint research.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

- Initiating or consolidating a baseline of feasibility or a radically new line of technology and its future uses by establishing the essential proofs-of-principle and their foundational scientific underpinnings.
- Strengthening European leadership in the early exploration of visionary, new and emerging technologies, beyond academic excellence and with global recognition. This impact can be reinforced by involving also new high-potential actors such as young, both female and male, researchers and high-tech SMEs that may become the European scientific and technological leaders and innovators of the future.
- Impact is also sought in terms of the take up of new research and innovation practices for making leading-edge science and technology research more open, collaborative, creative and closer to society.

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Call – FET-Open
Novel ideas for radically new technologies

FETOPEN-03-2017
FET-Open Coordination and Support Actions

Specific challenge
The challenge is to make Europe the best place in the world for collaborative research and innovation on future and emerging technologies that will renew the basis for future European competitiveness and growth, and that will make a difference for society in the decades to come.

Scope
Proposals should address one of the following topics:

a. **FET Futures** [2017]: identifying strategy options, challenges and opportunities to stimulate and organise interdisciplinary research and innovation towards new and visionary technologies of any kind. Actions should rely on evidence from FET activities (e.g., portfolio, constituency, results) and from other sources (including other funding bodies or private initiatives worldwide, like those using prize schemes or challenges). They should aim at open and dynamic stakeholder participation using creative methods and on-line tools/social networks. This topic should include public engagement processes as discussed in the introduction of this FET Work Programme.

b. **FET Exchange** [2017]: actions for structuring and strengthening an emerging FET-relevant science and technology research and innovation topic and the interdisciplinary communities involved in this topic. This may include, for example, research roadmapping, stimulating (formal and informal) learning and exchange, expanding the range of disciplines (including the life sciences and humanities where relevant), involving new actors such as young researchers, entrepreneurs and high-tech SMEs, and broadening stakeholder engagement (multi-actor or citizen).

For scope item a) at most one action will be funded. The Commission considers that proposals requesting a contribution from the EU of between EUR 0.3 and 0.5 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact
- Strengthening globally recognised European leadership in the early exploration of visionary, new and emerging technologies, beyond academic excellence and with a strong engagement of scientists, citizens, innovators and policymakers.
- Improved long-term innovation potential in Europe both from the abundance of novel ideas and the range of actors ready to take them forward.
- Improved understanding of the range of possible impact mechanisms for long-term science and technology research.
- Improved readiness across Europe to engage in silo-breaking research collaboration and to take up new research and innovation practices.

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Call – FET-Open
Novel ideas for radically new technologies

FETOPEN-04-2016-2017
FET Innovation Launchpad

Specific challenge

FET projects often generate new and sometimes unexpected opportunities for commercial or societal application. This topic aims at funding further innovation related work (i.e. activities which were not scheduled to be funded by the original project) to verify and substantiate the innovation potential of ideas arising from FET funded projects and to support the next steps in turning them into a genuine social or economic innovation.

Scope

Short and focused individual or collaborative actions to take out of the lab a promising result or proof-of-concept that originated from a FET-funded project and to get it on the way to social or economic innovation through new entrepreneurship or otherwise. The action will support the transformation of that specific research result into a credible offering for economic or social impact, by exploring the feasibility of an exploitation path and by coordinating and supporting the assembling of the right knowledge, skills and resources and thus serves as a launch pad for exploitation.

This call topic is focused on the early innovation stages from results of an ongoing or recently finished project funded through FET under FP7 or H2020. The complementarity and precise link with the relevant FET project is to be explicitly addressed in the proposal by clearly stating the nature and origin of the results to be taken up, and by adding a confirmation of any necessary agreements with owners or right holders of those results to move towards their exploitation. This call topic does not fund additional research, nor does it fund activities that are/were already foreseen in the relevant FET project. Activities to be funded should be fit-for-purpose (e.g., tailored to the level of maturity of the result to be taken up) and can include, among others, the definition of a commercialisation process to be followed, market and competitiveness analysis, technology assessment, consolidation of intellectual property rights and strategy, scenario and business case development, developing contacts and support relevant activities with for instance, industrial transfer partners, potential licence-takers, investors, societal organisations or potential end users.

By focusing on the very early stage of the innovation path, the scope of this call includes situations where an SME or other suitable entrepreneurial context may not yet exist. The Commission considers that proposals for actions no longer than 18 months and requesting a contribution from the EU of up to EUR 0.1 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals of different duration.

Expected impact

- Increased innovation potential from FET projects by picking up expected as well as non-anticipated innovation opportunities.
- Creation of concrete and closer-to-market high-potential innovations from FET projects.
- Stimulating, supporting and rewarding an open and proactive mind-set towards exploitation beyond the European research world.
- Seeding future growth and the creation of jobs from FET research.

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Research Infrastructures
Call – Support to policy and international cooperation

INFRASUPP-02-2017
Policy and international cooperation measures for research infrastructures

Specific challenge

In the context of the communication for a reinforced ERA partnership for excellence and growth, the focus of the policy support measures is related to the effective investment and use of research infrastructures. Following the communication of the Commission on International Cooperation in Research and Innovation (COM(2012)497), international cooperation for research infrastructures is needed with a number of key third countries/regions seen as strategic for the development, exploitation and management of world-class research infrastructures necessary to address research challenges with a global dimension.

Scope

Proposals will address one of the following areas:

1. Develop a model describing the socio-economic impact of Research Infrastructures and of their related financial investments. The model should be adaptable to a broad range of scientific domains and types of infrastructures. It should contribute to a common approach at international level and facilitate investments in Research Infrastructures by funding agencies and other stakeholders. Proposals will take stock of the different existing models for research infrastructures and integrate, as appropriate, their findings in one single model. The activity should take into account the work of the Research Infrastructure Socio-Economic Impact Working group, being established by the OECD Global Science Forum, and involve major key international players in this domain.

2. European support to the Research Data Alliance, RDA: Proposals are expected to support the development of global interoperable research data infrastructures that will greatly benefit the coordination at European level addressing all the points below. The objective is (a) support to the RDA secretariat for logistics, open access to RDA reference documents and dissemination activities (b) support the emergence of building blocks of an open, interoperable data infrastructure fostering interoperability across regions, organisations and scientific disciplines (c) support ESFRI infrastructures and new communities to engage in Open Science and data sharing principles. In particular, the proposal activities should provide financial support of the organisation and coordination of European stakeholders’ active participation and contribution to the Research Data Alliance.

At least a proposal per area will be selected. The Commission considers that proposals requesting a contribution from the EU of up to EUR 1.5 million for the first area and between EUR 3 and 3.5 million for the second area (RDA) would allow this topic to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

This activity will help to:

- Develop cooperation with key international partners for research infrastructures;
- Enhance the role of the Union in international organisations and multilateral fora.

For the first area (model for socio-economic impact) further expected impact of the action is the enhancement of partnerships between policy makers, funding bodies, academia and industry and the promotion of the development of appropriate tools in support of decision making.

For the second area (European support to RDA) further expected impact of the action is the following: Europe will be in a leading position in enabling the use of the world’s store of research data in multi-disciplinary, data intensive global scientific collaborations. It will help the development and adoption of relevant international open standards based on the best practices of a large spectrum of research communities. It will engage research communities at early stages of standards development and address common data requirements for new services bringing together users and technology providers. It will promote sustainable models for research data sharing and install trust in the adopted solutions.
### Call – Support to policy and international cooperation

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Excellence Science

European Research Council
European Research Council (ERC) funding schemes are open to top researchers of any nationality or age who wish to carry out their frontier research in the 28 EU Member States or associated countries. The ERC encourages in particular proposals that cross disciplinary boundaries, pioneering ideas that address new and emerging fields and applications that introduce unconventional, innovative approaches. There are 3 core funding schemes and one additional scheme for ERC grant holders.

ERC Starting Grant

Objectives
ERC Starting Grants are designed to support excellent Principal Investigators at the career stage at which they are starting their own independent research team or programme. Applicant Principal Investigators must demonstrate the ground-breaking nature, ambition and feasibility of their scientific proposal.

Size of ERC Starting Grants
Starting Grants may be awarded up to a maximum of EUR 1 500 000 for a period of 5 years. However, up to an additional EUR 50 000 can be requested in the proposal to cover (a) eligible “start-up” costs for Principal Investigators moving to the EU or an Associated Country from elsewhere as a consequence of receiving the ERC grant and/or (b) the purchase of major equipment and/or (c) access to large facilities.

Profile of the ERC Starting Grant Principal Investigator
The Principal Investigator shall have been awarded their first PhD at least 2 and up to 7 years prior to 1 January 2016. The effective elapsed time since the award of the first PhD can be reduced in certain properly documented circumstances. A competitive Starting Grant Principal Investigator must have already shown the potential for research independence and evidence of maturity, for example by having produced at least one important publication as main author or without the participation of their PhD supervisor. Applicant Principal Investigators should also be able to demonstrate a promising track record of early achievements appropriate to their research field and career stage, including significant publications (as main author) in major international peer-reviewed multidisciplinary scientific journals, or in the leading international peer-reviewed journals of their respective field. They may also demonstrate a record of invited presentations in well-established international conferences, granted patents, awards, prizes etc.

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<th>Type of action</th>
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<td>18 October 2016</td>
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</table>
ERC – Consolidator Grant

ERC Consolidator Grant

Objectives

ERC Consolidator Grants are designed to support excellent Principal Investigators at the career stage at which they may still be consolidating their own independent research team or programme. Applicant Principal Investigators must demonstrate the ground-breaking nature, ambition and feasibility of their scientific proposal.

Size of ERC Starting Grants

Consolidator Grants may be awarded up to a maximum of EUR 2 000 000 for a period of 5 years. However, up to an additional EUR 750 000 can be requested in the proposal to cover (a) eligible "start-up" costs for Principal Investigators moving to the EU or an Associated Country from elsewhere as a consequence of receiving the ERC grant and/or (b) the purchase of major equipment and/or (c) access to large facilities.

Profile of the ERC Starting Grant Principal Investigator

The Principal Investigator shall have been awarded their first PhD over 7 and up to 12 years prior to 1 January 2016. The effective elapsed time since the award of the first PhD can be reduced in certain properly documented circumstances. A competitive Consolidator Grant Principal Investigator must have already shown research independence and evidence of maturity, for example by having produced several important publications as main author or without the participation of their PhD supervisor. Applicant Principal Investigators should also be able to demonstrate a promising track record of early achievements appropriate to their research field and career stage, including significant publications (as main author) in major international peer-reviewed multidisciplinary scientific journals, or in the leading international peer-reviewed journals of their respective field. They may also demonstrate a record of invited presentations in well-established international conferences, granted patents, awards, prizes etc.

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<td>Call opens on 20 October 2016</td>
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ERC – Advanced Grant

ERC Advanced Grant

Objectives
Advanced Grants are designed to support excellent Principal Investigators at the career stage at which they are already established research leaders with a recognised track record of research achievements. Applicant Principal Investigators must demonstrate the ground-breaking nature, ambition and feasibility of their scientific proposal.

Size of ERC Starting Grants
Advanced Grants may be awarded up to a maximum of EUR 2 500 000 for a period of 5 years. However, up to an additional EUR 1 000 000 can be requested in the proposal to cover (a) eligible "start-up" costs for Principal Investigators moving to the EU or an Associated Country from elsewhere as a consequence of receiving the ERC grant, and/or (b) the purchase of major equipment and/or (c) access to large facilities.

Profile of the ERC Starting Grant Principal Investigator
ERC Advanced Grant Principal Investigators are expected to be active researchers and to have a track record of significant research achievements in the last 10 years which must be presented in the application. There is little prospect of an application succeeding in the absence of such a record, which identifies investigators as exceptional leaders in terms of originality and significance of their research contributions.

Thus, in most fields, Principal Investigators of Advanced Grant proposals will be expected to demonstrate a record of achievements appropriate to the field and at least matching one or more of the following benchmarks:

- 10 publications as senior author (or in those fields where alphabetic order of authorship is the norm, joint author) in major international peer-reviewed multidisciplinary scientific journals, and/or in the leading international peer-reviewed journals and peer-reviewed conferences proceedings of their respective field;
- 3 major research monographs, of which at least one is translated into another language. This benchmark is relevant to research fields where publication of monographs is the norm (e.g. humanities and social sciences).

Other alternative benchmarks that may be considered (individually or in combination) as indicative of an exceptional record and recognition in the last 10 years:

- 5 granted patents;
- 10 invited presentations in well-established internationally organised conferences and advanced schools;
- 3 research expeditions led by the applicant Principal Investigator;
- 3 well-established international conferences or congresses where the applicant was involved in their organisation as a member of the steering and/or organising committee;
- International recognition through scientific or artistic prizes/awards or membership in well-regarded Academies or artefact with documented use (for example, architectural or engineering design, methods or tools);
- Major contributions to launching the careers of outstanding researchers;
- Recognised leadership in industrial innovation.
# ERC – Advanced Grant

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<th>Type of action</th>
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<td>ERC-2017-ADG</td>
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<td>Call opens on 16 May 2017</td>
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ERC Proof of Concept Grants

Objectives

Frontier research often generates unexpected or new opportunities for commercial or societal application. The ERC Proof of Concept Grants aim to maximise the value of the excellent research that the ERC funds, by funding further work (i.e. activities which were not scheduled to be funded by the original ERC frontier research grant) to verify the innovation potential of ideas arising from ERC funded projects. Proof of Concept Grants are therefore on offer only to Principal Investigators whose proposals draw substantially on their ERC funded research.

Eligible Principal Investigator

All Principal Investigators in an ERC frontier research project, that is either on going or has ended less than 12 months before 1 January 2017, are eligible to participate and apply for an ERC Proof of Concept Grant. A Principal Investigator whose proposal was rejected on the grounds of a breach of research integrity in the calls for proposals under Work Programmes 2015 or 2016 may not submit a proposal to the calls for proposals made under Work Programme 2017.

Maximum size of grant and grant assessment

The financial contribution will be up to a maximum of EUR 150 000 for a period of 18 months. The ERC expects that normally, proof of concept projects should be completed within 12 months. However, to allow for those projects that require more preparation time, projects will be signed for 18 months. Given this initial flexibility, extensions of the duration of proof of concept projects may be granted only exceptionally.

The overall level of the funding offered will be assessed during the evaluation. The funding requested by the applicant will be judged against the needs of the proposed activity before award. The funding requested by the Principal Investigator must be fully justified by an estimation of the actual costs for the proposed activities.

The Union financial contribution will take the form of the reimbursement of up to 100% of the total eligible and approved direct costs and of flat-rate financing of indirect costs on the basis of 25% of the total eligible direct costs. The level of the awarded grant represents a maximum overall figure – the final amount to be paid must be justified on the basis of the costs actually incurred for the project.

The indicative budget for this call for 2017 is EUR 20 000 000 (approximately one-third of which will be for each of the three evaluation rounds following three specific deadlines - proposals submitted before each cut-off date will be evaluated with the proposals submitted before the same cut-off date).

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<th>Type of action</th>
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<td>25 April 2017</td>
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<td>05 September 2017</td>
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Marie Skłodowska-Curie Action
MSCA – Marie Skłodowska-Curie Action

Innovative Training Networks

Marie Skłodowska-Curie Action

The Marie Skłodowska-Curie actions (MSCA) support researchers at all stages of their careers, irrespective of nationality. MSCA are entirely bottom-up and are open to all domains of research and innovation from basic research up to market take-up and innovation services.

MSCA-ITN-2017: Innovative Training Networks

Objective

The Innovative Training Networks (ITN) aim to train a new generation of creative, entrepreneurial and innovative early-stage researchers, able to face current and future challenges and to convert knowledge and ideas into products and services for economic and social benefit.

ITN will raise excellence and structure research and doctoral training, extending the traditional academic research training setting, incorporating the elements of Open Science and equipping researchers with the right combination of research-related and transferable competences. It will provide enhanced career perspectives in both the academic and non-academic sectors through international, interdisciplinary and intersectoral mobility combined with an innovation-oriented mind-set.

Scope

ITN supports competitively selected joint research training and/or doctoral programmes, implemented by partnerships of universities, research institutions, research infrastructures, businesses, SMEs, and other socio-economic actors from different countries across Europe and beyond.

Partnerships take the form of collaborative European Training Networks (ETN), European Industrial Doctorates (EID) or European Joint Doctorates (EJD).

Each programme should have a clearly identified supervisory board co-ordinating network-wide training and establishing active and continuous communication and exchange of best practice among the partners to maximise the benefits of the partnership.

The programme should exploit complementary competences of the participating organisations, and enable sharing of knowledge, networking activities, the organisation of workshops and conferences.

Training responds to well identified needs in defined research areas, with appropriate references to inter- and multidisciplinary fields and follows the EU Principles for Innovative Doctoral Training. It should be primarily focused on scientific and technological knowledge through research on individual, personalised projects.

In order to increase the employability of the researchers, the research training should be complemented by the meaningful exposure of each researcher to the non-academic sector.

Secondments of the researcher to other beneficiaries and partner organisations are encouraged.

Substantial training modules, including digital ones, addressing key transferable skills common to all fields and fostering the culture of Open Science, innovation and entrepreneurship will be supported.

In order to reflect on the changing nature of research, training should prepare early-stage researchers for an increased research collaboration and information-sharing made possible by new technologies (e.g. collaborative tools, open access, raw data, etc.).

A Career Development Plan should be established jointly by the supervisor(s) and the early-stage researcher recruited by the selected network. In addition to research objectives, this plan comprises the researcher’s training and career needs, including planning for publications and participation in conferences.

Attention is paid to the quality of supervision and mentoring arrangements as well as career guidance. Joint supervision of
the researchers is mandatory for EJD and for EID, and encouraged in ETN. In EID, the joint supervision of the researcher must be ensured by at least one supervisor from the academic sector and one supervisor from the non-academic sector. These arrangements will be taken into account during the evaluation of the proposal.
In EID and EJD, fellowships offered to early-stage researchers should lead to a doctoral degree. EJD result in joint11, double or multiple doctoral degrees12 awarded by institutions from at least two different countries.
In EID and EJD, enrolment in a doctoral programme and the creation of a joint governance structure - with joint admission (EJD only), selection, supervision, monitoring and assessment procedures - is mandatory. These arrangements will be taken into account during the evaluation of the proposal.

Expected impact

At researcher level:
- Increased set of skills, both research-related and transferable ones, leading to improved employability and career prospects both in and outside academia (leading in the longer-term to more successful careers)
- Increase in higher impact R&I output and more knowledge and ideas converted into products and services
- Greater contribution to the knowledge-based economy and society

At organisation level:
- Enhanced cooperation and better transfer of knowledge between sectors and disciplines
- Improvement in the quality of training programmes and supervision arrangements
- Creation of new networks and enhanced quality of existing ones
- Boosting R&I capacity among participating organisations
- Increased internationalisation of participating organisations

At system level:
- Increase in international, interdisciplinary and intersectoral mobility of researchers in Europe
- More structured and innovative doctoral training, enhanced implementation of the European Charter and Code and the EU Principles for Innovative Doctoral Training
- Stronger links between the European Research Area (ERA) and the European Higher Education Area (EHEA), notably through supporting the knowledge triangle between research, innovation and education
- Improvement in the working and employment conditions for doctoral candidates in Europe
- Increased societal and economic relevance of European higher education
- Strengthening Europe's human capital base in R&I with a new generation of more entrepreneurial and highly-skilled early career researchers
- Increase in Europe's attractiveness as a leading research destination, accompanied by a rise in the numbers of talented researchers attracted and retained from abroad
- Better quality research and innovation contributing to Europe's competitiveness and growth

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<tr>
<th>Type of action</th>
<th>European Industrial Doctorates, European Joint Doctorates, European Training Networks</th>
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<tr>
<td>Deadline</td>
<td>10 January 2017</td>
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<tr>
<td>Call identifier</td>
<td>H2020-MSCA-ITN-2017</td>
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MSCA – Marie Skłodowska-Curie Action

Individual Fellowships

MSCA-IF-2017: Individual Fellowships

Objective

The goal of the Individual Fellowships is to enhance the creative and innovative potential of experienced researchers, wishing to diversify their individual competence in terms of skill acquisition through advanced training, international and intersectoral mobility.

Individual Fellowships provide opportunities to acquire and transfer new knowledge and to work on research and innovation in a European context (EU Member States and Associated Countries) or outside Europe. The scheme particularly supports the return and reintegration of researchers from outside Europe who have previously worked here. It also develops or helps to restart the careers of individual researchers that show great potential, considering their experience.

Scope

Support is foreseen for individual, trans-national fellowships awarded to the best or most promising researchers of any nationality, for employment in EU Member States or Associated Countries. It is based on an application made jointly by the researcher and the beneficiary in the academic or non-academic sectors.

Only one proposal per individual researcher will be evaluated.

Fellowships take the form of European Fellowships or Global Fellowships. European Fellowships are held in EU Member States or Associated Countries and are open to researchers either coming to Europe from any country in the world or moving within Europe. The researcher must comply with the rules of mobility in the country where the European Fellowship is held.

Return and reintegration of researchers into a longer term research position in Europe, including in their country of origin, is supported via a separate multi-disciplinary reintegration panel of the European Fellowships. For the reintegration panel, there shall be mobility into Europe.

Support to individuals to resume research in Europe after a career break, e.g. after parental leave, is ensured via a separate multi-disciplinary career restart panel of the European Fellowships. To qualify for the career restart panel, researchers must not have been active in research for at least 12 months immediately prior to the deadline for submission.

Researchers seeking to work on research and innovation projects in an organisation from the non-academic sector will be supported via a separate multi-disciplinary society and enterprise panel of the European Fellowships. The objective of this panel is to facilitate career moves between the academic and non-academic sectors and to open attractive career opportunities for researchers outside academia.

Global Fellowships are based on a secondment to a third country and a mandatory 12 month return period to a European host. The researcher must comply with the rules of mobility in the country where the Global Fellowship secondment takes place, not for the country of the return phase.

Researchers receiving an Individual Fellowship may opt to include a secondment phase in Europe, notably in the non-academic sector, within the overall duration of their fellowship. For a fellowship of 18 months or less, the secondment phase may last up to three months. For a fellowship of more than 18 months, the secondment phase may last up to six months. The secondment phase can be a single period or be divided into shorter mobility periods. The secondment should significantly add to the impact of the fellowship.

A Career Development Plan should be established jointly by the supervisor(s) and the researcher. In addition to research or innovation objectives, this plan comprises the researcher’s training and career needs, including training on transferable skills, planning for publications and participation in conferences.

Expected impact

At researcher level:

- Increased set of skills, both research-related and transferable ones, leading to improved employability and career prospects both in and outside academia
- Increase in higher impact R&I output, more knowledge and ideas converted into products and services
- Greater contribution to the knowledge-based economy and society
MSCA – Marie Skłodowska-Curie Action
Individual Fellowships

At organisation level:
- Enhanced cooperation and stronger networks
- Better transfer of knowledge between sectors and disciplines
- Boosting of R&I capacity among participating organisations

At system level:
- Increase in international, interdisciplinary and intersectoral mobility of researchers in Europe
- Strengthening of Europe’s human capital base in R&I with more entrepreneurial and better trained researchers
- Better communication of R&I results to society
- Increase in Europe’s attractiveness as a leading destination for R&I
- Better quality research and innovation contributing to Europe’s competitiveness and growth

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<th>Type of action</th>
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<td>Call identifier</td>
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MSCA – Marie Skłodowska-Curie Action
Research and Innovation Staff Exchange

MSCA-RISE-2017: Research and Innovation Staff Exchange

Objective

The RISE scheme will promote international and inter-sector collaboration through research and innovation staff exchanges, and sharing of knowledge and ideas from research to market (and vice-versa).

The scheme fosters a shared culture of research and innovation that welcomes and rewards creativity and entrepreneurship and helps to turn creative ideas into innovative products, services or processes.

Scope

RISE involves organisations from the academic and non-academic sectors (in particular SMEs), based in Europe (EU Member States and Associated Countries) and outside Europe (third countries).

Support is provided for the development of partnerships in the form of a joint research and innovation project. This is aimed at knowledge sharing via international as well as intersectoral mobility, based on secondments of research and innovation staff (exchanges) with an in-built return mechanism.

The organisations constituting the partnership contribute directly to the implementation of a joint research and innovation project by seconding and/or hosting eligible staff members. Secondments shall always take place between legal entities independent from each other.

RISE should exploit complementary competences of the participating organisations, as well as other synergies, and enable networking activities, organisation of workshops and conferences to facilitate sharing of knowledge, new skills acquisition and career development for research and innovation staff members.

RISE projects can focus either on one dimension of mobility (intersectoral / international), or include a combination of both.

Exchanges can be for both early-stage and experienced researchers’ levels and can also include administrative, managerial and technical staff directly involved in the research and innovation activities of the proposal.

Support for the exchanges between institutions within Europe (EU Member States and Associated Countries) covers only intersectoral secondments.

Expected impact

At staff member level:

- Increased set of skills, both research-related and transferable ones, leading to improved employability and career prospects both in and outside academia
- Increase in higher impact R&I output, more knowledge and ideas converted into products and services
- Greater contribution to the knowledge-based economy and society

At organisation level:

- Enhanced cooperation and transfer of knowledge between sectors and disciplines
- Strengthening of international and intersectoral collaborative networks
- Boosting of R&I capacity among participating organisations

At system level:

- Increase in international, interdisciplinary and intersectoral mobility of researchers in Europe
- Strengthening of Europe’s human capital base in R&I
- Increase in Europe’s attractiveness as a leading destination for R&I
- Better quality R&I contributing to Europe’s competitiveness and growth
### MSCA – Marie Skłodowska-Curie Action
### Research and Innovation Staff Exchange

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MSCA – Marie Skłodowska-Curie Action
Co-funding of regional, national and international programmes

MSCA-COFUND-2017: Co-funding of regional, national and international programmes

Objective
The COFUND scheme aims to stimulate regional, national or international programmes to foster excellence in researchers’ training, mobility and career development, spreading the best practices of Marie Skłodowska-Curie actions. This will be achieved by co-funding new or existing regional, national, and international programmes to open up to, and provide for, international, intersectoral and interdisciplinary research training, as well as transnational and cross-sectoral mobility of researchers at all stages of their career.

Scope
Each proposal funded under the COFUND scheme shall have a sole beneficiary that will be responsible for the availability of the necessary matching funds to execute the proposal. Applicants submit multi-annual proposals for new or existing doctoral programmes or fellowship programmes which are expected to have an impact on enhancing research- and innovation related human resources on regional, national or international level.

Applicants having benefited from COFUND under previous calls (under the Seventh Framework Programme or under Horizon 2020) will explain how their proposal adds value in relation to previous grant(s). This can also take the form of increased networking with organisations in less represented countries or capacity building measures there.

Researchers supported under this scheme shall comply with the mobility rules of the Marie Skłodowska-Curie actions. Limitations regarding the researchers’ origin and destination should be avoided. Support cannot be awarded to researchers who are already permanently employed by the organisation hosting them.

Proposed programmes are encouraged to cover all research disciplines (“bottom-up”), but can also focus on specific disciplines. In this case the range of covered disciplines should allow reasonable flexibility for the researchers.

Programmes that prioritise specific research disciplines based on national or regional Research and Innovation Strategies for Smart Specialisation (RIS3 strategies) can also be supported. Synergies with the European Structural & Investment Funds (ESIF) are encouraged.

COFUND takes the form of:

A) Doctoral programmes
Doctoral programmes address the development and broadening of the research competencies of early-stage researchers. The training follows the EU Principles on Innovative Doctoral Training. Collaboration with a wider set of partner organisations, including from the non-academic sector, which may provide hosting or secondment opportunities or training in research or transferable skills, as well as innovative elements of the proposed programme, will be positively taken into account during the evaluation.

Each researcher must be enrolled in a doctoral programme. Attention is paid to the quality of supervision and mentoring arrangements as well as career guidance.

B) Fellowship programmes
Fellowship programmes fund individual research training and career development fellowships for experienced researchers. The programmes supported should have regular selection rounds following fixed deadlines or regular cut-off dates, allowing fair competition between the researchers applying. The selections should be based on open, widely advertised competition, with transparent international peer review and the selection of candidates on merit. Mobility types supported by fellowship programmes may be similar to the ones supported under Marie Skłodowska-Curie Individual Fellowships. On top of transnational mobility, applicants are encouraged to include elements of cross-sectoral mobility into their programmes. Fellowship programmes should be based on individual-driven mobility, i.e., researchers should be able to freely choose a research topic and the appropriate organisation to host them, fitting their individual needs.

Given that the aim of the co-funded fellowship programmes is the support of individual fellows, research teams will not be funded.
Expected impact

At researcher level:
  • Increased set of skills, both research-related and transferable ones, leading to improved employability and career prospects both in and outside academia (leading in the longer-term to more successful careers)
  • Increase in higher impact R&I output, more knowledge and ideas converted into products and services
  • Greater contribution to the knowledge-based economy and society

At organisation level:
  • Development of high quality human resources
  • Boosting R&I capacity among participating organisations
  • Enhanced cooperation and transfer of knowledge between sectors and disciplines
  • Strengthening of international and intersectoral collaborative networks

At system level:
  • Aligning of practices and policies in the context of the EU Human Resources Strategy for Researchers, enhanced implementation of the European Charter and Code and the EU Principles for Innovative Doctoral Training at regional, national or international level
  • Increase in international, interdisciplinary and intersectoral mobility of researchers in Europe
  • Improvement in the working and employment conditions for researchers in Europe at all levels of their career, starting from the doctoral stage
  • Strengthening of Europe's human capital base in R&I
  • Increase in Europe's attractiveness as a leading destination for R&I
  • Better quality R&I contributing to Europe's competitiveness and growth

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<td>Call identifier</td>
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Science with and for Society
Support to research organisations to implement gender equality plans

Specific challenge

Gender equality is a key priority of the European Research Area. The Communication "A Reinforced European Research Area: Partnership for Excellence and Growth" invites research performing organisations (RPOs), including Higher Education Institutions, as well as research funding organisations (RFOs) to take action to promote gender equality in R&I by implementing institutional changes relating to HR management, funding, decision-making and research programmes through Gender Equality Plans, with the following objectives:

- Removing barriers to the recruitment, retention and career progression of female researchers;
- Addressing gender imbalances in decision making processes;
- Strengthening the gender dimension in research programmes.

Scope

The action provides support to RPOs and RFOs in order to implement Gender Equality Plans (GEPs) as "drivers" for systemic institutional changes. The proposed GEPs structure must address the following:

- Conduct assessment / audit of procedures and practices, including relevant data on HR management, teaching and research activities, in order to identify gender bias at organisation level;
- Implement effective strategies to address gender bias; this shall include actions such as family-friendly policies (e.g. work schedule's flexibility; parental leave; mobility, dual-career couples), gender planning and budgeting, training on gender equality in Human Resources (HR) management, the integration of gender dimension in research content and programmes and/or the inclusion of gender studies in Higher Education Institution curricula;
- Set targets and monitor progress via indicators at organisation level.

The proposals must include a first assessment of gender issues in each partner organisation. Based on this assessment, effective strategies will be designed to cover organisational features, people and processes, as well as potential impacts.

The proposals shall also explain the planned GEPs in the context of existing national provisions (national legislation, specific incentives, possible barriers, etc) relating to gender equality in research. They shall explain how they will contribute to the achievement of the European Research Area (ERA) objectives on gender equality.

The RPOs - including Higher Education Institutions- and RFOs, involved as partners in the consortium must be at a starting/initial stage in the setting-up of gender equality plans. The allocation of resources within the consortium shall focus on the implementation of GEPs in the partner organisations. If a limited number of other partners which are not implementing GEP's are part of the consortium, they shall explain their role and their specific contribution to the project in line with the text and requirements of the call.

The proposals shall ensure the support from their highest management level and provide proof of their commitment in the implementation of GEPs. The role of the middle management in the implementation of the GEPs shall be explained. Participation of RFOs and professional associations in the consortium is recommended.

The proposals shall include a methodology for impartially evaluating the progress made on gender equality plans throughout the duration of the project. The methodology for the evaluation should be thought as formative, helping the partners to adapt their GEP's as necessary. This activity could be dedicated to a specific partner organisation within the consortium with the appropriate expertise or it can be subcontracted. Specific work package and deliverable(s) should be introduced in the proposal.

Project duration of at least 48 months is recommended.

The Commission considers that proposals requesting a contribution from the EU of between EUR 1.5 million and 2.03 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.
Expected impact

The proposed action will contribute to increase the number of RPOs and RFOs starting to implement gender equality plans pursuing the three objectives mentioned above under "specific challenge". In the medium to long term, activities will contribute to the achievement of ERA in particular by increasing the number of female researchers, improving their careers and mobility. The integration of the gender dimension in research programmes and content will contribute to the quality of research and the social value of innovations.

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SwafS-05-2017:
New constellations of Changing Institutions and Actors

Specific challenge
Key institutions like universities and funding agencies are changing, in general and occasionally with respect to RRI issues. In calls for ‘civic universities’ or ‘citizen companies’ one can see RRI issues at play, without necessarily having them labelled as such.

Member States are reconsidering their science, technology and innovation policy. New actors such as regions, cities, social entrepreneurs and NGOs of various kinds are becoming important, and new forms of governance are emerging, partly bottom-up. The ‘triple helix’ of science, industry and government is expanding to a model of a ‘quadruple helix’ with a fourth strand, the public sphere. At the same time, there is the move to smart specialization, of regions and countries, as well as sectors. Clearly, it is important to support such changes within and between actors and stakeholders when they help articulate good practices.

Scope
The present topic focuses on the importance of new constellations of actors, already visible in public-private partnerships and open science and open innovation, but now becoming broader and more heterogeneous. This is both about new constellations of existing actors (as in public-private interactions) and new or modified constellations because of new actors joining in. The proposals will be initiated by consortia of relevant existing and new actors (research organizations, industry, civil society organizations, and policy makers), articulating evolving practices against the overall backdrop of transformations and tensions as underlined above. There will be a reflective aspect as well, in mapping and analysing what is happening, and perhaps placing it in larger economic frameworks. The reflection is an essential complement to the interactions between the various relevant organizations and actors, in terms of exchanges about good practices and exploring new collaborations.

The proposals would require specific attention to RRI issues, but not necessarily be limited to it. Given the variety of interests and possible tensions, a somewhat independent actor might lead the project, as some of these independent actors have actually already shown an interest and are engaged in RRI. One generally acknowledged way of managing conflict and nurturing trust is via “boundary organisations” that act as brokers or mediators between science and society with credibility in the eyes of both.

The Commission considers that proposals requesting a contribution from the EU of between EUR 3 million and 3.55 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact
The proposed action is expected to enable diversification of constellations of actors and stakeholders in Research and Innovation processes, a spread of good practices among them, and a transformation in their governance framework.

Type of action | Coordination and support action
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Deadline | 30 August 2017
Call identifier | H2020-SwafS-2016-17
SwafS-06-2017
Engaging industry – Champions for RRI in Industrial Sectors

Specific challenge

There is already experience with RRI issues in industry, for example in connection with Nanoscience and Nanotechnologies. Now that other domains are drawing public attention (e.g. synthetic biology, geo-engineering), the challenge is to take stock, drawing also on existing analyses, and to progress further in integrating RRI in industrial contexts.

Earlier and present activities initiated and carried by RRI ‘champions’ in industrial sectors can be a starting point. The early Responsible Care Programme of the chemical industry and various attempts at codes of conduct for Nano science and Nanotechnologies are quite well-known examples, as are various initiatives referring to sustainability, but one should not overlook smaller and less visible examples. There are also broad-brush initiatives related to social responsibility of organizations and sustainability (e.g. Vision 2050) and which want to pay attention, explicitly or implicitly, to RRI (e.g. EIRMA Task Force on Responsible Innovation). There are the activities which go further than Corporate Social Responsibility, because they are linked to technological innovations.

There are various motives and drivers in these developments, including the importance of having or keeping a social licence to operate, i.e. an acceptance from various stakeholders and communities as a prerequisite to operations. Nevertheless, because of the variety of values and societal convictions, there will be no consensus about who or what is going to count as ‘responsible’. This constitutes a structural problem, not only because of essential contestations in our societies, but also because what is ‘responsible’ can be interpreted differently by different actors, while each of them wants to use it to describe how he is doing the right thing. The narrative of ‘inclusion’ compounds this problem.

Scope

Two considerations are important within the scope of this topic. First, the narrative of ‘inclusion’, also implicitly in the way terms like ‘inclusive’ are used, suggests that more actors and more inputs should be included in the work of traditional organisations. These organisations might feel beleaguered, and be reluctant. Proposals to do better often start with suggestions on how to create more access for societal actors to the ‘beleaguered’ organisations, which reinforces the storyline. Second, to reduce the effect of mutual suspicions about intentions, the proposals should create (and be themselves) a space guided by actors (or a combination of actors) who would themselves be above the struggles of suspicion and the deadlocks these create. The participants in the project will be mostly companies and industry organisations, but can also include other entities, e.g. private foundations and/or so-called third parties like organisations specialized in supporting changes toward responsible innovation or re-insurance companies. It is expected that several companies join forces to experiment new ways of developing their research and innovation activities in the line of RRI.

To address this specific challenge, proposals should have a wide geographical coverage. It is therefore expected that consortia would include at least entities from 10 different Member States or Associated Countries, although smaller consortia will also be eligible and may be selected.

The Commission considers that proposals requesting a contribution from the EU of between EUR 3 million and 3.55 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact

This action aims at the development of new approaches to innovation (be they technical, social or otherwise) in industrial context. It will use and improve existing training instruments funded by the European Commission (e.g. RRI-TOOLKIT of the project RRI-TOOLS). It will help disseminate good RRI practices in industrial circles.

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SwafS-08-2017: European Community of Practice to support institutional change

Specific challenge

Gender equality strategy in research and innovation policy is given a growing attention at the level research performing organizations, including universities and funding organisations, in particular through institutional change and with the objectives of:

- Removing barriers to the recruitment, retention and career progression of female researchers;
- Addressing gender imbalances in decision making processes;
- Strengthening the gender dimension in research programmes.

These objectives are pursued at EU level in Horizon 2020 and at national level in the European Research Area, with the support to Gender Equality Plans and to research on gender (e.g. gender studies, gender medicine, gender in transport). Implementing these policy objectives entails the involvement and development of gender-in-science infrastructures, centres or departments. They need to learn from each other and work together to share best practice and knowledge. There is a need of exchange and cooperation between experienced and less experienced centres / stakeholders from which all should gain and progress on the gender equality objectives.

Scope

The funded action will create a community of practice of research and practitioners centres experienced in gender equality in research and innovation policies aim at:

- sharing lessons learned from institutional change projects and from institutions with higher expertise and transformation experiences
- developing tools to share their lessons learned and stimulate activities in less advanced institutions
- sharing experience with and mentoring institutions who want to implement structural change and advance on gender knowledge
- providing information and training about gender in academic careers and setting gender equality plans, thereby encouraging less advanced organisations to engage in institutional change
- creating and facilitating a forum in which experienced and less experienced stakeholders meet and share their experiences

The proposals shall undertake an assessment of the needs of the less advanced institutions or countries. The Commission considers that proposals requesting a contribution from the EU of the order of 3 million EUR would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact

Improved inter- centre and transnational learning on knowledge and practices on gender equality in research and innovation; increased gender expertise across Europe; increased engagement across Europe for institutional change to promote gender equality.

The activities will contribute to increase the number of research organisations implementing gender equality plans to the achievement of the ERA.

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SwafS-10-2017: Putting Open Science into action

Specific challenge
The challenge is to operationalise an Open Science rationale for one or more of the societal challenges defined under Horizon 2020. This should be done by a knowledge coalition based on a quadruple helix model of innovation in which civil society organisations, industry, government and academia are committed to work together and share knowledge and data among each other and interested third parties, thus putting Open Science in action in order to produce Responsible Research and Innovation solutions for a particular societal challenge. As citizens and civil society organisations are becoming increasingly involved in research and innovation projects and processes, an input by Citizen Scientists can be considered for the present specific challenge.

Scope
Proposals can be inspired (but not exclusively) by previous Mobilisations and Mutual Learning Action Plans (MMLs) funded by the European Commission, in their methods or actual design and outcomes. MMLs bringing together a wide diversity of actors to deliberate and share on matters of science, technology and innovation, they can ensure an evidence-based, both knowledge and value-driven approach in support of EU policies. The proposals should enable trans-disciplinary research and innovation cooperation.

Proposals will focus on one or more of the following challenges, at multiple geographical scales (global to local):
- Health, demographic change and wellbeing;
- Food security, sustainable agriculture and forestry, marine and maritime and inland water research, and the Bioeconomy;
- Secure, clean and efficient energy (in line with the Commission priority for 2014-2019, Energy Union);
- Smart, green and integrated transport;
- Climate action, environment, resource efficiency and raw materials;
- Europe in a changing world - inclusive, innovative and reflective societies (in line with the Commission priorities for 2014-2019, ‘Stronger Global Actor’, ‘a Union of Democratic Change’ and a ‘New Policy on Migration’) including Social Science and Humanities and Big Data;
- Secure societies - protecting freedom and security of Europe and its citizens.

To be of real impact, proposals must ensure research and innovation solutions and their possibility can be increased by public-private partnerships whereby Citizen Science can also involved,

In line with the strategy for EU international cooperation in research and innovation (COM(2012)497), international cooperation is encouraged.

The Commission considers that proposals requesting a contribution from the EU of the order of EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact
The knowledge coalitions and the adoption of a responsible research and innovation approach will facilitate the uptake of socially acceptable innovative solutions. The topic will provide an Open Science pilot which will become a reference for other scientific endeavours. It will demonstrate how Open Science and RRI can be used to foster effective science-policy-society interfaces to support research and innovation at various geographical levels, in Europe. It will foster socially responsible citizen science approaches embedding the concept of RRI. It will provide EU leadership in this emerging practice of science, within Europe and in a wider global context.

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SwafS-11-2017
Science education outside the classroom

Specific challenge

Much analysis has been carried out on the importance of science education both in schools and in higher education. However, science education outside the classroom, which refers to informal science education, and the science education effects of non-educational activities, are not well explored in their nature and effects. Acquiring knowledge, and in particular, evaluating knowledge, often with the help of the Internet, is what is frequently happening in reality, and should be recognised for what it contributes in terms of more sophisticated consumers and scientific citizenship. Consideration on what is available and what is being learnt would be useful to understand how science education outside the classroom influences today's citizens.

Scope

The available knowledge on science education outside the classroom and its impact on citizens need to be analysed, taking into account possible gender and geographical differences and the analysis including socio-economically disadvantaged groups. The analysis include socio-economically disadvantaged groups. The proposed action shall specify if this type of learning complements the classroom or succeeds where the classroom might have failed. Consideration shall be given to the impact that can be achieved by science education outside schools and how this form of informal schooling might be accredited and whether there is a way of assessing the quality of the educational contents.

To address this specific challenge, proposals should have a wide geographical coverage. It is therefore expected that consortia would include at least entities from 10 different Member States or Associated Countries, although smaller consortia will also be eligible and may be selected. The Commission considers that proposals requesting a contribution from the EU of the order of EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact

In the short term, the proposed action will identify good practices in terms of science education outside the classroom and consider the impact this information has on formal and informal science education for students and citizens. In the medium term, the results of the present action will help the EU to better understand the effects of science education outside the regular education institutions and will increase the range of innovative products in science education that reflect societal needs. In the long term the results of the research should contribute to considerations on accrediting the available information.

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SwafS-12-2017
Webs of Innovation Value Chains and Openings for RRI

Specific challenge

The challenge is to model and better understand the dynamics of the complex webs of innovation value chains and the openings they offer for RRI. The key idea is that of crisscrossing ‘innovation value chains’. Innovations and prototypes, business-to-business products and final products move from one organization (entity) to another and are transformed in the process, value is added in the transactions and appropriated. Third-party actors are involved such as standardization bodies and insurance companies, but also, and increasingly, NGOs. While there is a direction to the eventual product flows, initiatives may emerge anywhere, there is no simple linearity (cf. the chain-link model of innovation) and, even more, no beginning nor end (cf. circular economy). Chains can change, split, be re-arranged, crisscross, and co-evolve with changing business models. In general, industry and service structures consist of webs of crisscrossing chains, forming broader structures, consisting of more than the traditional economic actors. There are uncertainties involved in the evolution of these webs, e.g. with the promise of large-area polymeric semi-conducting materials that can be printed. Will the key driver of the eventual chains in this domain be the materials manufacturers, the printing companies, or the various application sectors?

Scope

Given this perspective, the key point of the present topic is that there are openings for RRI in these webs of chains, building on what is there already and/or inserting it if there is an opportunity. Thus, this action shall start with the economic world rather than see RRI as only impinging on it from the outside. It draws on the theme of exploration of intermediaries and boundary spanners, but creates additional focus, as underlined here below by the questions and issues that could be addressed under this topic.

The experience with stage-gate approaches in R&D and product development, as practiced within a few firms, has been taken up by some Member States as a framework for their approach to RRI, and applied in a few cases. What could be explored is whether stage-gate processes could be applied across organisations in an innovation chain, and create openings to include RRI not just in the assessments during the 'gate', but also during the 'stage', to anticipate on the eventual assessment.

When novelties (new options) are introduced, articulated and taken up, chains can shift and split (for example in additive manufacturing, and in the uses of mobile telephony) and new chains may emerge. This can just happen, but increasingly, actors try to anticipate and influence what happens to serve their interests, or otherwise pursue desirable goals. There is joint strategy articulation, occasionally supported by Constructive Technology Assessment, road mapping, and indications and narratives to monitor performance in a forward-looking manner, as in notions like technological readiness. There are openings here, for example by adding ‘societal readiness’ levels to technological readiness levels, and making sure that ‘societal readiness’ has pro-active elements, and is not just another term for ‘societal acceptability’.

More generally, the reference to responsibility that is part of RRI is not about retrospective responsibility, as in accountability and liability, but about prospective responsibility, with its expectation, perhaps obligation, to do well. The requirement can be seen as a call ‘to show an honest effort’. This phrase has been used to assess technology forcing measures (as in the California air pollution legislation). One opening for RRI would then be to operationalise it as ‘an honest effort’ to achieve desirable outcomes in innovation chains and eventual product-value chains, responding to societal values.

This illustration of possible openings for RRI becoming visible through the perspective of webs of crisscrossing and shifting/emerging chains, is not exhaustive. It shows, though, that it is a generative perspective. It can also contribute to other parts of Horizon 2020. For example, questions about the role of SMEs, or of small-holder farmers, can be explored by inquiring into their functioning in present and emerging webs of crisscrossing chains. ‘Open innovation’ can become more than a fashionable catchword, at the same time making operational how RRI fits in.

This action will show, and induce, relevant change, without having to go through definitional exercises about RRI first, because the thrust is to go for ‘openings to do better’. Rather than ‘growth’ per se, often defined in terms of competition only, the result will be higher quality outcomes and better jobs (‘better technology in a better society”).

Dedicated Topic
To address this specific challenge, proposals should have a wide geographical coverage. It is therefore expected that consortia would include at least entities from 10 different Member States or Associated Countries, although smaller consortia will also be eligible and may be selected. The Commission considers that proposals requesting a contribution from the EU of the order of EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact

The development of a model and a better understanding of the webs of Innovation Value Chains will set a stronger knowledge base for policy orientations regarding innovation. This will facilitate the dissemination and integration of good RRI practices thanks to the identification of 'openings' for RRI. This action will strengthen the SWAFS knowledge base, but also promote institutional changes in Research Funding (RFO) and Research Performing Organizations (RPO), as well as in and across organisations involved in innovation and its embedding in society.

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Integrating Society in Science and Innovation – An approach to co-creation

Specific challenge

There is increasing interest, and occasional experiments in processes of co-construction (e.g. agenda-building and policy inputs, co-evaluation, co-funding) and co-production (e.g. citizen science). Sometimes, it is deemed sufficient to have such processes occur, but one could also consider their content and how society would be integrated through approaches like value-sensitive design and gender-sensitive design. There are also combinations of process and content, as with place-based activities involving smart cities, living labs, and the regional dimension linked to Smart Specialization Strategies. For the gender dimension, research has already been funded to outline the loss to society and economy of not taking gender aspects into account in research organization and research design. Such questions can be raised for other dimensions of RRI as well.

While traditional approaches to public engagement will remain, this topic constitutes an opening towards the ‘new wave’ of public engagement where ‘co-creation’ is a key notion. It will provide innovative solutions to the more heavily technology and/or systems oriented approaches in other parts of Horizon2020.

Approaches and openings to the “creation of spaces for public engagement” (Rome Declaration), including the development and use of temporary and permanent physical spaces (e.g. exhibitions, events), will contribute towards the processes of involving European citizens and the co-creation of knowledge.

Scope

The topic could become an umbrella for all sorts of projects, allowing benchmarking and comparisons. An important focus for study in this topic is the question of what outcomes are being realised. Co-construction and society sensitive design are well intentioned, but what happens will be refracted through practicalities embedded in existing institutions and interests. This has been documented extensively for ICT. There is a structural element here, in the sense that co-construction and design necessarily take place at an early stage, while there are many other factors and circumstances at play in the later stages which co-determine outcomes.

There is a similar structural problem with regulation: good intentions, but actual implementation on the ground falls short. There have been calls for ‘implementable regulation’, where one would start with what are achievable effects in practice, rather than good intentions.

The present topic, on possible outcomes of integration of society in science, shall include the aspect of ‘implementable integration’. This requires study of dynamics of such initiatives, and will definitely improve their reflexivity.

The topic can also consider the role of science communication in improving the quality and effectiveness of the interactions between stakeholders.

To address this specific challenge, proposals should have a wide geographical coverage. It is therefore expected that consortia would include at least entities from 10 different Member States or Associated Countries, although smaller consortia will also be eligible and may be selected.

The Commission considers that proposals requesting a contribution from the EU of the order of EUR 4 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact

This action aims at developing a better understanding of co-creation processes and outcomes under various cultural, societal and regulatory backgrounds. It will allow better-targeted policy support in the future.
SwafS-14-2017
A Linked-up Global World of RRI

Specific challenge
At the moment, ‘a linked-up global world of RRI’, is a future, and speculative, perspective. But the world is definitely linked-up, and there is recurrent mention of, and occasional work on, RRI-type issues all over the world. In the field of nanotechnology, for some time (since the early 2000s) there were platforms and spaces for dialogue. What is the role of regulation and of civil society in a linked-up global society? What is the role of industry, with the dynamics of firms wanting to appear as ‘good firms’ rather than the contrary? Similarly, what is the role of nation states and international organizations in this global world?

One might actually consider that RRI could become a competitive advantage, definitely for Europe and directly contribute to Europe’s jobs and growth agenda. That possibility will be one element of this topic. It is important to give industry’s ‘ethical behaviour’ a concrete foothold, and not to leave it to abstract deliberations. To this end, domain and case studies in key areas, such as Digital Single Market and Energy Union, supporting the Commission’s agenda for jobs, growth, fairness and democratic change will be relevant. Other sectors of activities can be considered as case studies as well (e.g. bio-economy, waste management) provided that they yield significant insight into the possible rise of the global world of RRI.

Scope
There are interesting projects already that can be built on for the present topic. The EU-funded ProGReSS project, aims to promote a European approach to Responsible Research and Innovation (RRI) through a global network, including partners and advisers from Europe, the US, China, Japan, India, Australia and South Africa, and involvement of relevant stakeholders from academia, international organisations, industry, SME research, NGOs, policy advisors and research funders. The GEST (Global Ethics in Science and Technology) project, which has recently led to a major publication on Science and Technology Governance and Ethics, comparing Europe, China and India, is another example.

The present topic spans at least over three overlapping foci:
- Identification and analysis of platforms and spaces for RRI-type issues
- Comparative studies of major and minor players, taking into account differences especially the situation of developing countries
- Advantages (up to competitiveness) of RRI, and ethical behaviour in general.

It is also important to locate these questions and trends in current and emerging governance frameworks. In line with the strategy for EU international cooperation in research and innovation (COM(2012)497), international cooperation is encouraged, including with third countries beyond Associated Countries.

To address this specific challenge, proposals should have a wide geographical coverage. It is therefore expected that consortia would include at least entities from 10 different Member States or Associated Countries, although smaller consortia will also be eligible and may be selected.

The Commission considers that proposals requesting a contribution from the EU of the order of EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact
Better understanding of the dynamics of a ‘linked-up global world of RRI’ will allow benchmarking European RRI initiatives and integrating good practices from other contexts. It will help industry, civil society and policy makers to take decisions based on evidence. It will produce formal knowledge, easing the dissemination of good practices and improving existing training material.

Type of action | Research and Innovation action
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Deadline | 30 August 2017
Call identifier | H2020-SwafS-2016-17
SwafS-21-2017
Promoting integrity in the use of research results in evidence based policy: a focus on non-medical research

Specific challenge
Research ethics is commonly associated with life sciences and in particular medical and biomedical research. The focus of the attention concerns interventions on humans, the involvement of children and vulnerable population. Because of the nature of the research and the lower risk attributed to the possible consequences of misconduct, non-medical research areas (such as social sciences) are less commonly associated with ethical concerns although researchers are regularly involved in protocols that have a direct impact on the wellbeing of people and as experts in policy making, provide evidence nurturing the decision process. In this context, ethical principles are of high importance. In case of breaches of these principles, the economic, social and environmental impact can be significant. Relevant principles are e.g. to only provide policy advise in fields related to one’s expertise, to distinguish ideology from science, to state clearly limitations to one’s scientific results, and be transparent on potential conflicts-of-interests.
In the current economic environment, the use of expertise in the definition of solutions and action plans constitutes a major challenge due to the high repercussions of related decisions on innovation capacities, jobs and well-being.

Scope
It is of paramount importance, especially when there are different schools of thought, to ensure that the channelling and processing of expertise is adequately organised so as to enable optimal policy decisions. When the principles of responsible conduct of research are not followed, the socio-economic impact can be significant.
In order to support the Commission’s policy on boosting innovation, growth and high quality job and in the context of the post-2007 crisis, the action proposed shall aim at building an operational ethics and methodological framework facilitating that decision makers at national and EU level are provided with reliable evidence originating from cutting edge research. The conditions to maximise the Commission’s policy outcomes and impact shall be studied, taking into account the necessity to comply with the highest standards of research ethics and integrity. Particular attention will be paid to the ethics of innovation and the enabling conditions for making innovation more relevant to the needs of society and more effectively meeting the Europe 2020 socioeconomic targets.
The proposed work shall also examine and analyse the relationship between science based policy advice, responsible conduct of research and research ethics. To this end an Oviedo/Helsinki type framework for non-medical research shall be proposed based on a wide consultation with all relevant stakeholders including industry and civil society. Such a framework shall discuss areas such as: a) covert research, b) working in dangerous areas/conflict zones and c) behavioral research collecting data from social media/internet sources.
The Commission considers that proposals requesting a contribution from the EU of the order of EUR 4.2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.
This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact
The proposed action will promote a more responsible and effective use of scientific information, originating from non-medical research areas, in support to EU policy making by increasing the understanding of the ethical challenges and proposing in response adequate ethical standards and normative framework for evidence based policy.

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The ethical dimensions of IT technologies: a European perspective focusing on security and human rights aspects

Specific challenge

The ICT centred research methodologies is changing the way research is organised, proposed and conducted. The untapped potential of Social media for example is fast becoming a new arena of research activities, also generating new challenges for the existing ethical and legal framework. Of paramount importance in this area is the balance between the use of ICT technologies to collect massive amounts of data (including personal data) and the principles of fundamental rights. As evidenced by the opinion of the European Group on "Ethics of Security and Surveillance Technologies", the actual and potential impact of ICT technologies on our daily life is high and rapidly growing. This raises multidimensional questions related to how to effectively implement the Charter of Fundamental Rights in our e-society while balancing the interest of all socio-economic stakeholders, promoting innovation, enabling high quality job creation and ensuring a high level of privacy and cyber security.

Scope

The work undertaken shall analyse the existing and future possible ethical tensions between the technological evolution in the ICT field and the protection of human rights, in particular as regards privacy and personal data. Such analysis shall take into account the increasing number and unprecedented intensity of threats to public and private cyber security and the responses given by the competent international, European and national bodies. The possibility to improve the regulatory framework at EU level in order to reduce the identified ethical tensions shall be reviewed. On the basis of an extensive dialogue with the concerned scientific, economic, security and political stakeholders and the involvement of civil society organisations, a set of ethical standards and guidelines for research and innovation activities should also be proposed. The practical operationalisation of the proposals made shall be examined taking into account the international economic and political dimension. The guidelines for research ethics committees and the research community shall facilitate the incorporation of the highest ethical standards into research protocols without jeopardising the innovative nature of the research and its potential socioeconomic impact. The above balance must be adequately analysed and measures to address it must be proposed.

The Commission considers that proposals requesting a contribution from the EU of the order of EUR 4.2 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact

The action will actively contribute to the reduction of the ethical tensions existing between the potential of ICT technologies and the protection of human rights notably by elaborating operational standards and guidelines as well as suggesting possible concrete improvements of the current regulatory framework, in the spirit of the EU commitment to better regulation.

Type of action | Coordination and support action
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Deadline | 30 August 2017
Call identifier | H2020-SwafS-2016-17
Responsible Research and Innovation (RRI) in support of sustainability and governance, taking account of the international context

Specific challenge

This topic will contribute to the implementation of Principle 10 of the 1992 Rio Declaration on strengthening access to information, public participation, and access to justice, and the ensuing UNEP/UNITAR Bali Guidelines for National Action Plans, as well as other Rio principles. This topic will promote the uptake of responsible research and innovation within the context of sustainability actions, by involving multiple actors including researchers/academia, policy makers, industry/business and society to co-create solutions relevant to the further implementation of the Rio Declaration.

Scope

The action will examine how science and technology development are embedded in the growing sustainability governance and better regulation discourses at all levels (Global to local), and further RRI uptake in the search for solutions. It will showcase examples of good practice in the governance of research and innovation in Europe and beyond, and explore ways in which RRI can further strengthen the role of research and innovation for capacity building and governance for sustainability. It will make an effective and timely contribution to the implementation of the Rio Principle 10, as well as Rio principles generally. The topic must consider all aspects of RRI including research and innovation governance, access to information, public engagement, ethics, science education and gender. This topic is open to international cooperation and should be alignment with European and global advances in this area.

In line with the strategy for EU international cooperation in research and innovation (COM(2012)497), international cooperation is encouraged.

The Commission considers that proposals requesting a contribution from the EU of the order of EUR 3 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact

This action will demonstrate how responsible research and innovation can effectively contribute to global and European sustainability governance. It will assist R&I stakeholders to play a decisive role in devising and implementing sustainable solutions together with other types of actors.

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SwafS-26-2017
Science4Refugees - Support to highly skilled refugee scientists

Specific challenge
The Science4Refugees initiative is directed towards refugees researchers/scientists who have applied for and been granted asylum in a host country, which will enable them to continue their educational path or enter the labour market. Under the header "Science4Refugees" a number of initiatives will be brought together on the EURAXESS portal.

Information available on education level of recently arrived asylum seekers and refugees points to a rather wide distribution across education level. In Germany, the share of those who attended university was on average around 20% according to the Federal Office for Migration and Refugees but it varies widely across countries of origin and the same is true in Sweden. In any case, there is evidence that at least part of the recently arrived refugees does have a tertiary education degree and intend to pursue their studies or research in the host country. To integrate them into Europe's research system, specific measures are requested in terms of bringing up the employability and the skills of refugees.

The objective of the call is to scale up these initiatives and bring them to a European level.

Scope
This action will support activities at the institutional, regional or national level to scale up their activities for refugees from a regional to a European level. These initiatives will give refugees researchers/scientists the opportunity to develop their knowledge and careers by improving their skills and experience.

The initiatives will have the potential to be scaled up to a European level during the implementation phase, with a take-up on the EURAXESS portal, e.g. databases for language courses or webinars on entrepreneurship and other training needs.

Entities under this call should be those that have established initiatives (minimum 2 years) to support refugees researchers/scientists to update their skills and employability at the European labour market. Entities dealing with the first basic needs of refugees or educational needs for children, such as accommodation or clothing, are not considered eligible under this call.

The Commission considers that proposals requesting a contribution from the EU in the order of EUR 100 000 would allow this specific challenge to be addressed appropriately. Nevertheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected impact
Provide financial and employability support to initiatives that support the integration of refugees into their new employment settings and culture, and to prepare refugees for the highly competitive and highly skilled job market. The visibility of these financially supported initiatives will be increased by making use of the EURAXESS Portal, giving them high EU visibility, dissemination & EU coordination support. In the medium term, the action aims at supporting research jobs and growth; it will increase the number of highly skilled workers who are sufficiently prepared to access the European labour market by using their newly gained skills and experience through the supported actions.

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Implementing a European Train-the-trainers initiative with regard to Ethics and Research Integrity

Specific challenge

With a view to addressing adequately the challenge of the internationalisation of research, including in the context of EU collaborations, it is necessary to strengthen the EU capacity to foster and promote the highest ethical standards and to achieve a higher degree of consistency of research integrity practices in Europe. In the latter regard, the Council, with its conclusions of 1st December 2015 on Research Integrity, invited the Member States and the Commission to support "training activities based on the "train-the-trainer" principle".

Scope

The participants are expected to develop innovative methods to train-the-trainers (such as training courses, workshops, a continuous support service to the trainers) based on consultation and inclusion in the task of all relevant stakeholders representing both public and private structures (i.e. research intensive industries). The above training methods should, in particular, promote the consistent application of the principles listed in the "European Code of Conduct for Research Integrity" developed by the European Science Foundation (ESF) and All European Academies (ALLEA).

The activities should aim at enhancing the training skills and improve existing methods at two levels:

(i) at the level of training the trainers, the different activities should mainly aim at:

- enhancing the knowledge of the trainer with regard to ethics and research integrity issues: This will ensure the consistent application of the EU research ethics and integrity standards while allowing for the national particularities (national laws, cultural differences) to be taken into account;
- allowing trainers to develop their training and interpersonal skills, such as their skill to understand the audience's needs and tailor the training accordingly, their ability to make lecture-based programmes more interactive and their ability to improve/enhance existing training methods: The use of innovative methods will foster the ethics and research integrity culture of the trainees and therefore will encourage them to depart from an approach of mere compliance with legal frameworks to a "virtue ethics" approach.

(ii) at the level of training the researchers, the different activities should mainly aim at:

- the creation and regular update of ready to use learning/training material, such as slides, e-learning courses, videos, and using the social media as a training resource: Enhancing the impact of the training by the use of non-traditional forms (art, theatre) should be explored;
- the creation and update of training material, which can be adapted for the needs of the targeted audiences; and
- enhancing the researchers' understanding of the private and socio-economic benefits of the conduct of research according to the highest ethical and research integrity standards and of the negative impact of research misconduct on the society, on the research institutions/research performers and on the researcher (e.g. in the form of "reputational damage").

The participants are expected to create an e-community/database (using the EU Commission tool SINAPSE hosted on the EU data centre), where all the training material/tools will be available. Moreover, the participants are expected to establish an organization, which will implement the above train-the-trainers activities, will update the relevant training material/tools and will manage the above e-community/database in the long term. The action should aim at the training of a sufficient number of trainers in each Member State (depending on the size and the particularities of the research community in each Member State). The structure/running of this organization should ensure its financial sustainability in the long run. In this regard, the participants should devise a sensible and realistic business model.

In order to avoid duplication of work already undertaken and to allow for synergies among the relevant EU funded research projects (from FP7 and Horizon 2020), it is essential to ensure that the participants will cooperate and make
use of all the publicly available results from the related funded projects, such as:

the PRINTEGER project (GARRI.5.2014 – "Ethics in Research: Promoting integrity");
- "Estimating the costs of research misconduct and the socio-economic benefit of research integrity" (GARRI.9.2015);
- the "European Ethics and Research Integrity Network" (GARRI.10.2015); and
- "Mapping the Ethics and Research Integrity Normative Framework" (SwafS-16-2016).

The Commission considers that proposals requesting a contribution from the EU of the order of EUR 2.8 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

This action allows for the provision of financial support to third parties in line with the conditions set out in Part K of the General Annexes.

Expected impact

It is expected that the present action will establish a self-sustainable organization, which will implement the above train-the-trainers activities, update the relevant training material/tools and manage the above e-community/database in the long term. Therefore, it is expected that the present action will promote a higher degree of consistency of research integrity practices in Europe, will strengthen the research communities' capacity to respect the highest ethical standards and will enable researchers to adopt a "virtue ethics approach", i.e. to embed ethics and integrity within the research design.

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Cross-cutting activities (Focus Areas)
Call - Industry 2020 in the Circular Economy

**CIRC-01-2016-2017**

**Systemic, eco-innovative approaches for the circular economy: large-scale demonstration projects**

**Specific challenge**

The increasing resources' constraints that EU is facing strongly condition its competitiveness and the quality of life of individuals. Important gains in resource efficiency can be made by replacing current linear economic models with circular models of production and consumption, which result, at the same time, in a substantial reduction of GHG emissions. While relying on industrial leadership, the success of circular economy models will depend on adopting a systemic approach to eco-innovation that encompasses value and supply chains in their entirety and engages all actors involved in such chains. A **systemic approach entails foresight of the diverse impacts that transformative innovative solutions can have on the economy, environment and society at large**. Side-effects of innovative practices can thus be addressed, e.g. change in energy policy due to a reduction of waste available for energy recovery. Bringing end-users closer to the design and production phases, and customising the production and delivery of goods and associated services can boost new consumption patterns that add greater value and reduce over-production, waste and other negative environmental impacts. The involvement of end-users in designing circular economic models that better respond to their needs can enable the development of value-added solutions and act as a driver for Europe's re-industrialisation.

**Scope**

Proposals shall address one of the following issues:

**a) Design for circular value and supply chains** (2016): Through large scale demonstration projects, organisations, including from process and manufacturing industries and SMEs, whether dealing with biotic and/or abiotic resources, are expected to test and showcase circular economy solutions based on re-design of value and supply chains, taking into account products, production processes, and/or systems, as well as involving final users. Such solutions should entail the environmentally sustainable recovery, recycling and/or re-use of resources and energy flows, including by cross-sectorial symbiosis, within the overall chain from resources to marketed products. The proposals should enable entrepreneurs, industries and researchers to collectively implement the innovative solutions at an appropriate scale, which goes beyond a single production plant. **They should develop new forms of organisation and governance within and across value and supply chains**, considering where appropriate collaboration between public and private sectors. The proposals should include an outline business plan which can be developed further in the course of the project.

Where relevant, projects are expected to contribute to the implementation of the SPIRE PPP Roadmap. For the technological innovation components, TRL 5-7 are to be aimed for (as defined in the General Annexes of this Work Programme). The EU Environmental Technology Verification (ETV) pilot programme11 could be used to verify the performance of innovative technologies at higher TRLs.

The Commission considers that proposals requesting a contribution from the EU of between EUR 7 million and EUR 10 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

**b) Systemic services for the circular economy** (2017): To demonstrate through large scale projects the economic and environmental feasibility of circular economic business models that underpin new services based on performance/functionality rather than ownership, and/or on mass customisation, including through supporting demand side measures. Proposals should adopt a systemic eco-innovative approach addressing all forms of innovation, combining technological, organisational, societal, cultural and behavioural innovation, and strengthening the participation of civil society. Such an approach can foster new forms of collaboration between end-users, producers and researchers. In particular proposals should consider ways of supporting co-creation by developing, experimenting and demonstrating new business models together with end-users, taking into consideration their needs, including gender dimension, thus enabling the development of value adding solutions. **Business models that foster new services and consumption and production patterns will require support to end-users in the transition to the circular economy by raising awareness and knowledge sharing activities on circular economy models. The proposals should include an outline business plan which can be developed further in the course of the project.**

The Commission considers that proposals requesting a contribution from the EU of between EUR 4 million and EUR 7
Call - Industry 2020 in the Circular Economy

million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

For both: Within the projects funded, additional or follow-up funding should be sought, be it private or public, so as to achieve a more effective implementation and deployment at larger scale and scope of the innovative solutions addressed. Additional funding sources could include relevant regional/national schemes under the European Structural and Investment Funds (ESIF), such as under the European Regional Development Fund (ERDF), or other relevant funds such as the Instrument for Pre-accession Assistance (IPA II). In the latter case, contacts could be established with the funds managing body during the duration of the projects. In case of relevance for the Research and Innovation Smart Specialisation Strategies, the project proposals could already indicate which interested regions/countries have been pre-identified. Please note, however, that reference to such additional or follow-up funding will not lead automatically to a higher score in the evaluation of the proposal.

Within the projects funded, possible regulatory barriers should also be addressed, as appropriate. In particular 'Innovation Deals' may be proposed. By ‘Innovation Deal’ a bottom-up approach to address regulatory bottlenecks to innovation is understood, that would take the form of voluntary agreements, with the European Commission and external stakeholders, with the aim of identifying and overcoming regulatory barriers and thus facilitating the market uptake of innovative solutions.

A life cycle thinking and assessment, in line with the recommendations and reference data from the European Platform on Life Cycle Assessment when applicable, should be applied.

Expected impact

a) The testing and demonstration of circular value and supply chains, within cross sectorial, collaborative systemic approaches is expected to make measurable contributions in the medium term to:

- substantially improving the efficient use of resources in Europe, leading to significant reduction of adverse environmental impacts, including on climate change, and to optimisation of production;
- substantially reducing the generation of residual waste, by applying the principles of the waste hierarchy (as set in the Waste Framework Directive), compared to current best practice;
- creating new business opportunities for industry and SMEs in the EU, including in manufacturing, contributing to the exploitation of EU innovative solutions, and improving the competitiveness of European enterprises in the global market for eco-innovative solutions;
- demonstrating the economic, social, and environmental sustainability of the proposed approaches and main elements that a business plan should include in order to realise them, including the assessment of possible positive and negative side-effects and risks, such as those associated with harmful substances potentially present in recycled materials;
- providing evidence-based knowledge for enabling framework conditions (such as the regulatory or policy framework) that facilitate a broader transition to the circular economy in the EU.

b) The testing and demonstrating of circular economic business models and services, including logistics and ICT capabilities, based on performance/functionality enhancement, is expected to measurably contribute in the medium term to:

- creating markets for new products/services (e.g. leasing or ‘sharing’ practices) which empower end-users in their choice for more sustainable consumption patterns, and require the implementation of innovative producer responsibility or other sectorial or cross-sectorial governance schemes;
- enabling the development of new approaches for designing products/services that collectively consider end-users, brand owners, as well as entrepreneurs, and researchers, and deliver the needs of end-users;
- reducing supply chain length, thus increasing resource efficiency and reducing adverse impacts on the environment, including on climate change;
- facilitating the inclusion of resource or materials criteria in designing products/services (e.g. durability, reparable and recyclability), thus contributing to an increase in resource and energy efficiency, and reduced environmental impacts, in the whole life cycle of products;
- creating new business opportunities for industry and SMEs in the EU, contributing to the exploitation of EU innovative solutions, and improving the competitiveness of European enterprises in the global market for eco-innovative solutions;
- demonstrating the economic, social, cultural and environmental sustainability of the proposed approaches and main elements that a business plan should include in order to realise them, including the assessment of possible
positive and negative side-effects and risks, such as those associated with harmful substances potentially present in recycled materials;

- providing evidence-based knowledge regarding the enabling framework conditions (such as the regulatory or policy framework or cultural factors) that facilitate a broader transition to a circular economy in the EU.
- implementing the Sustainable Development Goals (SDGs), in particular SDG 12 ‘Ensure sustainable consumption and production patterns’, as well as the conclusions of the COP21 Paris Agreement.

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Call - Industry 2020 in the Circular Economy

SPIRE-13-2017
Potential of Industrial Symbiosis in Europe

Specific Challenge

The Circular Economy approach is dependent on industrial symbiosis whereby waste and by-products from one factory are used as an input for other factories. Such inter-plant integration can be crucial to cut energy consumption (e.g. through heat/cold recovery) avoid waste and create a business case for by-products and gaseous effluents and thereby decouple the use of resources from economic growth. By further combining industrial parks and clusters with utility companies, it could be possible to create systems that are not only very efficient, but also produces much less waste than current practice and thereby reduce the environmental burden of industrial processes.

Imperative here is to remove regulatory bottlenecks to allow these flows and avoid double punishment of the companies. Future R&I should increase the performance and cost effectiveness of the above mentioned cross-cutting technologies, demonstrate them in real life, so as to remove the barriers and ensure they are widely deployed across all sectors.

While industrial symbiosis is increasingly considered to be an essential part of economic and environmental policy (notably the Circular Economy and COP21) and seen as crucial to achieve their objectives, given that over 20 % of all global resources are used and transformed in the process industries, the benefits and the dynamics involved needs to be better understood before the concept can be fully implemented.

Industrial symbiosis is currently most effective for directly recyclable and biodegradable materials and bioenergy. In the future Research and Innovation should support technologies that have the potential to broaden the basis for useful material flows, and should optimize inter-plant integration through integrated control systems, which exploit the benefits of the 4th industrial revolution. Innovation in feedstock mobilisation, renewable energy process technology and sustainable integrated process technologies could generate a huge leverage effect for competitiveness of European Industries by targeting at the same time decarbonisation, energy security, raw material dependence and cost, as well as have a very positive effect on local development. Industrial symbiosis requires engagement with regional and national authorities, as part of an overall strategy.

Scope

Identify concrete pathways to facilitate and coordinate the implementation of new initiatives on industrial symbiosis. This should include an assessment of the mechanisms to create incentives for the industry and align it with the delivery of public and environmental benefits.

The proposal should analyse the implications at different levels (individual entities, local, regional and national level) as well as quantify the benefits. It should also elaborate the need for standardisation of methods for quantification of the benefits of industrial symbiosis, including joint protocols for control and ICT systems. The analysis should include considerations on infrastructure (e.g. thermal storage and distribution networks) as well as investment needs. Lastly, the analysis should cover the management (financial, legal etc.), which is a critical issue to the proposed flow exchanges between and among entities, located within the same industrial park/cluster or among entities at different locations.

Research, technology development and demonstration needs of industrial symbiosis should be evaluated. This should include both technological barriers (e.g. purity and amount of available resources, separation needs), and non-technological barriers for implementation across sectors and between industry and society. This should lead to the identification and mapping of the potential for industrial symbiosis in different regions together with the potential bottlenecks.

The action should actively seek to link with on-going projects related to SPIRE and build on their experiences.

The Commission considers that proposals requesting a contribution from the EU between EUR 0.5 and 1.0 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

No more than one action will be funded.
Call - Industry 2020 in the Circular Economy

Expected Impact:

- Identification of a list of best practices of industrial symbiosis, as well as new potential locations allowing industrial symbiosis in Europe, together with an estimate of the investment needs to unlock the potential.
- Better identification of essential technologies and dissemination of best practices for industrial symbiosis together with potential incentives that could support large-scale initiatives.
- Identification of an increased number of identified potential business cases based on the integration of "alternative" streams (such as heat, waste, by-products and gaseous effluents) from business and public entities.
- **Evaluation of the potential economic and environmental impact (including resource and energy savings, waste reduction) of industrial symbiosis, based on LCA**.
- Speeding up cross-sectorial transfer of technologies.
- Estimation of the potential reduction in operational and logistics costs from a wide implementation of industrial symbiosis in Europe.
- Best practice for the involvement of regional and national authorities.

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**Call - Smart and sustainable cities**

**SCC-02-2016-2017**

**Demonstrating innovative nature-based solutions in cities**

Specific challenge

Mass urbanisation presents one of the most urgent challenges of the 21st century. Rapidly developing and changing industrial activities, uncontrolled urban sprawl, large, concentrated and often culturally diverse populations have created numerous complex social and health problems. **Cities and urban communities have to cope with challenges related** poor air quality, heat island effects, increased flood risks, increased frequency/severity of extreme events (floods, droughts, storms, heat waves, etc.), derelict industrial sites, dis-functioning urban areas, **increased criminality, social exclusion, inequalities, marginalisation, poverty and degraded urban environments.** These challenges have serious impacts on human health, quality of life, well-being and security of citizens, particularly among the less privileged social classes.

Cities accounting for 72% of the European population are major contributors to climate change consuming 75% of global energy and emitting 80% of greenhouse gas generated by human activity. Growing urban populations, pollution and economic activities also place water resources under severe stress, exacerbating demand whilst affecting the quality and quantity of supply. Climate change mitigation and adaptation and the sustainable management of water resources are therefore key challenges for the cities in Europe and beyond.

There is convincing but fragmented evidence that nature-based solutions can significantly enhance the climate and water resilience of cities. Furthermore, **nature-based solutions, by reshaping the built environment, can enhance the inclusivity, equitability and liveability of the cities, regenerate deprived districts, improve mental and physical health and quality of life for the citizens, reduce urban violence, and decrease social tensions through better social cohesion particularly for the most vulnerable groups e.g. children, elderly and people of low socioeconomic status.**

The challenge is therefore to provide a robust, EU-wide evidence base and develop a European reference framework on nature-based solutions for regional and local city authorities, communities, enterprises and other stakeholders about the benefits, co-benefits, cost-effectiveness and economic viability of these solutions to enhance on the one hand climate and water resilience in cities and on the other hand to address inclusive urban regeneration in cities and thus promote their large scale deployment and the creation of a global market.

Scope

Projects should adopt a ‘front-runner’ and ‘follower’ cities approach, as described in more detail below, to facilitate the rapid exploitation, replication and up-scaling of the solutions and via large-scale demonstrations should aim to:

- develop, deploy at an appropriate scale of intervention and demonstrate in ‘front-runner’ cities as ‘living laboratories’ innovative, replicable and locally attuned nature-based solutions, with a systemic impact at the scale of intervention, to address the challenges specified below. **Solutions should be co-designed, co-developed and co-implemented in a trans-disciplinary, multi-stakeholder and participatory context and systemically embedded in an integrated urban and land use planning;**

- assist ‘follower’ cities that commit to proactively seek advice, expertise, assistance, capacity building (e.g. through staff exchanges) and mentoring from the ‘front-runners’ and develop, within the duration of the project, a sustainable urban planning that systemically replicates, embeds and integrates the demonstrated nature-based solutions ‘customised’ to their particular context to successfully address the challenges specified below. This urban plan is a contractual obligation and should be delivered by the end of the project. ‘Followers’ should have privileged contacts with the project partners and access to the know-how and outcomes of the project and should participate in the definition of user requirements and the design of the methodology for replicating and transferring solutions, data, etc.;

- engage the ‘front-runner’ cities (as ‘coaching cities’) in further networking and knowledge-sharing efforts with cities beyond those directly involved in the project to maximise the benefits of the project for a broader community beyond the limits of the project;

- set up a robust monitoring scheme to monitor, for a period of at least 2 years within the life of the project, the performance and assess the impact of the deployed solutions in an as quantifiable way as possible against a well-defined baseline regarding the challenges in the participating cities at the time of the proposal. Longer term commitment to monitoring and systematic documentation beyond the end of the project will give an added value to the proposal; **develop methodologies to assess the efficacy, performance and cost-effectiveness of the solutions compared to alternative options, considering benefits, co-benefits** (such as carbon sequestration, mitigation of heat island effects, natural cooling and heating, **recreation due to dual use spaces**, mitigation of soil sealing effects, enhanced soil, reduction of noise and air pollution, flood prevention/protection, **enhancement of biodiversity and**
natural capital, human well-being and health, reduction of noise and air pollution, improvement of water quality etc., where these are not the primary objectives) and negative impacts that their deployment could entail when addressing the challenges specified below;

- develop methodologies for replication and up-scaling in different contexts of the solutions deployed in the ‘front-runner’ cities, including investment strategies, governance and business models and approaches for their systemic integration in the urban and land use planning;
- identify and assess potential regulatory, economic, social (such as gender, age, disability and culture) and technical barriers of relevance to these solutions and propose ways to overcome them;
- establish long-term sustainable data platforms to systematically document information and provide evidence on practices and lessons learnt regarding the deployment, cost-effectiveness (including benefits and co-benefits) and performance of nature-based solutions. deploy appropriate state-of-the-art digital technologies, ICT and innovative communication strategies and tools securing open access and interoperability along data infrastructures and a continuous building up of the 'knowledge portfolio' through future activities under Horizon 2020 and beyond.

Proposals shall address all of the above points. The involvement of social sciences and humanities in the project will be required to properly address these complex challenges.

Consortia should involve competent local, city and regional authorities, community groups, enterprises, academics and local communities in a clear structure with well-defined roles and responsibilities for all involved parties.

To maximise benefits at European level, each project shall involve at least 2 ‘front-runner’ cities and 3 ‘follower’ cities from different Member States and/or Associated Countries.

In addition to the coverage of the points mentioned above, the success potential of the proposal will be assessed according to the innovative character, the replicability and market potential of the nature-based solutions and of the systemic processes envisaged for their co-designing, co-developing and co-implementation, the long-term commitment, both political and financial, of the competent authorities that would guarantee the project implementation independently of possible changes in the urban political context during the project and the sustainability of financing, through mobilisation and leveraging of investments.

In line with the strategy for EU international cooperation in research and innovation (COM(2012)497), international cooperation is encouraged. To this end, participation of ‘follower’ cities from non-EU countries would enhance the potential for international replication, including in the context of, but not limited to, the EU-China Sustainable Urbanisation Partnership and the EU-China Innovation Dialogue. This would contribute to the creation of a global market for nature-based solutions.

Resources should be envisaged for clustering with other projects financed under the “Nature-based solutions for territorial resilience” part of the call for Societal Challenge 5 ‘Climate action, environment, resource efficiency and raw materials’, namely topics SC5-08-2017, SC5-09-2016 and SC5-10-2016, to optimise collaboration, synergies, interactions and mutual support to the achievement their corresponding objectives and, if possible, under other relevant parts of Horizon 2020.

Because of the substantial investments that might be necessary for implementing the nature-based solutions, additional and/or follow-up funding (private or public) should be sought, be it private or public, relevant regional/national schemes under the European Structural and Investment Funds (ESIF) and/or the European Regional Development Fund (ERDF), or other relevant funds such as the Instrument for Pre-accession Assistance (IPA II). In these cases, contacts could be established with the funds managing body during the duration of the projects. In case of relevance for the Research and Innovation Smart Specialisation Strategies (RIS3) the project proposals could already indicate which interested regions/countries or other partners have been pre-identified. Please note, however, that reference to such additional or follow-up funding will not lead automatically to a higher score in the evaluation of the proposal.

As illustrated by proposals responding to the call for ideas, the Commission considers that proposals requesting a contribution from the EU of at least EUR 10 million would allow this specific challenge to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Proposals shall address one of the following issues:

a) Demonstrating innovative nature-based solutions for climate and water resilience in cities (2016)

Actions should aim to improve urban resilience to climate change (mitigation and adaptation) and enhance water resources management sustainability through deployment of nature-based solutions, or an optimal combination of nature-based solutions and other technologies. Trans-disciplinary and community-based approaches including social sciences and humanities in the co-designing, co-development and co-implementation of the solutions is considered necessary.

b) Nature-based solutions for inclusive urban regeneration (2017)

Actions should address nature-based solutions for inclusive urban regeneration – including regeneration of deprived districts, or
Call - Smart and sustainable cities

neglected or abandoned areas. They should also test to what extent nature-based solutions can reduce crime and security costs, and enhance human health, wellbeing and social cohesion.

The role of social innovation, and hence the participation of social sciences and humanities disciplines such as law, economics, political science, architecture or design studies, is particularly important to properly address these complex challenges.

Expected impact

Projects are expected to contribute to:

- in the mid-term, the creation of an European reference framework and the establishment of EU leadership in a new global market (offer and demand) for nature-based solutions, new economic opportunities, new products, services, protocols and standards, leverage of investments, reduced regulative and administrative barriers, and new local green jobs;
- increased awareness of the benefits of re-naturing cities, creation of ‘communities of practice’, more effective policy making and better informed decision making across Europe based on an EU-wide evidence base regarding efficacy, efficiency and comparative advantages of a range of tested, well documented, up-scalable and marketable nature-based solutions;
- enhanced stakeholder and citizen ownership of the solutions through their effective and systematic involvement in participatory, trans-disciplinary and multi-stakeholder consultation processes for co-design, co-development and co-implementation of visionary urban planning;
- increased international cooperation and global market opportunities through replication of approaches and solutions in non-EU countries, including in the context of the EU-China platform;
- enhanced implementation of EU environmental policies, such as the EU Water Framework Directive, the 7th Environment Action Programme, the EU Biodiversity Strategy to 2020, the EU Climate Change Adaptation Strategy, the ‘Blueprint to safeguard Europe’s waters’ and the ‘Communication on Green Infrastructures’, and of the Sustainable Development Goals and UN conventions in the fields of biodiversity, soil and land management, disaster risk reduction.

In addition, projects addressing part a) are expected to contribute to:

- creating by 2020 healthier and greener European cities, with increased resilience to climate change (e.g. reduced flood risks, mitigated heat stress) and water-related challenges thanks to the implementation of nature-based solutions, with better living conditions for all, increased green infrastructure and biodiversity, improved air and water quality, reduced noise and health costs, improved mobility conditions, opportunities for urban farming and increased social cohesion.

In addition, projects addressing part b) are expected to contribute to:

- creating by 2020, through the implementation of nature-based solutions, healthier, culturally diverse and greener regenerated (including deprived districts and neglected or abandoned areas) European cities, with better living conditions for all, reduced crime and security costs, increased green infrastructure and biodiversity, improved air and water quality, enhanced human health and wellbeing, reduced health costs, improved mobility conditions, opportunities for urban farming and increased social cohesion, as well as to implementing the Sustainable Development Goals (SDGs), in particular SDG 1 ‘End poverty in all its forms everywhere’ and SDG 10 ‘Reduce inequality within and among countries’.

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<th>Type of action</th>
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<td>Deadline</td>
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Innovation in SMEs
Call - For a better innovation support to SMEs

INNOSUP-07-2017: Innovating SMEs - segmentation along lifecycle and sectors (analytical research activity)

Specific challenge

Creating a higher societal impact from innovation support requires increasing its efficiency. In the recent past innovation support agencies recognised that successful innovation is not originating only from scientific research and technological development, but from new business models, the uptake of technologies, design and organisational changes. Furthermore ‘internationalisation’ of business activities is seen as a case of business innovation in some countries.

A better understanding of business innovation opportunities led to an even broader array of innovation support measures put in place for SMEs. But the question arose how to better identify and target those SMEs that can create highest impact from the specific support measures; and how to offer viable alternatives to those enterprises not yet ready to implement the most ambitious projects. Representatives from innovation agencies were describing this process of matching design and delivery of support schemes to the needs, potentials and ambition of their client SMEs as ‘segmentation of the client base’.

Processes of value creation from innovation differ between industrial sectors. For example between capital intensive - but low-speed – innovation in the mining industry; the high speed innovation software development for example for gaming which is realised in networks; pharmaceutical industry with a dominant role of patents and industries with long and complex supply chains like automotive or aerospace.

Many regions try to identify potential high-growth SMEs and orient their support towards realising their full growth potential, yet the methodologies to identify high potentials vary substantially and innovation support programmes put in place are hardly designed taking account of the economic fabric or of the smart specialisation priorities of the region. Instead often a standard portfolio of innovation support measures is put in place.

Scope

The above describes three interwoven aspects of a challenge to segment the (SME-) clients of public innovation support in order to achieve a higher societal return from the investments into innovation support. The action shall contribute to documenting and analysing existing and potential new approaches to provide innovation support in a more effective and efficient way. Successfully segmenting ‘innovating SMEs’ – the clients of innovation agencies – is a key in that respect.

To address the described gaps proposals should address one or more of the following aspects:

- Develop methodologies to identify segments within the group of innovating SMEs (including SMEs that are not yet innovating but have innovation potential or need) and describe portfolios of innovation support measures that typically address well their needs along innovation cycles. To that end the proposed project should rely on existing datasets (Community Innovation Survey [CIS] or others) or conduct own targeted surveys.
- Analyse existing approaches to segment innovating SMEs and analyse existing portfolios of innovation support measures in how far they respond to the needs of important segments in the region.
- Analyse in how far popular innovation support instruments like tax credits, vouchers, grants are biased towards certain innovating SMEs or provide opportunities to overcome existing biases.

Projects to be supported are encouraged to conduct specific communication activities targeting the relevant associations of regional development agencies and innovation support agencies.

The Commission considers that proposals requesting a contribution from the EU of between EUR 0.30 and 1.00 million would allow this specific challenge to be addressed appropriately.

Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

Expected Impact

The result of the research action shall strengthen the capacity of national and regional ministries, innovation agencies and providers of innovation support, such as cluster organisations, science and technology parks or development agencies, to:

- better understand the patterns of innovation opportunities and activities in different segments of the SMEs according to age, size, business activity, industry sector, organisational features and other relevant aspects;
- better understand the impact of specific types of innovation support with low entry requirements on different segments of the SME population – of particular interest in this context are tax credits for RDI expenditure, voucher and small grant schemes to strengthen management capacity and technology uptake;
- target existing measures better to those SMEs that can create highest economic and societal impact;
- design new measures for specific segments of the SMEs to start or diversify innovation activities which create highest impact for their competitiveness.
**Call - For a better innovation support to SMEs**

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